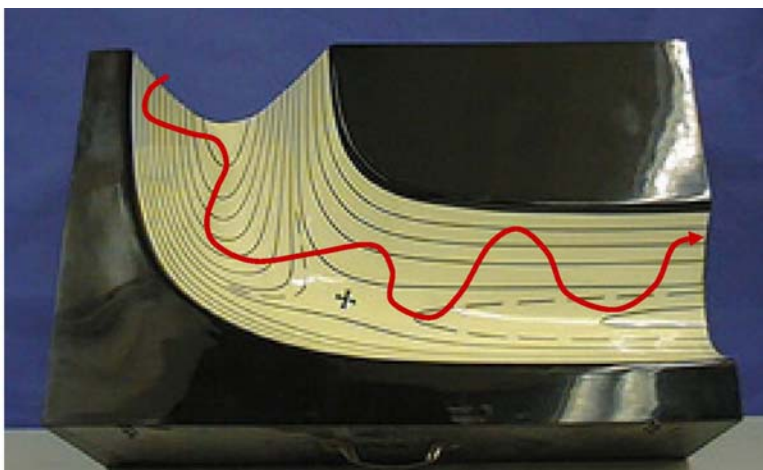


IV. Classical Molecular Dynamics

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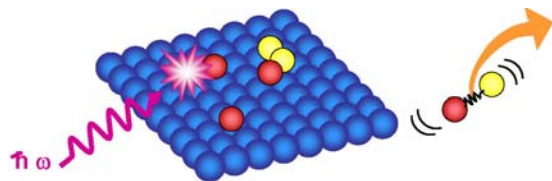


Basic Assumptions:

1. Born-Oppenheimer Approximation
2. Classical mechanical nuclear motion

Unavoidable Additional Approximations:

- 1. Approximate potential energy surface
2. Incomplete sampling
3. (often) Extrapolate to longer timescales
4. Too few atoms
5. Continuum solvent and other shortcuts



V. Adiabatic “on-the-fly” Dynamics

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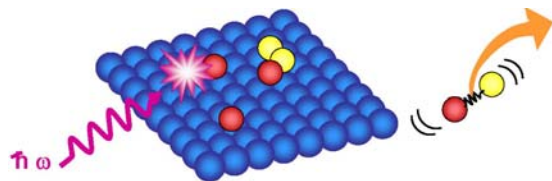
What about the potential energy surface? i.e., the forces?

empirical (sometimes called “classical” - bad terminology)

semi-empirical (Huckel, valence-bond, PPP-Rossky...)

analytic fit to ab initio (HF, DFT, MC-SCF, CCSD,...)

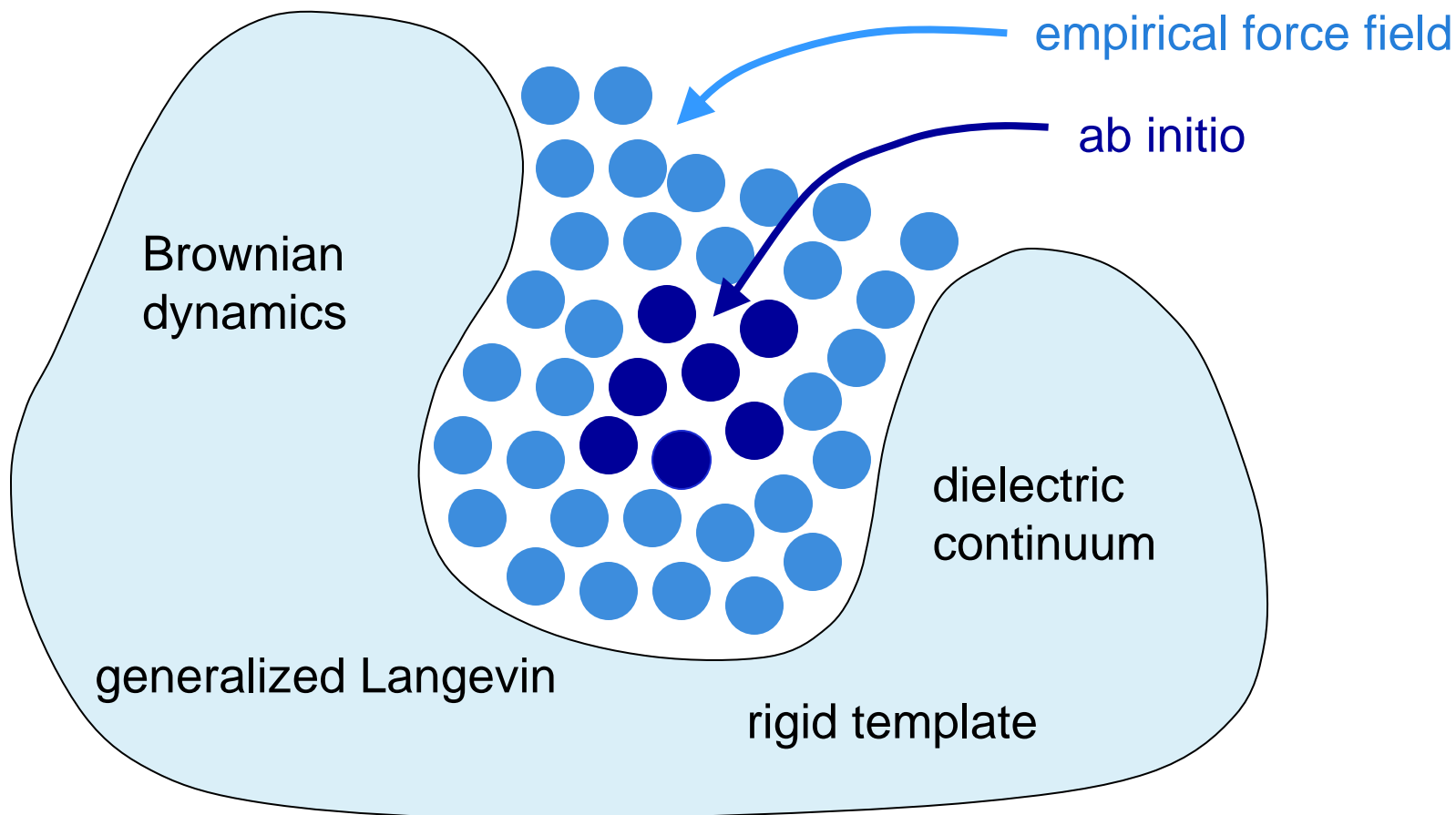
on-the-fly ab initio (HF, DFT,,...)

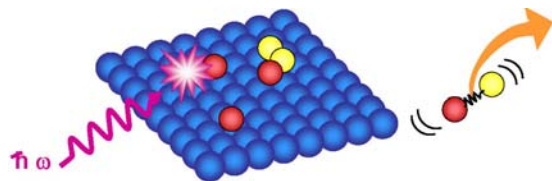


V. Adiabatic “on-the-fly” Dynamics

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QM/MM Approach:





V. Adiabatic “on-the-fly” Dynamics

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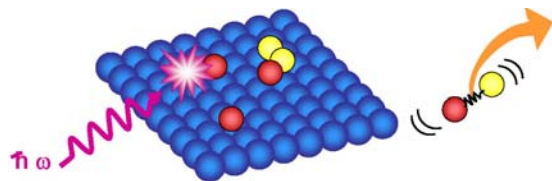
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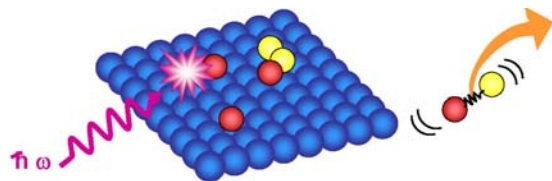
on-the-fly ab initio (HF, DFT,,...)



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V. Adiabatic “on-the-fly” Dynamics

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The Hellman – Feynman Theorem:

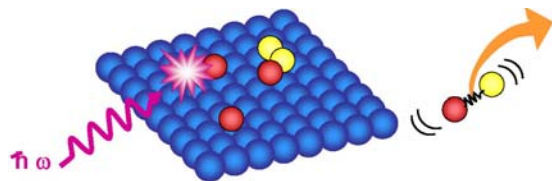
$$\frac{d}{dR} \mathcal{E}_j(R) = \frac{d}{dR} \langle \Phi_j(R) | \mathcal{H}_{el}(R) | \Phi_j(R) \rangle = \text{force}$$

$$\text{subject to} \quad \langle \Phi_j(R) | \Phi_j(R) \rangle = 1$$

$$\text{and} \quad \mathcal{H}_{el}(R) | \Phi_j(R) \rangle = \mathcal{E}_j(R) | \Phi_j(R) \rangle$$



$$\begin{aligned} \frac{d}{dR} \mathcal{E}_j(R) &= \left\langle \Phi_j(R) \left| \frac{d\mathcal{H}_{el}(R)}{dR} \right| \Phi_j(R) \right\rangle \\ &+ \left\langle \frac{d}{dR} \Phi_j(R) \left| \mathcal{H}_{el}(R) \right| \Phi_j(R) \right\rangle + \left\langle \Phi_j(R) \left| \mathcal{H}_{el}(R) \right| \frac{d}{dR} \Phi_j(R) \right\rangle \end{aligned}$$



V. Adiabatic “on-the-fly” Dynamics

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The Hellman – Feynman Theorem:

$$\frac{d}{dR} \mathcal{E}_j(R) = \left\langle \Phi_j(R) \left| \frac{d\mathcal{H}_{el}(R)}{dR} \right| \Phi_j(R) \right\rangle$$

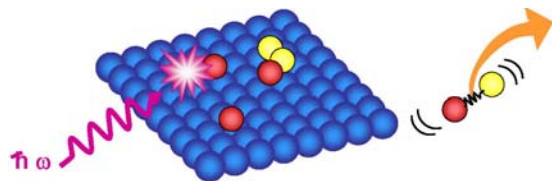
$$+ \left\langle \frac{d}{dR} \Phi_j(R) \left| \mathcal{H}_{el}(R) \right| \Phi_j(R) \right\rangle + \left\langle \Phi_j(R) \left| \mathcal{H}_{el}(R) \right| \frac{d}{dR} \Phi_j(R) \right\rangle$$

$$\boxed{\frac{d}{dR} \mathcal{E}_j(R) = \left\langle \Phi_j(R) \left| \frac{d\mathcal{H}_{el}(R)}{dR} \right| \Phi_j(R) \right\rangle}$$

$$+ \mathcal{E}_j(R) \left[\left\langle \frac{d}{dR} \Phi_j(R) \left| \Phi_j(R) \right\rangle + \left\langle \Phi_j(R) \left| \frac{d}{dR} \Phi_j(R) \right\rangle \right]$$

Pulay corrections

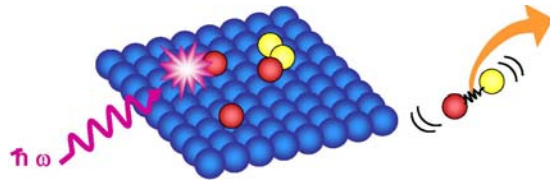
$$= \frac{d}{dR} \left[\left\langle \Phi_j(R) \left| \Phi_j(R) \right\rangle \right] = 0$$



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VI. Car-Parrinello Dynamics

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Euler-Lagrange equations:

$$\frac{d}{dt} \frac{d\mathcal{L}}{dq} = \frac{\partial \mathcal{L}}{\partial q}$$

Classical Lagrangian:

$$\mathcal{L} = T - V = \sum_{\alpha} \frac{1}{2} M_{\alpha} \dot{\mathbf{R}}^2 - \mathcal{E}_j(\mathbf{R})$$

$$\longrightarrow M_{\alpha} \ddot{\mathbf{R}}_{\alpha} = -\partial \mathcal{E}_j(\mathbf{R}) / \partial \mathbf{R}_{\alpha}$$

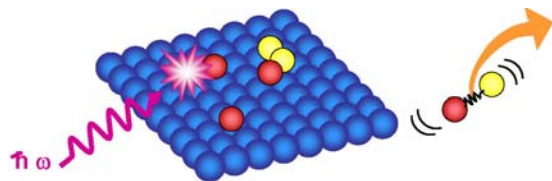
Car-Parrinello Lagrangian:
fictitious electronic dynamics

$$\mathcal{L} = \sum_{\alpha} \frac{1}{2} M_{\alpha} \dot{\mathbf{R}}^2 - \langle \Psi_j | \mathcal{H}_{el} | \Psi_j \rangle + \sum_n \frac{1}{2} \mu_n \langle \dot{\varphi}_n | \dot{\varphi}_n \rangle + \sum_{nm} \lambda_{nm} [\langle \varphi_n | \varphi_m \rangle - \delta_{nm}]$$

Kohn-Sham orbital



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VI. Car-Parrinello Dynamics

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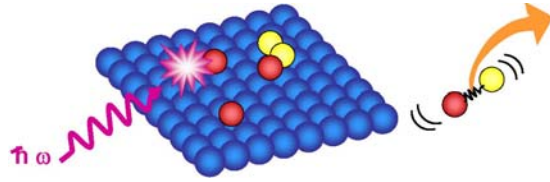
Student problem: Lagrange (undetermined) parameter problem

find the minimum of the function $f(x, y) = x^2 + y^2$

subject to $x + y = 2$, using the method of Lagrange parameters

define $h(x, y) = f(x, y) + \lambda(x + y - 2)$

and minimize wrt x, y and λ



VI. Car-Parrinello Dynamics

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Euler-Lagrange equations:
$$\frac{d}{dt} \frac{d\mathcal{L}}{dq} = \frac{\partial \mathcal{L}}{\partial q}$$

Classical Lagrangian:
$$\mathcal{L} = T - V = \sum_{\alpha} \frac{1}{2} M_{\alpha} \dot{R}_{\alpha}^2 - \mathcal{E}_j(\mathbf{R})$$

$$\longrightarrow M_{\alpha} \ddot{R}_{\alpha} = -\partial \mathcal{E}_j(\mathbf{R}) / \partial R_{\alpha}$$

Car-Parrinello Lagrangian:
$$\mathcal{L} = \sum_{\alpha} \frac{1}{2} M_{\alpha} \dot{R}_{\alpha}^2 - \langle \Psi_j | \mathcal{H}_{el} | \Psi_j \rangle$$

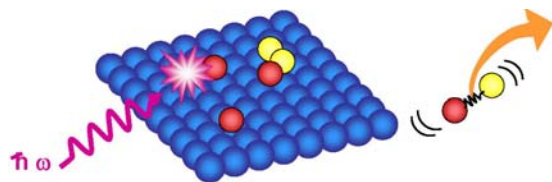
$$+ \sum_n \frac{1}{2} \mu_n \langle \dot{\phi}_n | \dot{\phi}_n \rangle + \sum_{nm} \lambda_{nm} [\langle \phi_n | \phi_m \rangle - \delta_{nm}]$$

$$\longrightarrow M_{\alpha} \ddot{R}_{\alpha} = -\partial \langle \Psi_j | \mathcal{H}_{el} | \Psi_j \rangle / \partial R_{\alpha}$$

$$\longrightarrow \mu_n \ddot{\phi}_n = -\delta \langle \Psi_j | \mathcal{H}_{el} | \Psi_j \rangle / \delta \phi_n^* + \sum_m \lambda_{nm} \phi_m$$



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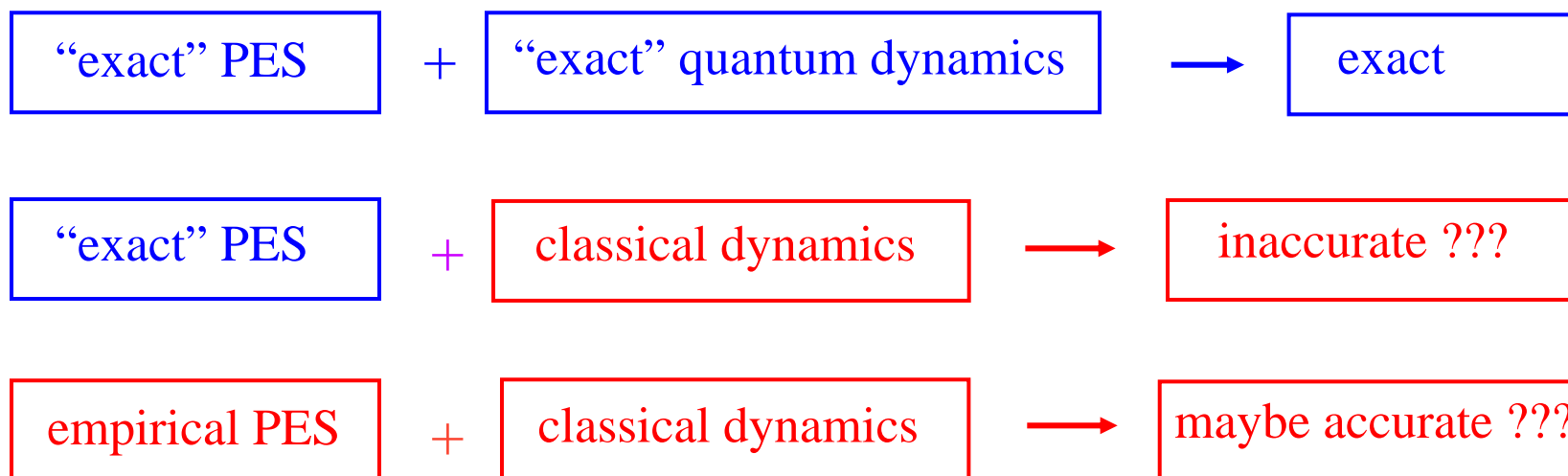
IV. Classical Molecular Dynamics

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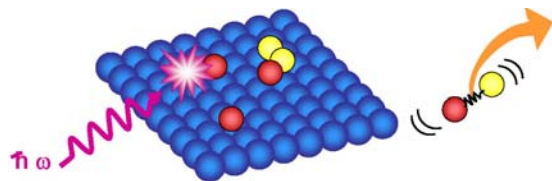
V. Adiabatic “on-the-fly” Dynamics

VI. Car-Parrinello Dynamics

CAUTION!



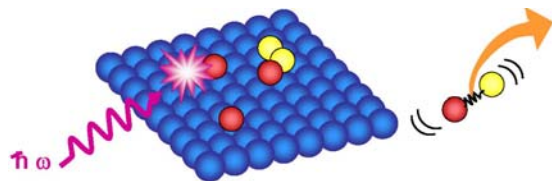
is “first-principles” synonymous with “less accurate”?



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VII. Infrequent Events

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Molecular Dynamics Time Step: $\sim 10^{-15}$ s

Feasible number of integration steps: $\sim 10^6 - 10^9$

Maximum Time for Direct MD Integration: $\sim 10^{-6}$ s

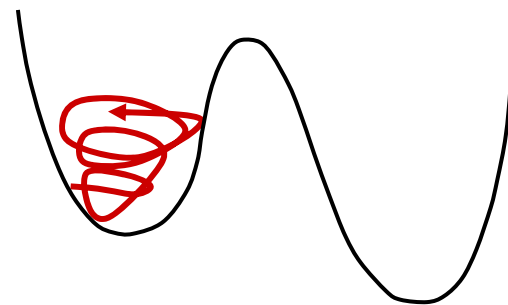
Moreover, need to sample multiple trajectories, different conditions, etc.

Examples:

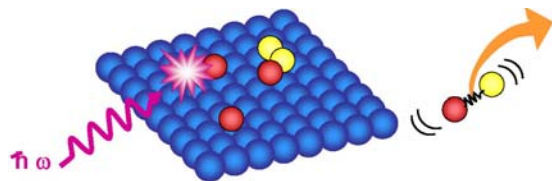
High activation energy processes

Slow relaxation times (timescale mismatch, glasses, ...)

Concerted movement of many atoms (protein folding)



Infrequent Event

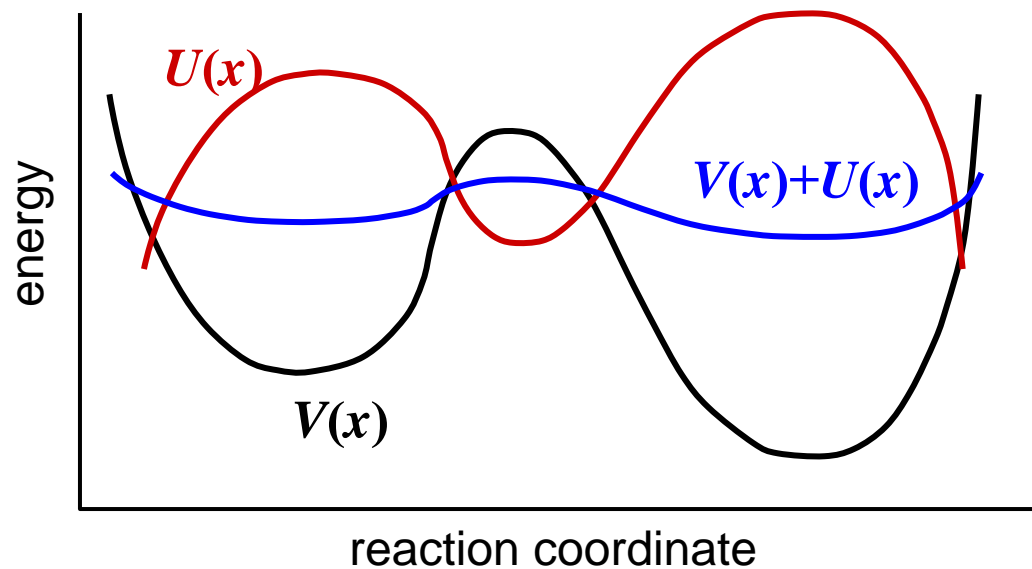


VII. Infrequent Events

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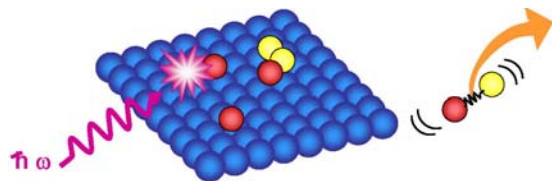
Many methods to enhance sampling for equilibrium simulations.

Non-Boltzmann sampling, e.g., **umbrella sampling**



Un-bias by weighting each point by $\exp[+U(x)/kT]$

But what about dynamics?

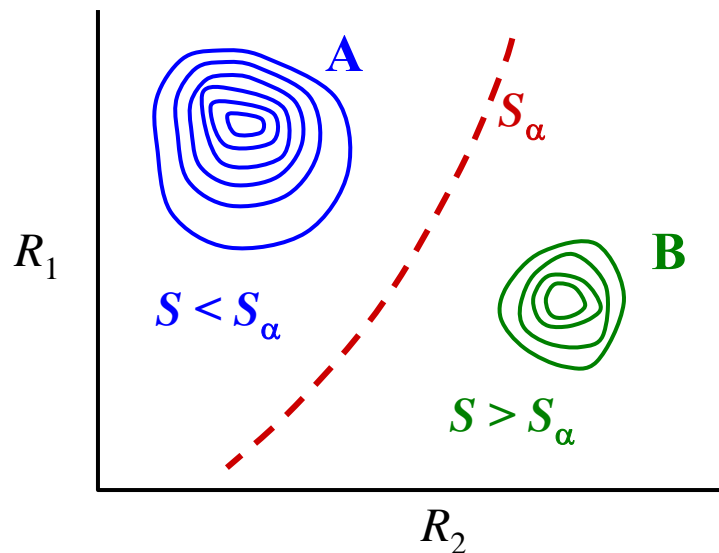


VII. Infrequent Events

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Reaction Rate Theory (Classical)

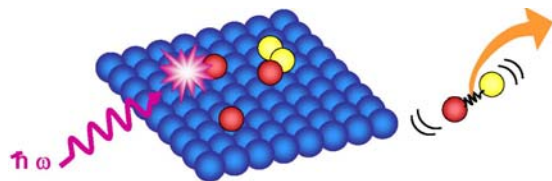
1. Define reactant and product regions and “equilibrium reaction rate”
2. Define reaction variable S and dividing surface S_α



3. Compute one-way flux through dividing plane:

$$k_\alpha^\ddagger = \int_0^\infty G_\alpha(p_s) \left(\frac{p_s}{m} \right) dp_s$$

forward only \nearrow \int_0^∞ fraction of molecules at $S = S_\alpha$ with momentum p_s \nearrow $G_\alpha(p_s)$ velocity at S_α \nearrow $\left(\frac{p_s}{m} \right)$



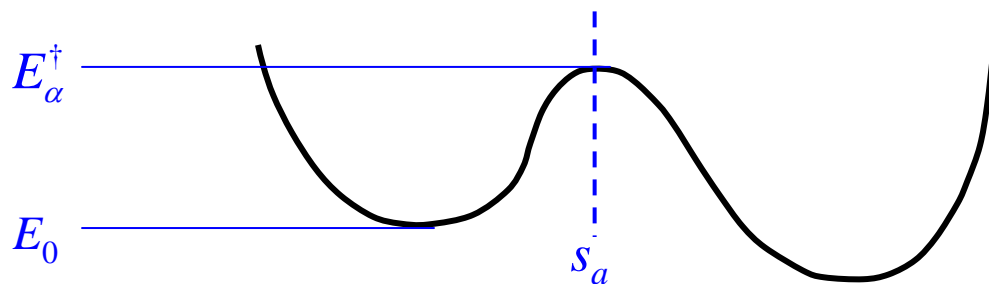
VII. Infrequent Events

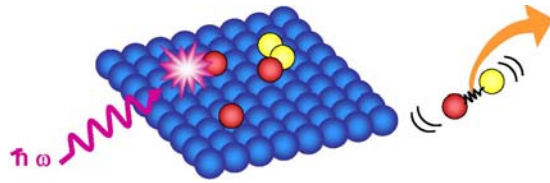
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$$G_\alpha(p_s) = \frac{\int \dots \int \exp[-\mathcal{H}(s, p_s, \underline{q}, \underline{p}_q) / kT] \delta(s - s_\alpha) ds d\underline{q} d\underline{p}_q}{\int_{-\infty}^{s_\alpha} \dots \int \exp[-\mathcal{H}(s, p_s, \underline{q}, \underline{p}_q) / kT] ds dp_s d\underline{q} d\underline{p}_q}$$

define: $Q_0 \exp(-E_0 / kT) = h^{-M} \int_{-\infty}^{s_\alpha} \dots \int \exp[-\mathcal{H}(s, p_s, \underline{q}, \underline{p}_q) / kT] ds dp_s d\underline{q} d\underline{p}_q$

Planck's constant: conventional quantum-classical correspondence





VII. Infrequent Events

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$$G_\alpha(p_s) = \frac{\int \dots \int \exp[-\mathcal{H}(s, p_s, \underline{q}, \underline{p}_q) / kT] \delta(s - s_\alpha) ds d\underline{q} d\underline{p}_q}{\int_{-\infty}^{s_\alpha} \dots \int \exp[-\mathcal{H}(s, p_s, \underline{q}, \underline{p}_q) / kT] ds dp_s d\underline{q} d\underline{p}_q}$$

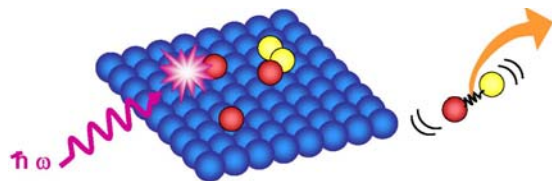
define: $Q_0 \exp(-E_0 / kT) = h^{-M} \int_{-\infty}^{s_\alpha} \dots \int \exp[-\mathcal{H}(s, p_s, \underline{q}, \underline{p}_q) / kT] ds dp_s d\underline{q} d\underline{p}_q$

Planck's constant: conventional
quantum-classical correspondence

student problem: simple harmonic oscillator: $V(x) = \frac{1}{2} K x^2 = \frac{1}{2} m \omega^2 x^2$

show that at high temperatures, the quantum and classical partition functions are equal if the classical partition function is defined by

$$Q_{classical} = \frac{1}{h} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \exp[-V(x) / kT] \exp[-p^2 / 2mkT] dx dp$$



VII. Infrequent Events

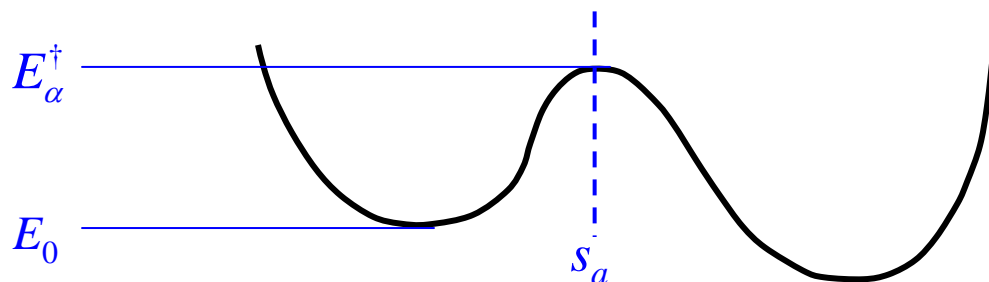
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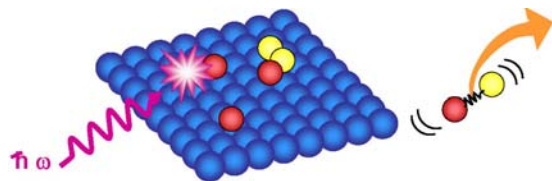
$$G_{\alpha}(p_s) = \frac{\int \dots \int \exp[-\mathcal{H}(s, p_s, \underline{q}, \underline{p}_q) / kT] \delta(s - s_{\alpha}) ds d\underline{q} d\underline{p}_q}{\int_{-\infty}^{s_{\alpha}} \dots \int \exp[-\mathcal{H}(s, p_s, \underline{q}, \underline{p}_q) / kT] ds dp_s d\underline{q} d\underline{p}_q}$$

define: $Q_0 \exp(-E_0 / kT) = h^{-M} \int_{-\infty}^{s_{\alpha}} \dots \int \exp[-\mathcal{H}(s, p_s, \underline{q}, \underline{p}_q) / kT] ds dp_s d\underline{q} d\underline{p}_q$

and $Q_{\alpha}^{\dagger} \exp(-E_{\alpha}^{\dagger} / kT) = h^{-(M-1)} \int \dots \int \exp[-\mathcal{H}^{\dagger}(s_{\alpha}, \underline{q}, \underline{p}_q) / kT] d\underline{q} d\underline{p}_q$

where $\mathcal{H}^{\dagger}(s_{\alpha}, \underline{q}, \underline{p}_q) = \mathcal{H}(s_{\alpha}, p_s, \underline{q}, \underline{p}_q) - p_s^2 / 2m$





VII. Infrequent Events

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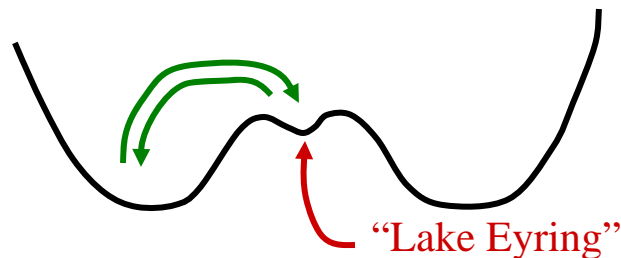
$$G_{\alpha}(p_s) = \exp\left(-\frac{p_s^2}{2mkT}\right) \frac{Q_{\alpha}^{\dagger}}{hQ_0} \exp[-(E_{\alpha}^{\dagger} - E_0)/kT]$$

$$k_{\alpha}^{\dagger} = \int_0^{\infty} \left(\frac{p_s}{m}\right) \exp\left(-\frac{p_s^2}{2mkT}\right) \frac{Q_{\alpha}^{\dagger}}{hQ_0} \exp\left[-\frac{(E_{\alpha}^{\dagger} - E_0)}{kT}\right] dp_s$$

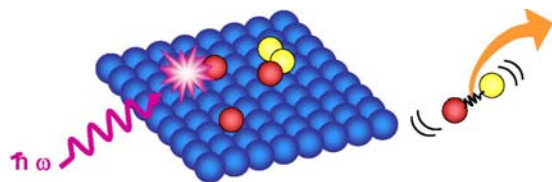
$$k_{\alpha}^{\dagger} = \frac{kT}{h} \frac{Q_{\alpha}^{\dagger}}{Q_0} \exp\left[-\frac{(E_{\alpha}^{\dagger} - E_0)}{kT}\right] \quad \text{transition state theory}$$

Activated Complex Theory

equilibration?



Henry Eyring



VII. Infrequent Events

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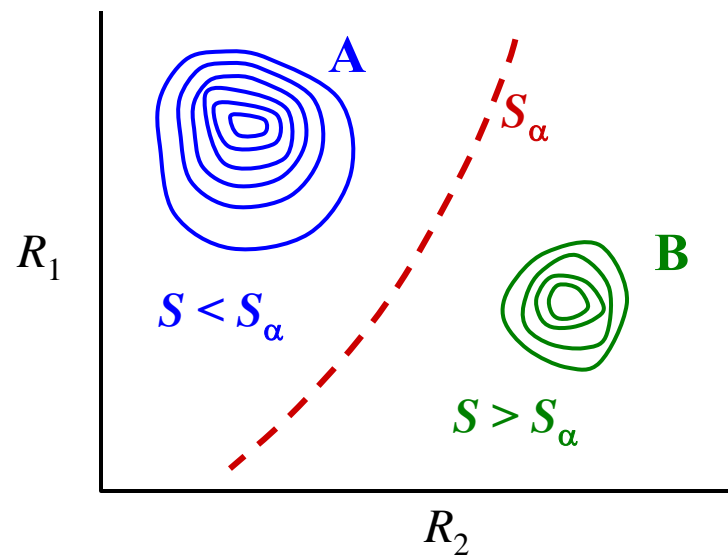
Transition State Theory is an upper limit to the true reaction rate

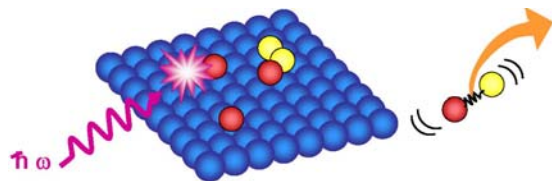
→ **Variational Transition State Theory**

VTST: Choose location of dividing surface to minimize transition state theory rate

But still not the true rate

→ **Recrossing correction**





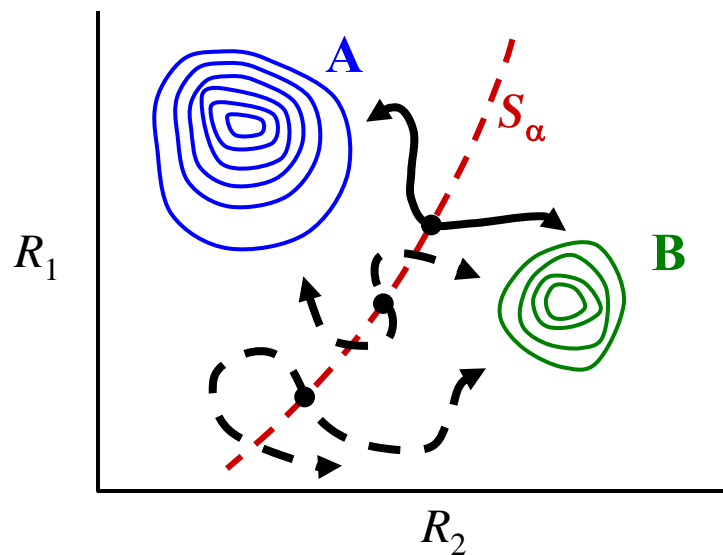
VII. Infrequent Events

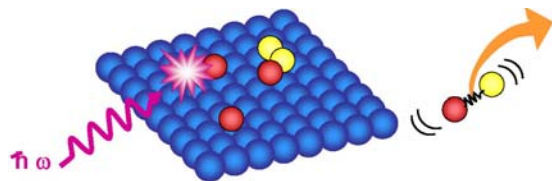
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Recrossing correction:

rate $k = f_\alpha k_\alpha^\ddagger$

1. f_α = fraction of reactive trajectories, $f_\alpha < 1$
2. TST rate depends on location of dividing surface S_α .
3. True rate does not depend on location of dividing surface S_α .
4. $k \leq k_\alpha^\ddagger$

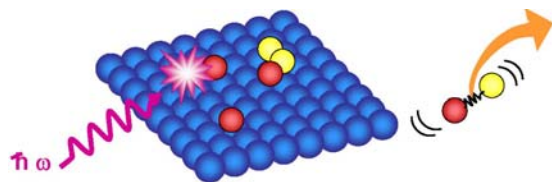




Chemical Dynamics

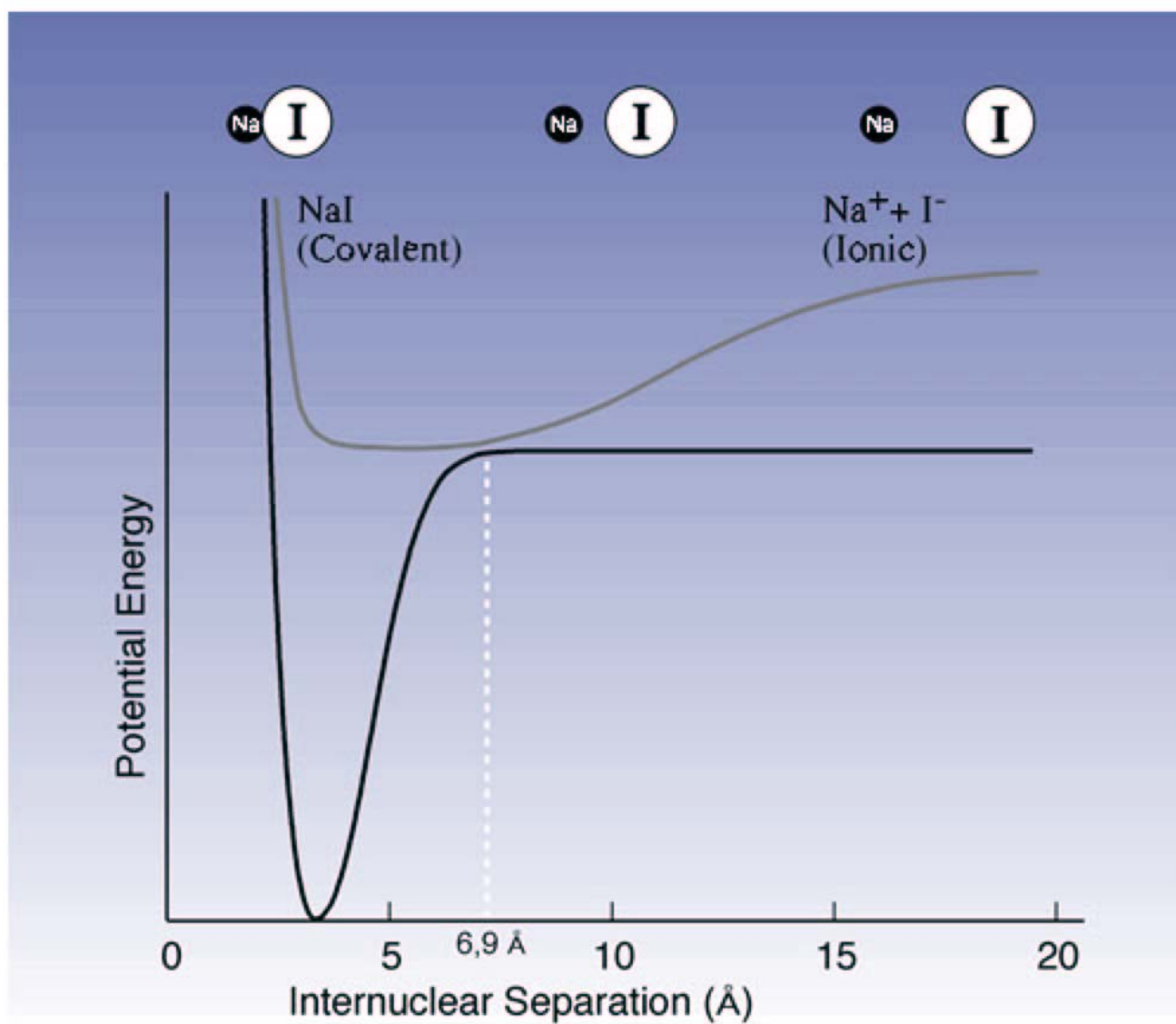
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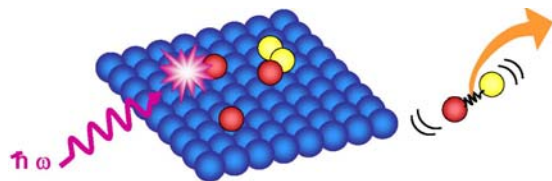


VIII. Beyond Born-Oppenheimer

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Ahmed Zewail

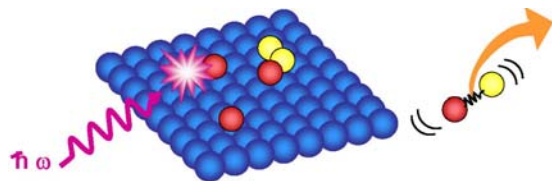


VIII. Beyond Born-Oppenheimer

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$$\Psi(\mathbf{r}, \mathbf{R}) = \Phi_i(\mathbf{r}; \mathbf{R}) \Omega_i(\mathbf{R})$$

Born-Oppenheimer

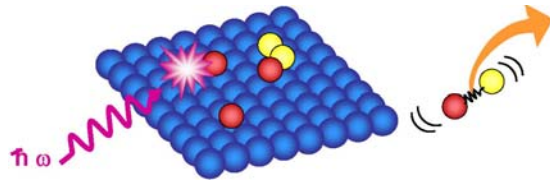


VIII. Beyond Born-Oppenheimer

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$$\Psi(\mathbf{r}, \mathbf{R}) = \sum_i \Phi_i(\mathbf{r}; \mathbf{R}) \Omega_i(\mathbf{R})$$

Beyond Born-Oppenheimer



VIII. Beyond Born-Oppenheimer

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$$\Psi(\mathbf{r}, \mathbf{R}) = \sum_i \Phi_i(\mathbf{r}; \mathbf{R}) \Omega_i(\mathbf{R})$$

Substitute into TISE, multiply from left by $\Phi_j^*(\mathbf{r}; \mathbf{R})$ integrate over \mathbf{r} :

$$\begin{aligned} -\frac{\hbar^2}{2} \sum_{\alpha} M_{\alpha}^{-1} \nabla_{R_{\alpha}}^2 \Omega_j(\mathbf{R}) + \mathcal{E}_j(\mathbf{R}) \Omega_j(\mathbf{R}) - E \Omega_j(\mathbf{R}) = \\ -\frac{\hbar^2}{2} \sum_i D_{ji}(\mathbf{R}) \Omega_i(\mathbf{R}) + \hbar^2 \sum_{i \neq j} \mathbf{d}_{ji}(\mathbf{R}) \cdot \nabla_{R_{\alpha}} \Omega_i(\mathbf{R}) \end{aligned}$$

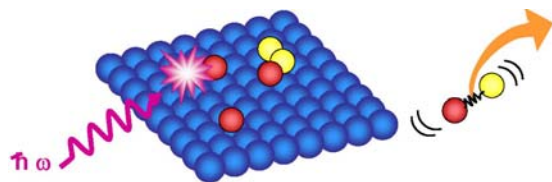
student problem:

show that $d_{ij}(\mathbf{R})$ is anti-Hermitian and its diagonal elements are zero

where nonadiabatic (derivative) couplings are defined by:

$$\mathbf{d}_{ij}(\mathbf{R}) = -\sum_{\alpha} M_{\alpha}^{-1} \int \left\{ \Phi_i^*(\mathbf{r}, \mathbf{R}) \left[\nabla_{R_{\alpha}} \Phi_j(\mathbf{r}, \mathbf{R}) \right] \right\} d\mathbf{r}$$

$$D_{ij}(\mathbf{R}) = -\sum_{\alpha} M_{\alpha}^{-1} \int \left\{ \Phi_i^*(\mathbf{r}, \mathbf{R}) \left[\nabla_{R_{\alpha}}^2 \Phi_j(\mathbf{r}, \mathbf{R}) \right] \right\} d\mathbf{r}$$

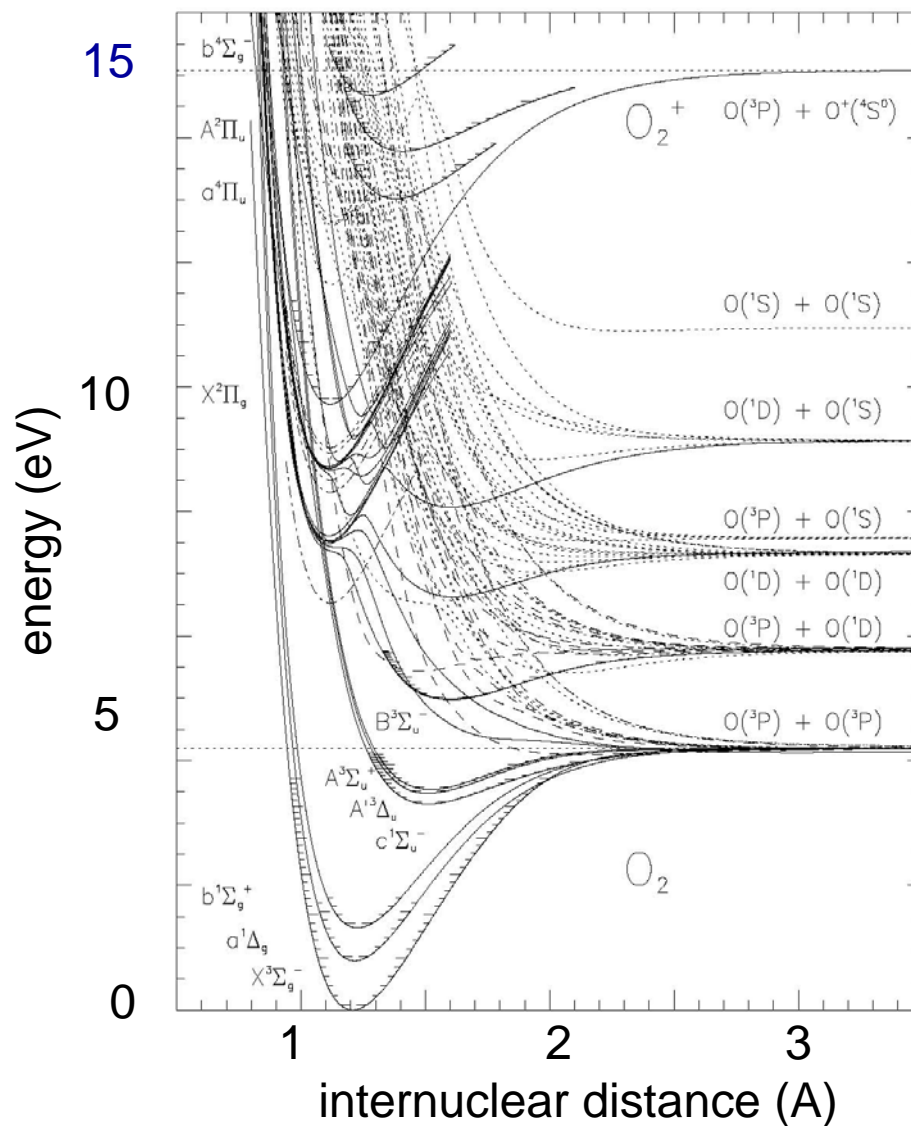


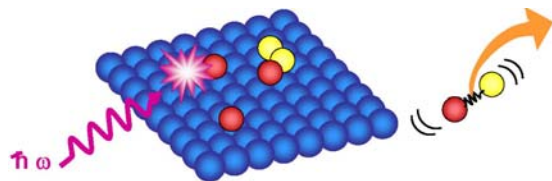
VIII. Beyond Born-Oppenheimer

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Potential Energy Curves for the Oxygen Molecule

from
R. P. Saxon and B. Liu,
J. Chem. Phys. 1977

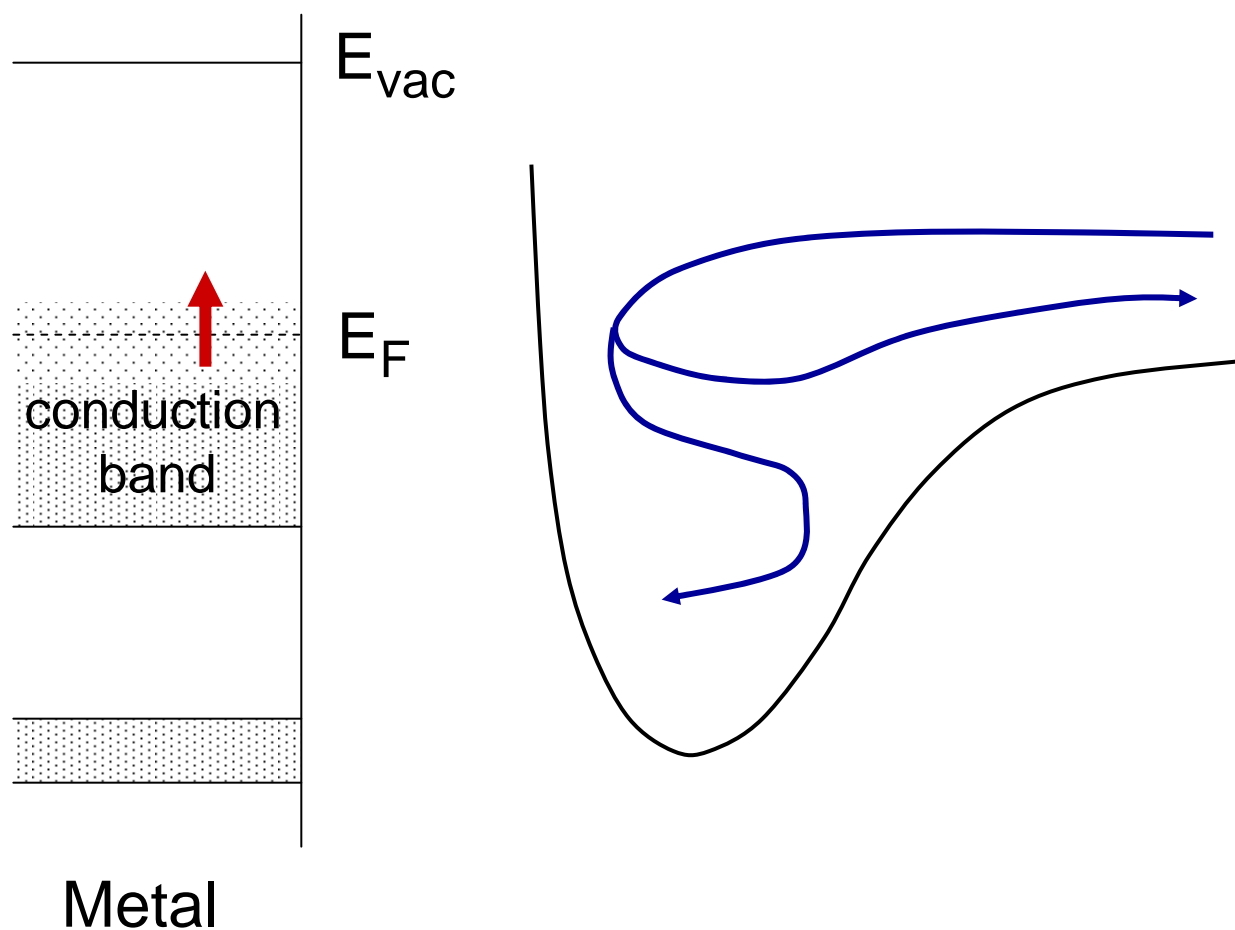


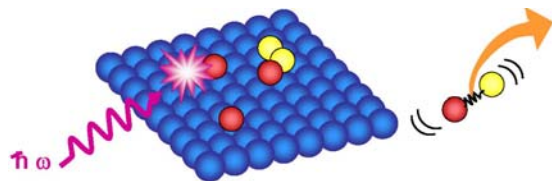


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Nonadiabatic Transitions at Metal Surfaces: Electron-Hole Pairs



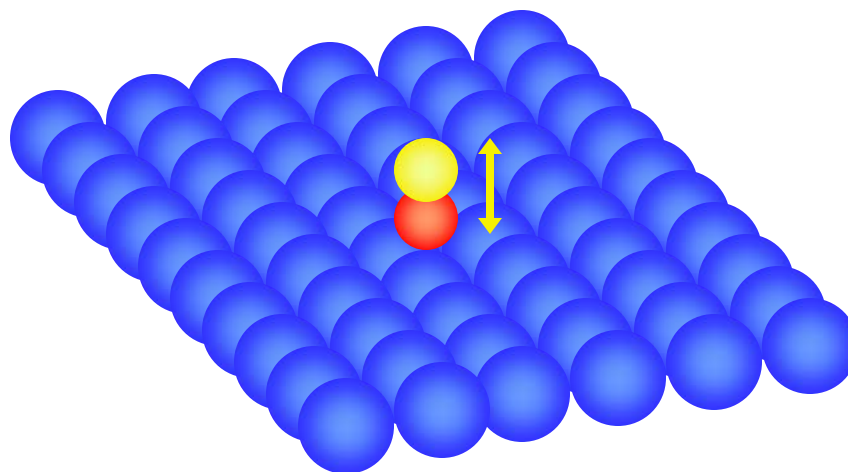


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Vibrational Lifetime of CO on Cu(100)

$$\nu = 1 \longrightarrow \nu = 0$$

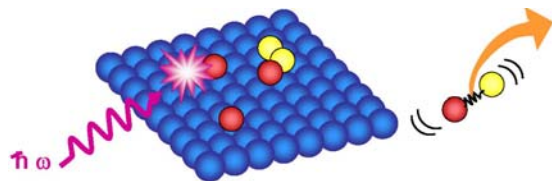


Molecular Dynamics:

$$t \sim 10^{-3} \text{ s.}$$

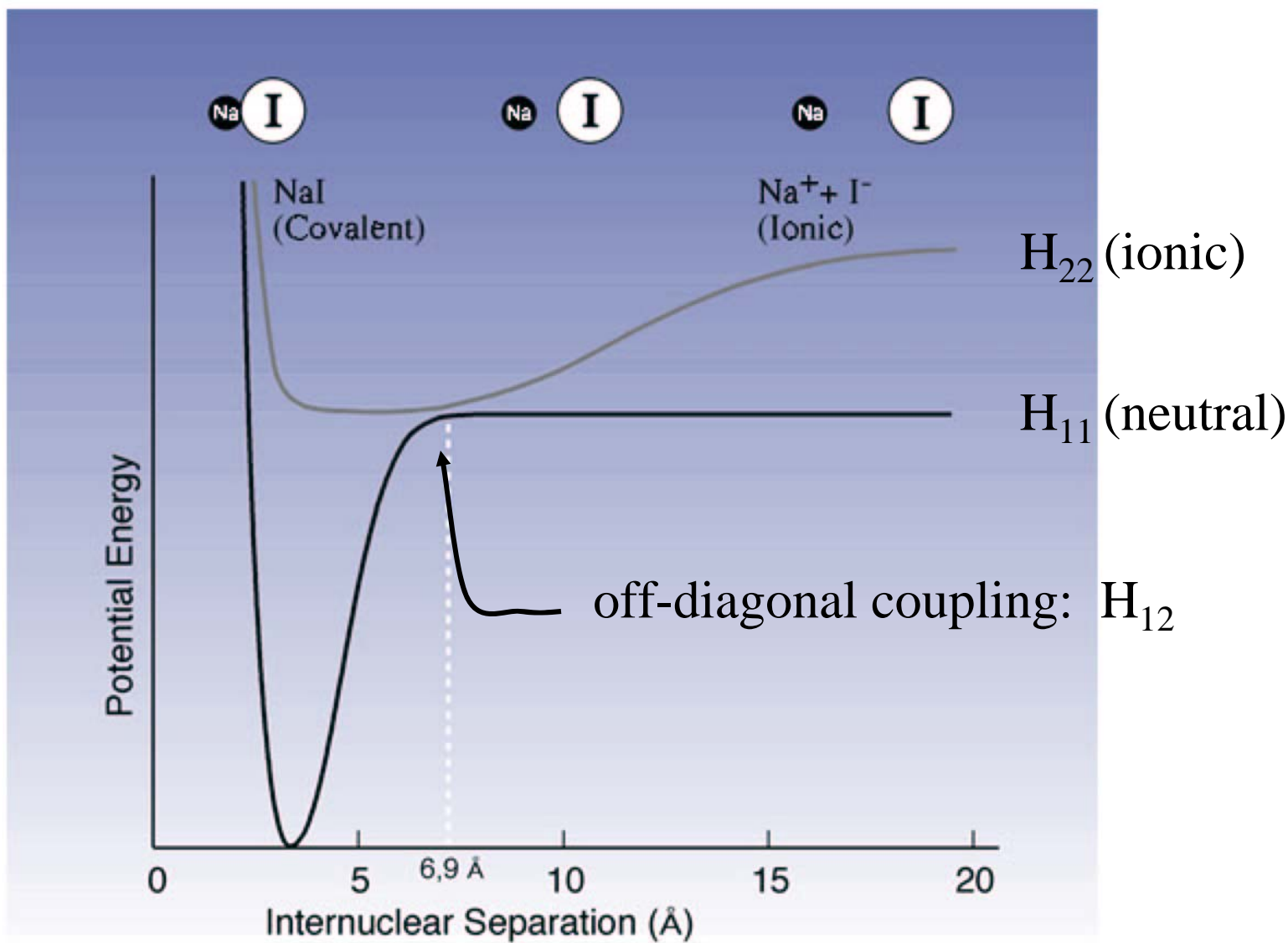
Experiment (A. Harris et al.):

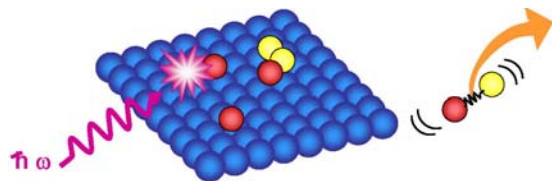
$$t = 2.5 \text{ ps.}$$



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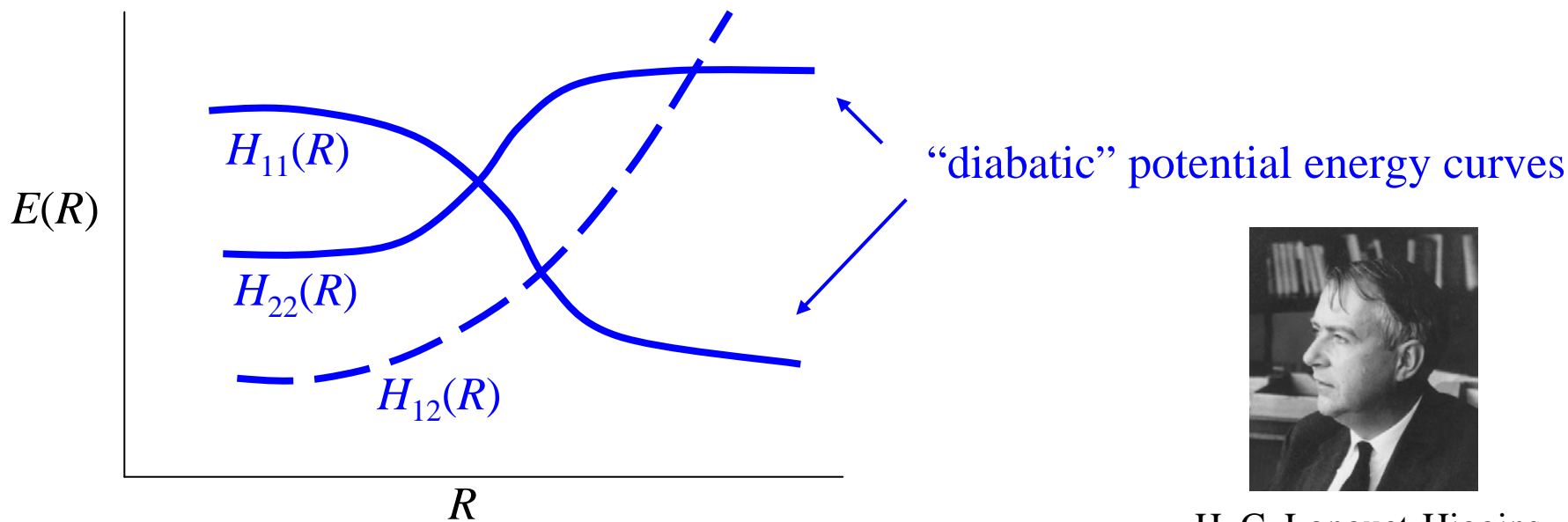




VIII. Beyond Born-Oppenheimer

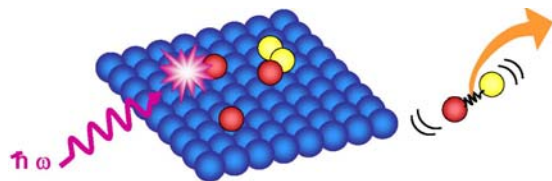
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The Non-Crossing Rule $\mathcal{H}(R) = \begin{bmatrix} H_{11}(R) & H_{12}(R) \\ H_{12}(R) & H_{22}(R) \end{bmatrix}$



H. C. Longuet-Higgins

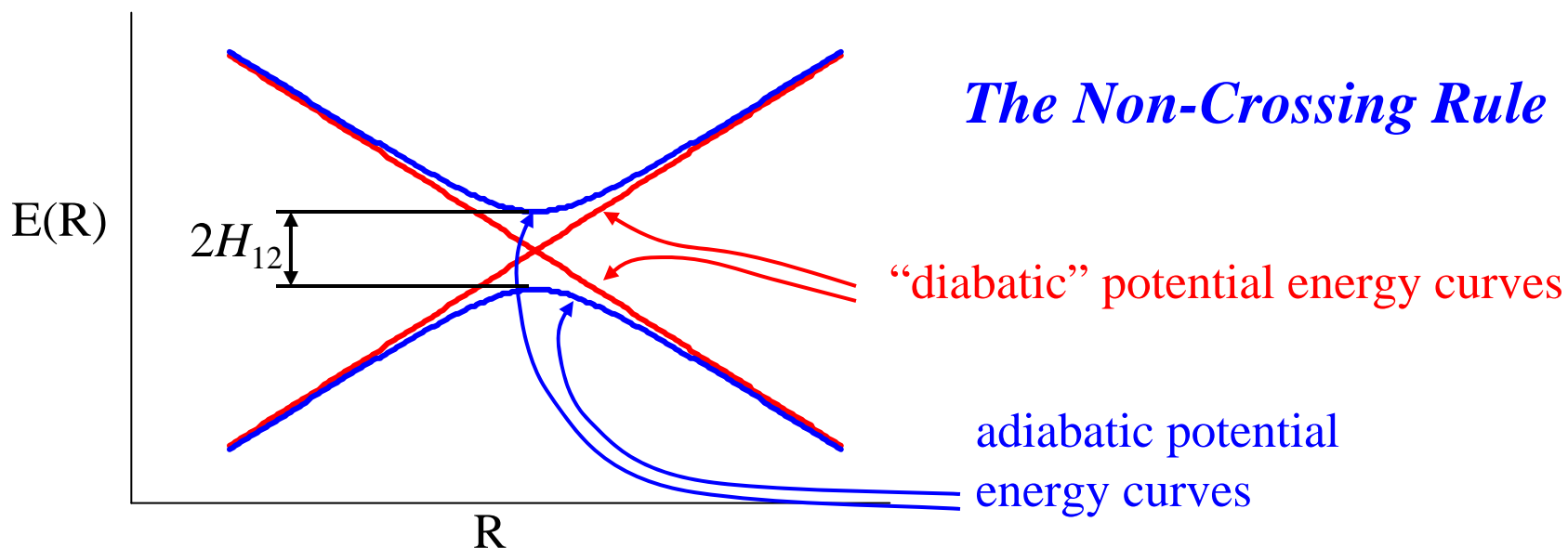
$$E_{\pm}(R) = \frac{H_{11}(R) + H_{22}(R)}{2} \pm \frac{1}{2} \sqrt{[H_{11}(R) - H_{22}(R)]^2 + 4[H_{12}(R)]^2}$$



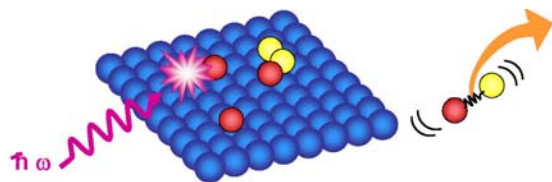
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$$\mathcal{H}(R) = \begin{bmatrix} H_{11}(R) & H_{12}(R) \\ H_{12}(R) & H_{22}(R) \end{bmatrix}$$

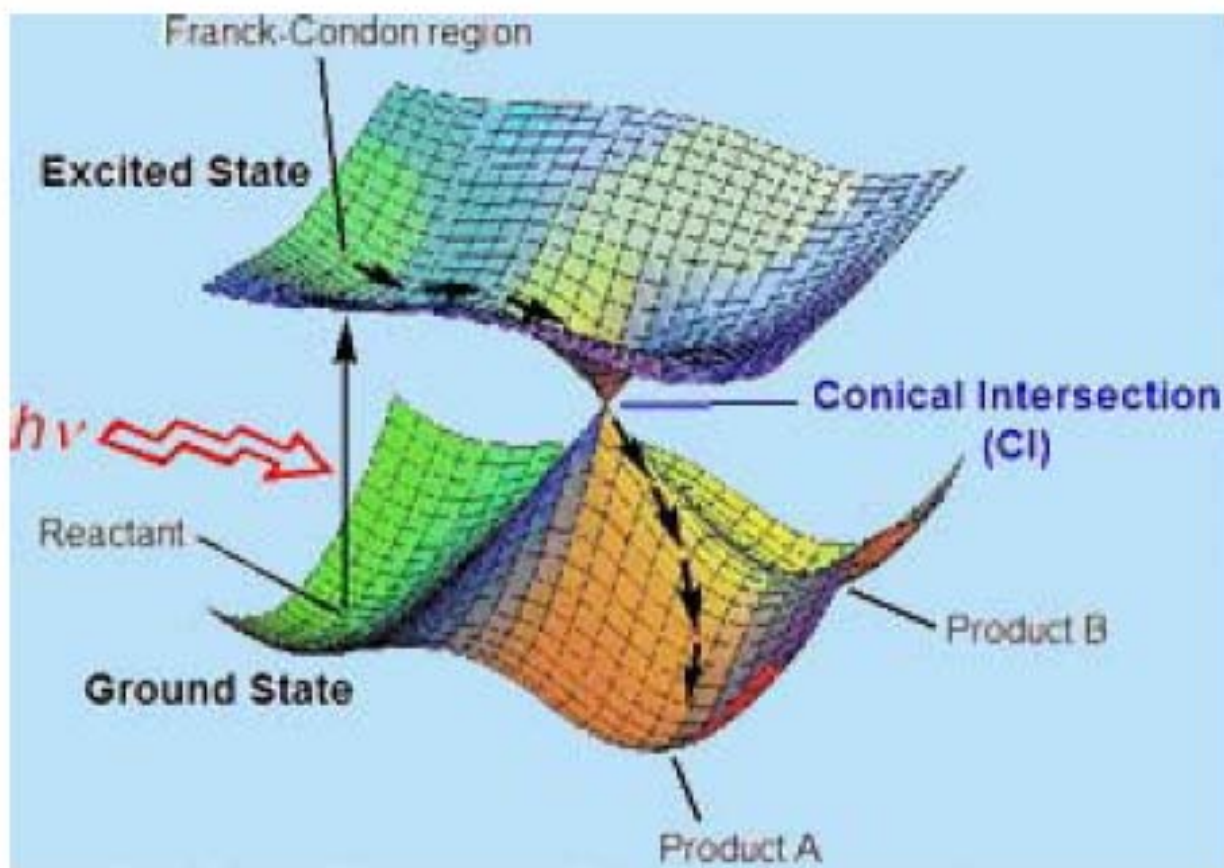


$$\mathcal{E}_{\pm}(R) = \frac{H_{11}(R) + H_{22}(R)}{2} \pm \frac{1}{2} \sqrt{[H_{11}(R) - H_{22}(R)]^2 + 4[H_{12}(R)]^2}$$



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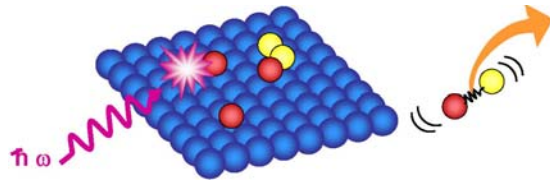


The non-crossing rule
for more than 1 degree
of freedom:

“Conical Intersection”

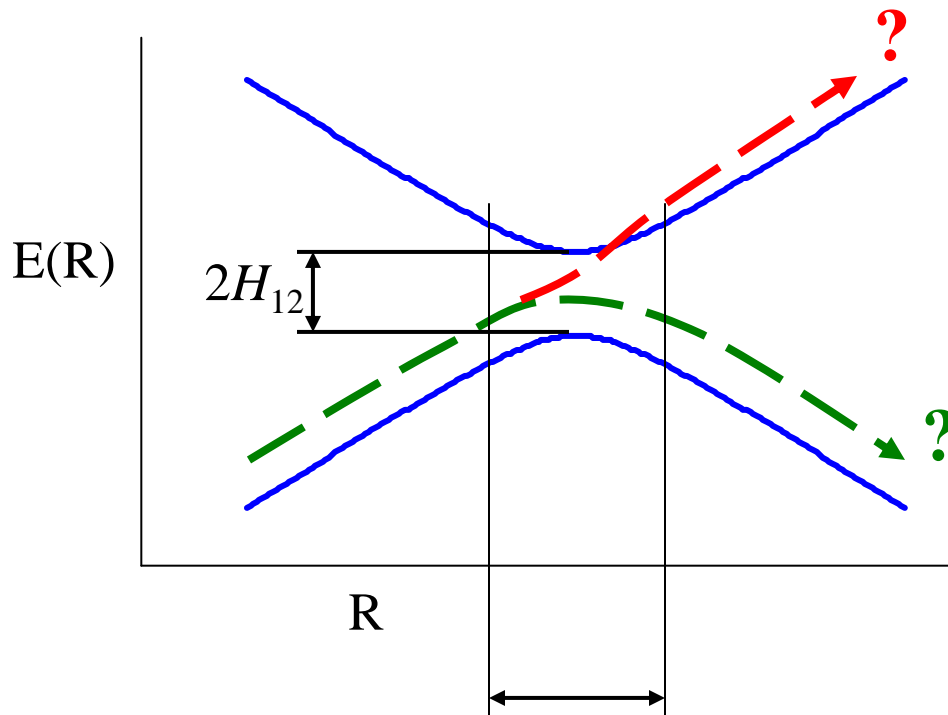
N degrees of freedom:
 $N-2$ dimensional “seam”

$$E_{\pm}(R_1, R_2) = \frac{H_{11}(R_1, R_2) + H_{22}(R_1, R_2)}{2} \pm \frac{1}{2} \sqrt{[H_{11}(R_1, R_2) - H_{22}(R_1, R_2)]^2 + 4[H_{12}(R_1, R_2)]^2}$$



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$$\approx 2H_{12} / |\partial(H_{11} - H_{22}) / \partial R|$$

The Massey Criterion:

$$\Delta E \Delta t \gg \hbar$$

$$\Delta E \approx 2H_{12}$$

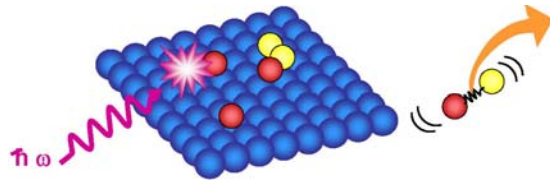
$$\Delta t \approx \text{distance/velocity}$$

$$\approx 2H_{12} / |\partial(H_{11} - H_{22}) / \partial R| / \dot{R}$$

$$\rightarrow \frac{\hbar \dot{R} |\partial(H_{11} - H_{22}) / \partial R|}{4H_{12}^2} \ll 1 \rightarrow \text{adiabatic}$$

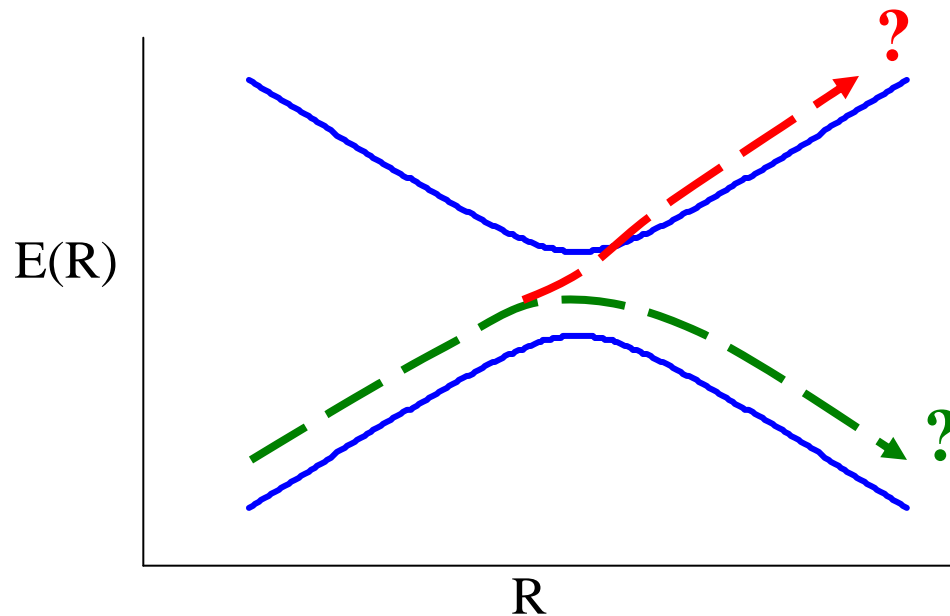


H. S. W. Massey



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Landau-Zener Approximation

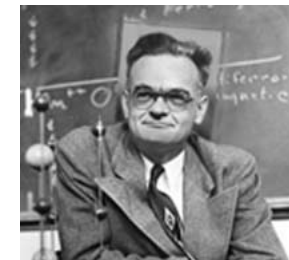
Assumptions:

1. H_{11} and H_{22} linear
2. H_{12} constant
3. Velocity constant

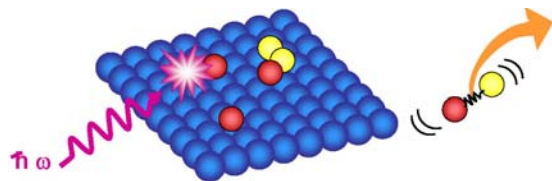
$$P_{\text{nonad}} \approx \exp \left[\frac{-2\pi H_{12}^2}{\hbar \dot{R} \left| \partial(H_{11} - H_{22}) / \partial R \right|} \right]$$



L. D. Landau



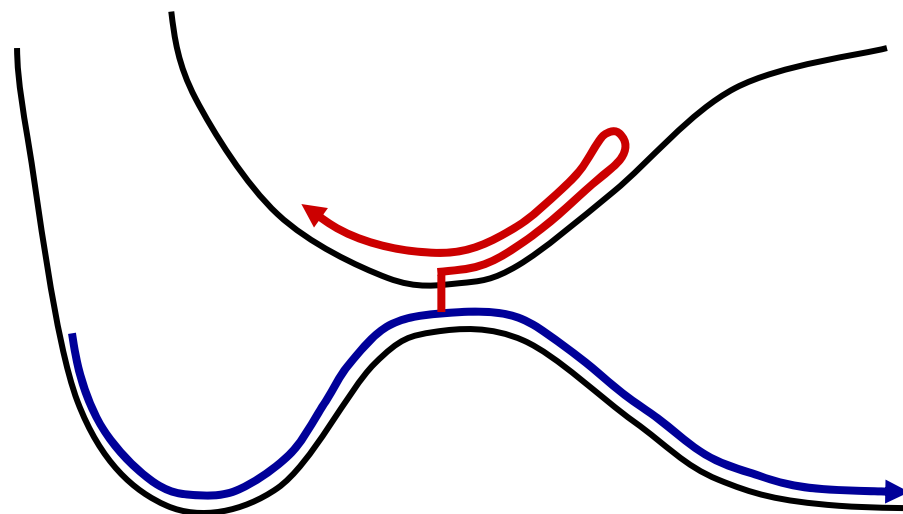
C. M. Zener



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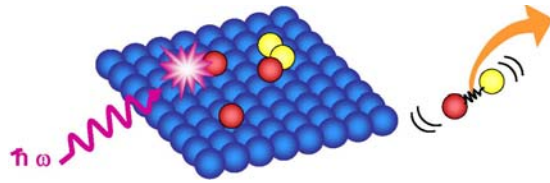
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How do we simulate dynamics?



- Classical motion induces electronic transitions
- Quantum state determines classical forces

→ Quantum – Classical Feedback: Self-Consistency



VIII. Beyond Born-Oppenheimer

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$$\Psi(\mathbf{r}, \mathbf{R}) = \sum_i \Phi_i(\mathbf{r}; \mathbf{R}) \Omega_i(\mathbf{R})$$

Substitute into TISE, multiply from left by $\Phi_j^*(\mathbf{r}; \mathbf{R})$ integrate over \mathbf{r} :

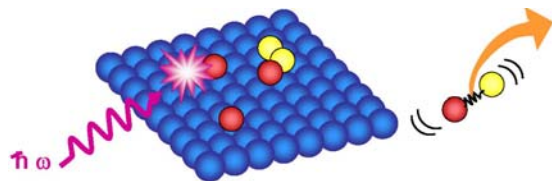
$$-\frac{\hbar^2}{2} \sum_{\alpha} M_{\alpha}^{-1} \nabla_{R_{\alpha}}^2 \Omega_j(\mathbf{R}) + \mathcal{E}_j(\mathbf{R}) \Omega_j(\mathbf{R}) - E \Omega_j(\mathbf{R}) =$$

$$-\frac{\hbar^2}{2} \sum_i D_{ji}(\mathbf{R}) \Omega_i(\mathbf{R}) + \hbar^2 \sum_{i \neq j} \mathbf{d}_{ji}(\mathbf{R}) \cdot \nabla_{R_{\alpha}} \Omega_i(\mathbf{R})$$

Wave packet methods: Initial wave function: Gaussian wave packet

$$\Omega_i(x, 0) = \left(\frac{2}{\pi a^2} \right)^{1/4} \exp(ik_0 x) \exp[-(x - x_0)^2 / a^2]$$

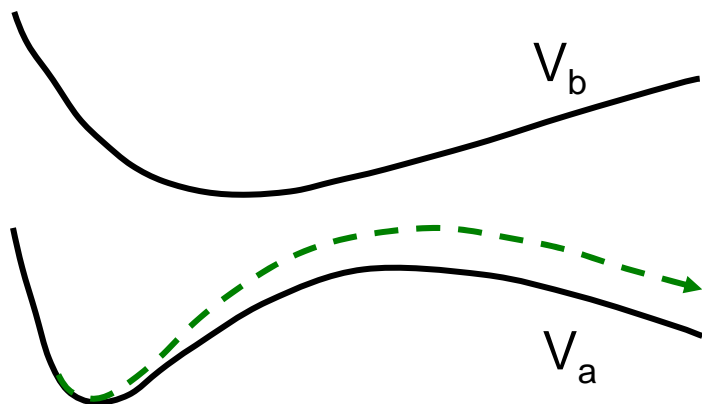
$$\Omega_j(x, 0) = 0, \quad j \neq i$$



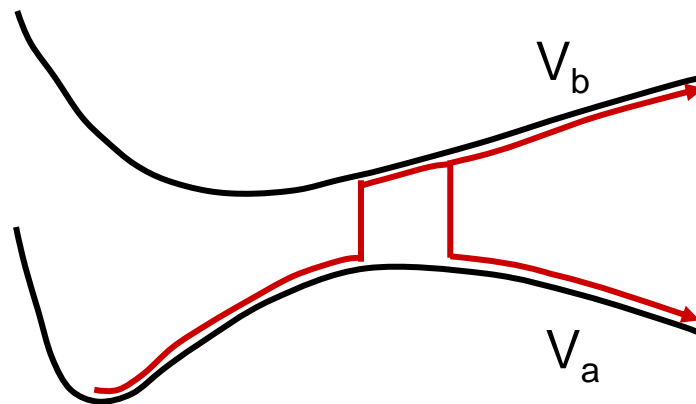
VIII. Beyond Born-Oppenheimer

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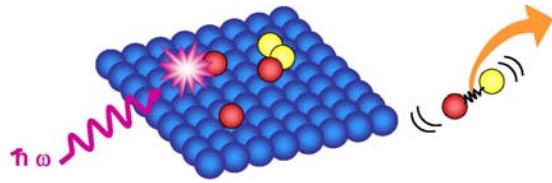
TWO GENERAL MIXED QUANTUM-CLASSICAL APPROACHES FOR INCLUDING FEEDBACK



Ehrenfest (SCF)



Surface-Hopping



VIII. Beyond Born-Oppenheimer

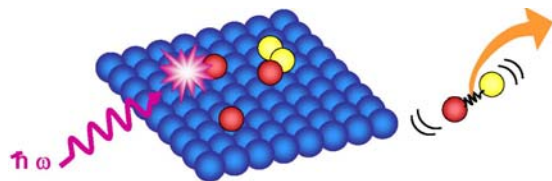
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$$i\hbar \frac{\partial \Phi(r,t)}{\partial t} = \mathcal{H}_{el} \Phi(r,t)$$

A diagram showing a blue solid curve representing a potential energy well. A red dashed curve represents a wave packet moving along the potential. A red arrow points from the equation $\mathcal{H}_{el} \Phi(r,t)$ to the wave packet. The horizontal axis is labeled $R(t)$.

$$\Phi(r,t) = \sum_i c_i(t) \phi_i(r;R) \quad (\text{adiabatic states})$$

$$dc_j/dt = -\frac{i}{\hbar} V_{jj} c_j - \dot{R} \cdot \sum_i \langle \phi_j(r;R) | \nabla_R \phi_i(r;R) \rangle c_i$$



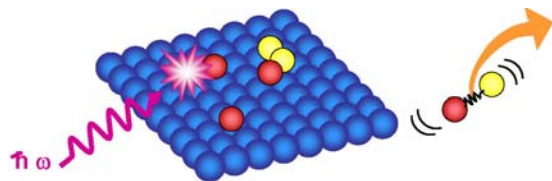
VIII. Beyond Born-Oppenheimer

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$$i\hbar \frac{\partial \Phi(r,t)}{\partial t} = \mathcal{H}_{el} \Phi(r,t)$$
A diagram showing a solid blue curve representing a classical path $R(t)$ with an arrow at its end. A dashed red curve represents a quantum wavefunction, which oscillates and is centered around the blue curve. A red arrow points from the $\mathcal{H}_{el} \Phi(r,t)$ term in the equation above to the dashed red curve.

Classical path must respond **self-consistently** to quantum transitions: “quantum back-reaction”

Ehrenfest and Surface Hopping differ only in how classical path is defined



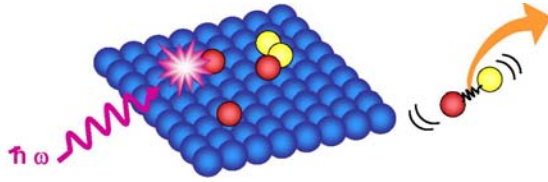
Chemical Dynamics

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- I. Quantum Dynamics
- II. Semiclassical Dynamics
aside: tutorial on classical mechanics
- III. The Classical Limit via the Bohm Equations
- IV. Classical Molecular Dynamics
- V. Adiabatic “on-the-fly” Dynamics
- VI. Car-Parrinello Dynamics
- VII. Infrequent Events
aside: transition state theory and re-crossing
- VIII. Beyond Born Oppenheimer
- IX. Ehrenfest Dynamics**
- X. Surface Hopping
- XI. Dynamics at Metal Surfaces
- XII. Mixed Quantum-Classical Nuclear Dynamics



Paul Ehrenfest



IX. Ehrenfest Dynamics

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$$i\hbar \frac{\partial}{\partial t} \Psi(\mathbf{r}, \mathbf{R}, t) = \mathcal{H}(\mathbf{r}, \mathbf{R}) \Psi(\mathbf{r}, \mathbf{R}, t) \quad (1)$$

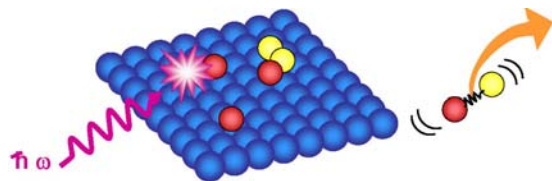
Self-consistent Field Approximation (fully quantum):

$$\Psi(\mathbf{r}, \mathbf{R}, t) = \Phi(\mathbf{r}, t) \Omega(\mathbf{R}, t) \exp\left[\frac{i}{\hbar} \int^t E_r(t') dt'\right] \quad (2)$$

Substituting (2) into (1), multiplying on the left by $\Omega(\mathbf{R}, t)$ and integrating over \mathbf{R} gives the SCF equation for the electronic wave function $\Phi(\mathbf{r}, t)$:

$$i\hbar \frac{\partial \Phi(\mathbf{r}, t)}{\partial t} = -\frac{\hbar^2}{2m_e} \sum_i \nabla_i^2 \Phi(\mathbf{r}, t) + \tilde{V}_{rR}(\mathbf{r}, \mathbf{R}) \Phi(\mathbf{r}, t) \quad (3)$$

$$\text{where } \tilde{V}_{rR}(\mathbf{r}, \mathbf{R}) = \int \Omega^*(\mathbf{R}, t) V_{rR}(\mathbf{r}, \mathbf{R}) \Omega(\mathbf{R}, t) d\mathbf{R} \quad (4)$$



IX. Ehrenfest Dynamics

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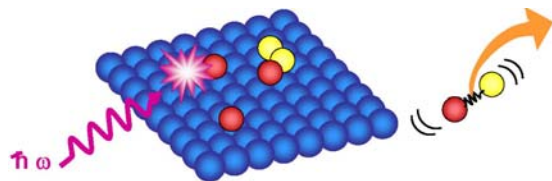
Substituting (2) into (1), multiplying on the left by $\Xi(\mathbf{r}, t)$ and integrating over \mathbf{r} gives the equivalent SCF equation for the nuclear wave function $\Omega(\mathbf{R}, t)$:

$$i\hbar \frac{\partial \Omega(\mathbf{R}, t)}{\partial t} = -\frac{\hbar^2}{2} \sum_{\alpha} M_{\alpha}^{-1} \nabla_{R_{\alpha}}^2 \Omega(\mathbf{R}, t) + \int \Phi^*(\mathbf{r}, t) \mathcal{H}_{el}(\mathbf{r}, \mathbf{R}) \Phi(\mathbf{r}, t) d\mathbf{r} \Omega(\mathbf{R}, t) \quad (5)$$

Thus, the potential energy function governing the nuclei becomes

$$\int \Phi^*(\mathbf{r}, t) \mathcal{H}_{el}(\mathbf{r}, \mathbf{R}) \Phi(\mathbf{r}, t) d\mathbf{r} \quad \text{instead of the adiabatic energy } \mathcal{E}_j(\mathbf{R}).$$

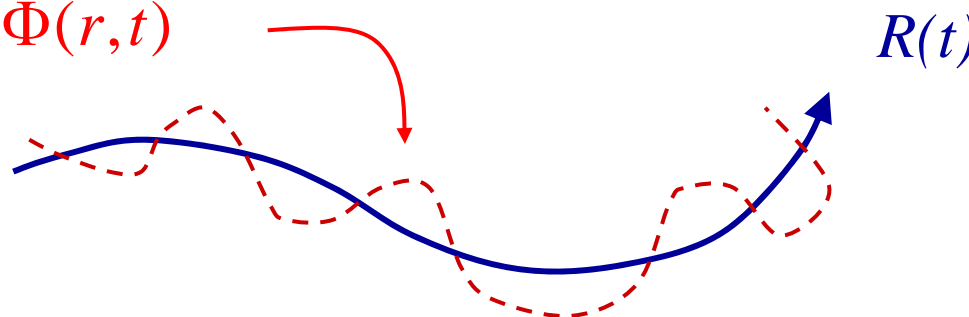
The Ehrenfest method consists of taking classical limit of Eq. 5, i.e., running classical trajectories subject to this potential function



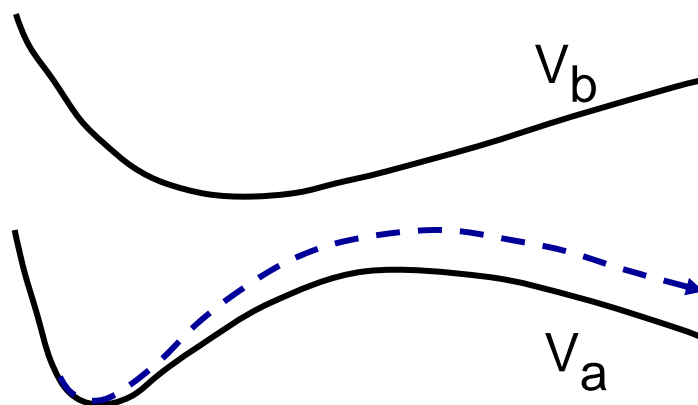
IX. Ehrenfest Dynamics

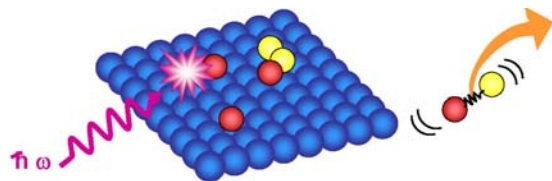
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$$i\hbar \frac{\partial \Phi(r,t)}{\partial t} = \mathcal{H}_{el} \Phi(r,t)$$



$$M \ddot{R}(t) = -\nabla_R \langle \Phi(t) | \mathcal{H}_{el} | \Phi(t) \rangle$$



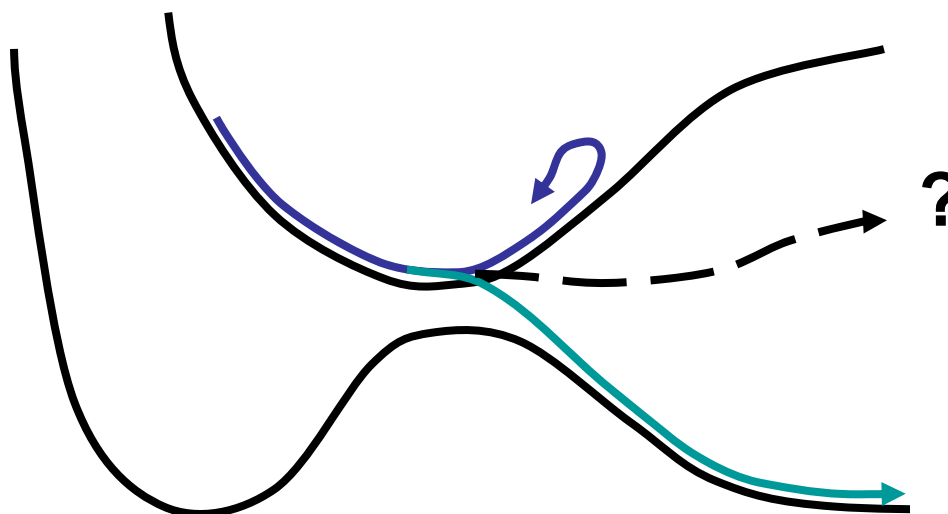


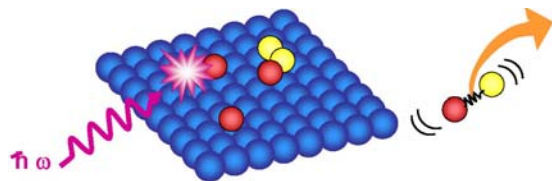
IX. Ehrenfest Dynamics

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$$M \ddot{R}(t) = -\nabla_R \langle \Phi(t) | \mathcal{H}_{el} | \Phi(t) \rangle$$

Problem:
single configuration
→ average path

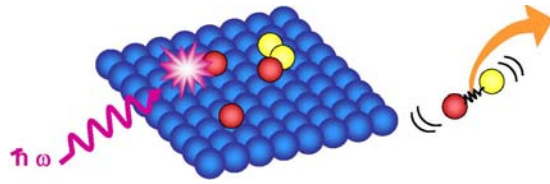




Chemical Dynamics

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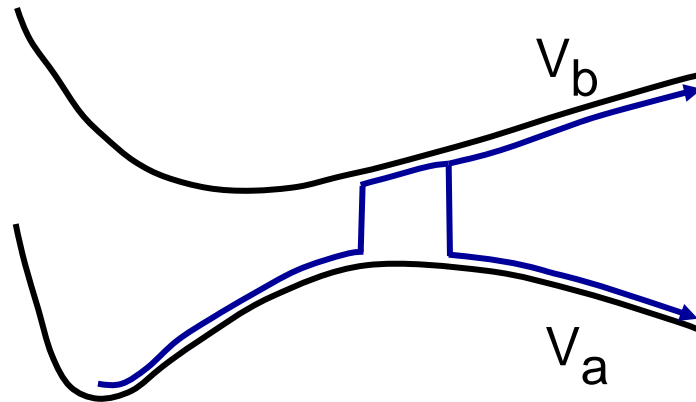
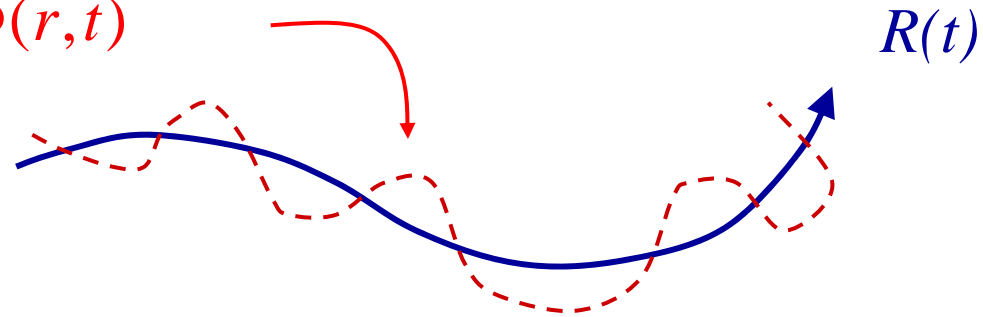
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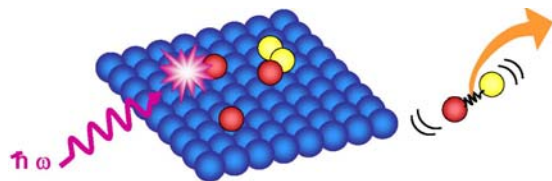


X. Surface Hopping

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$$i\hbar \frac{\partial \Phi(r,t)}{\partial t} = \mathcal{H}_{el} \Phi(r,t)$$





X. Surface Hopping

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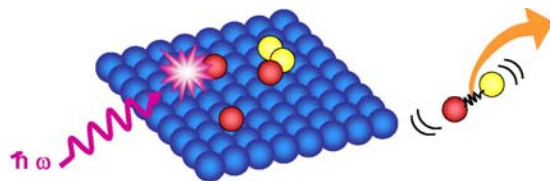
Multi-Configuration Wave Function:

$$\Psi(\mathbf{r}, \mathbf{R}, t) = \sum_j \Phi_j(\mathbf{r}, \mathbf{R}) \Omega_j(\mathbf{R}, t)$$

Substitute into Schrodinger Eq and take classical limit:

→ Surface Hopping

However, a rigorous classical limit has not been achieved !



X. Surface Hopping

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One Approach: Multi-Configuration Bohm Equations:

$$\Psi(\mathbf{r}, \mathbf{R}, t) = \sum_j \Phi_j(\mathbf{r}, \mathbf{R}) \Omega_j(\mathbf{R}, t)$$

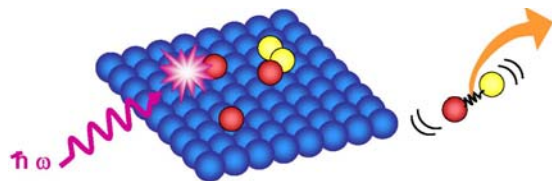
$$\Omega_j(\mathbf{R}, t) = A_j(\mathbf{R}, t) \exp\left[\frac{i}{\hbar} S_j(\mathbf{R}, t)\right]$$

$$\dot{S}_j = -\frac{1}{2M} (\nabla_R S_j)^2 - E_j(\mathbf{R}) - \frac{\hbar^2}{2M} \frac{\nabla_R^2 A_j}{A_j}$$

small $\hbar \rightarrow$

$$\dot{S}_j = -\frac{1}{2M} (\nabla_R S_j)^2 - E_j(\mathbf{R})$$

\rightarrow motion on potential energy surface j



X. Surface Hopping

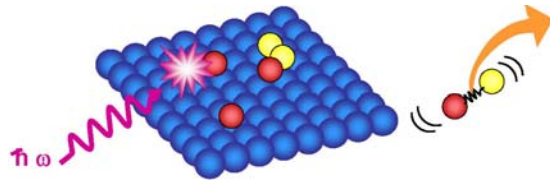
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$$\dot{A}_n = \nabla_R A_n \cdot \dot{\mathbf{R}} - \frac{1}{2M} A_n \nabla_R^2 S_n - \sum_m A_m \langle \Phi_n \nabla_R \Phi_m \rangle \cdot \dot{\mathbf{R}} \exp\left[\frac{i}{\hbar}(S_m - S_n)\right]$$

Surface Hopping:

Evaluate all quantities along a single path

Sum over many stochastic paths



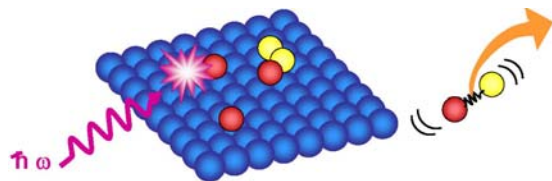
X. Surface Hopping

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Multi-Configuration Theory: *Surface Hopping*

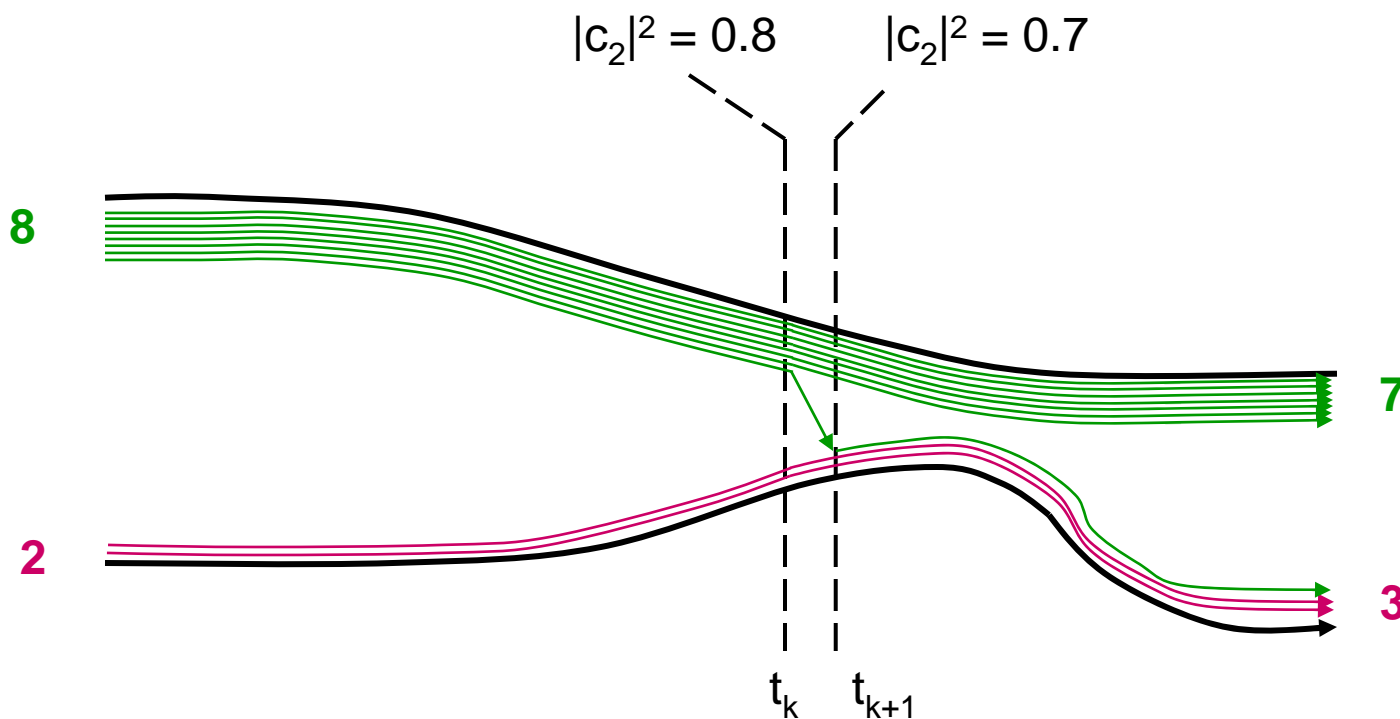
$$i\hbar \frac{\partial \Phi(r, t)}{\partial t} = \mathcal{H}_{el} \Phi(r, t)$$

- 1] $M \ddot{R}(t) = -\nabla_R \mathcal{E}_k$, i.e., motion on single p.e.s.
- 2] Stochastic “hops” between states so that probability = $|c_k|^2$
- 3] Apply instantaneous “Pechukas Force” to conserve energy
- 4] “Fewest Switches”: achieve [2] with fewest possible hops:



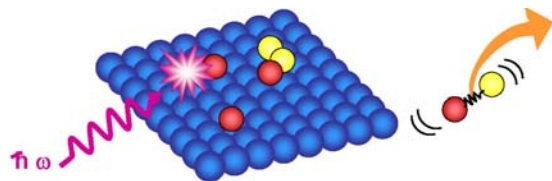
X. Surface Hopping

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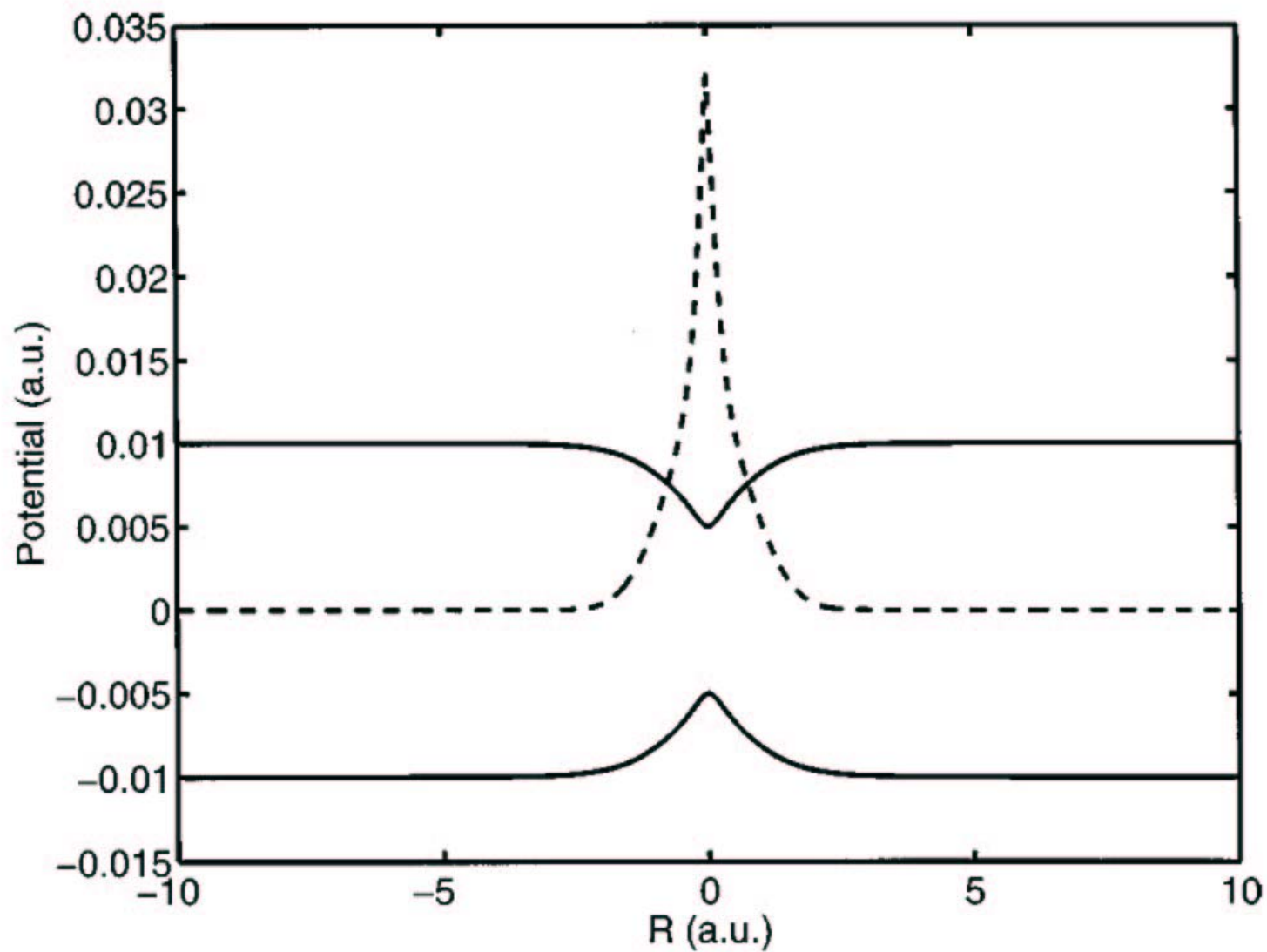
Stochastic *Fewest Switches* algorithm (2-state):

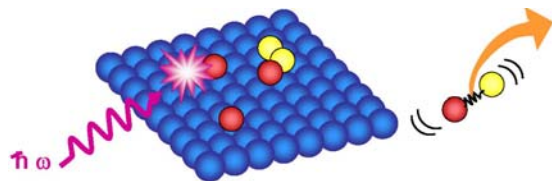
$$P_{2 \rightarrow 1} = \begin{cases} \frac{|c_2(k)|^2 - |c_2(k+1)|^2}{|c_2(k)|^2}, & |c_2(k)|^2 > |c_2(k+1)|^2 \\ 0, & |c_2(k)|^2 \leq |c_2(k+1)|^2 \end{cases}$$



X. Surface Hopping

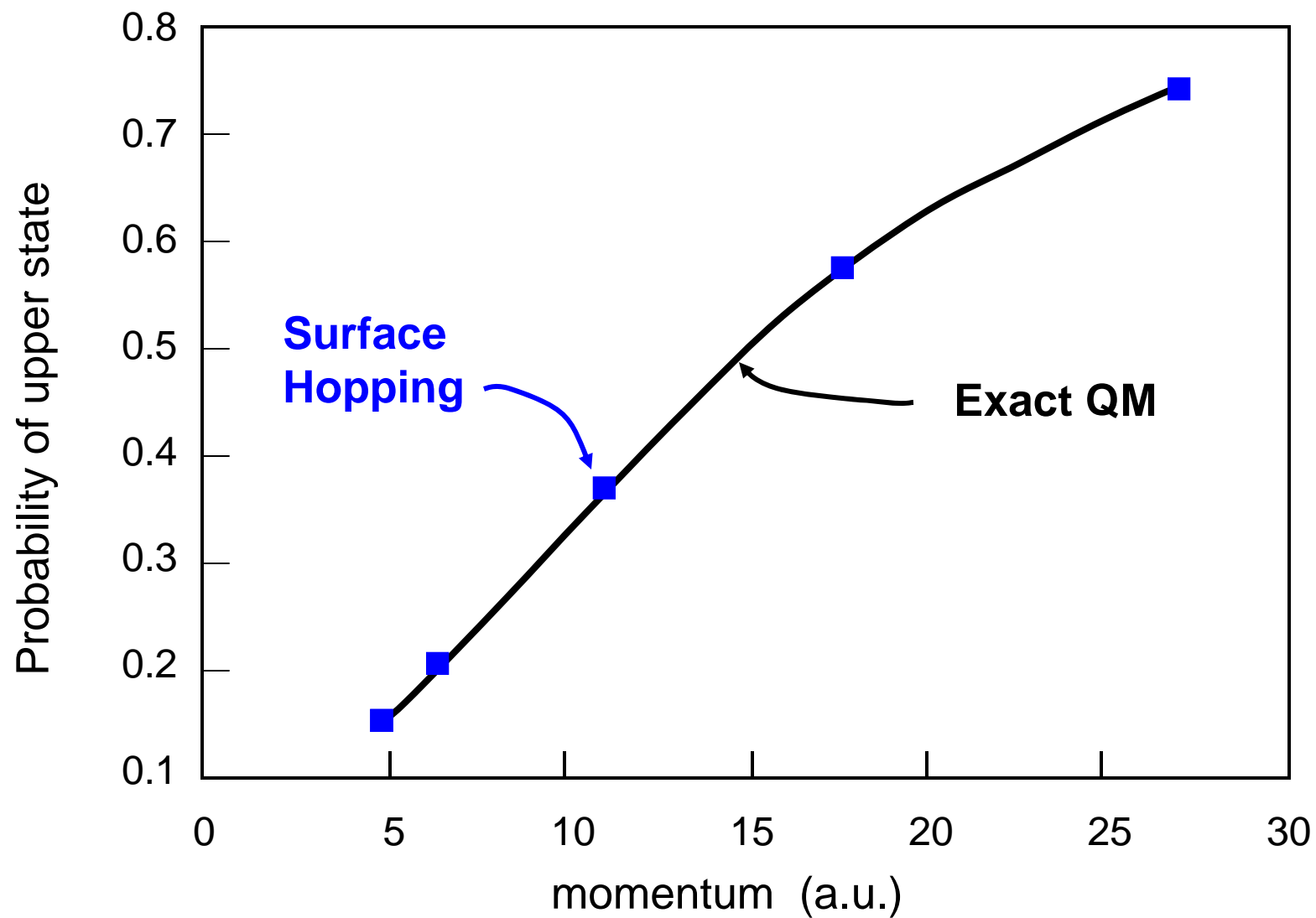
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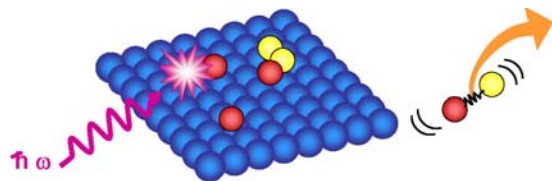




X. Surface Hopping

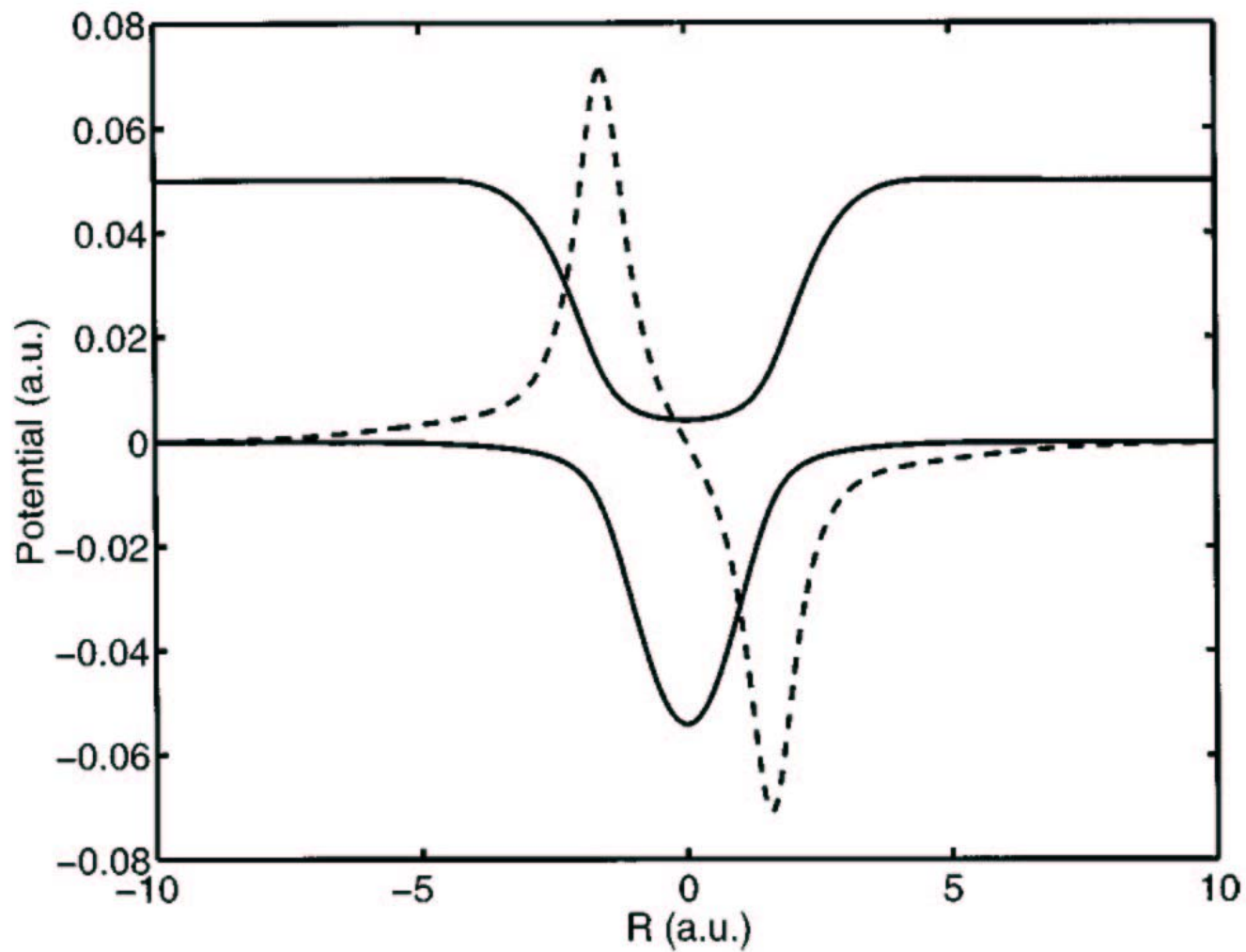
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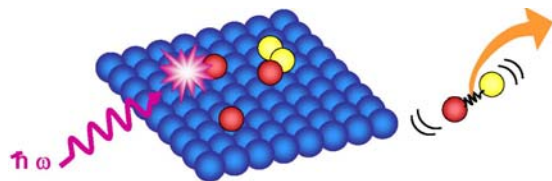




X. Surface Hopping

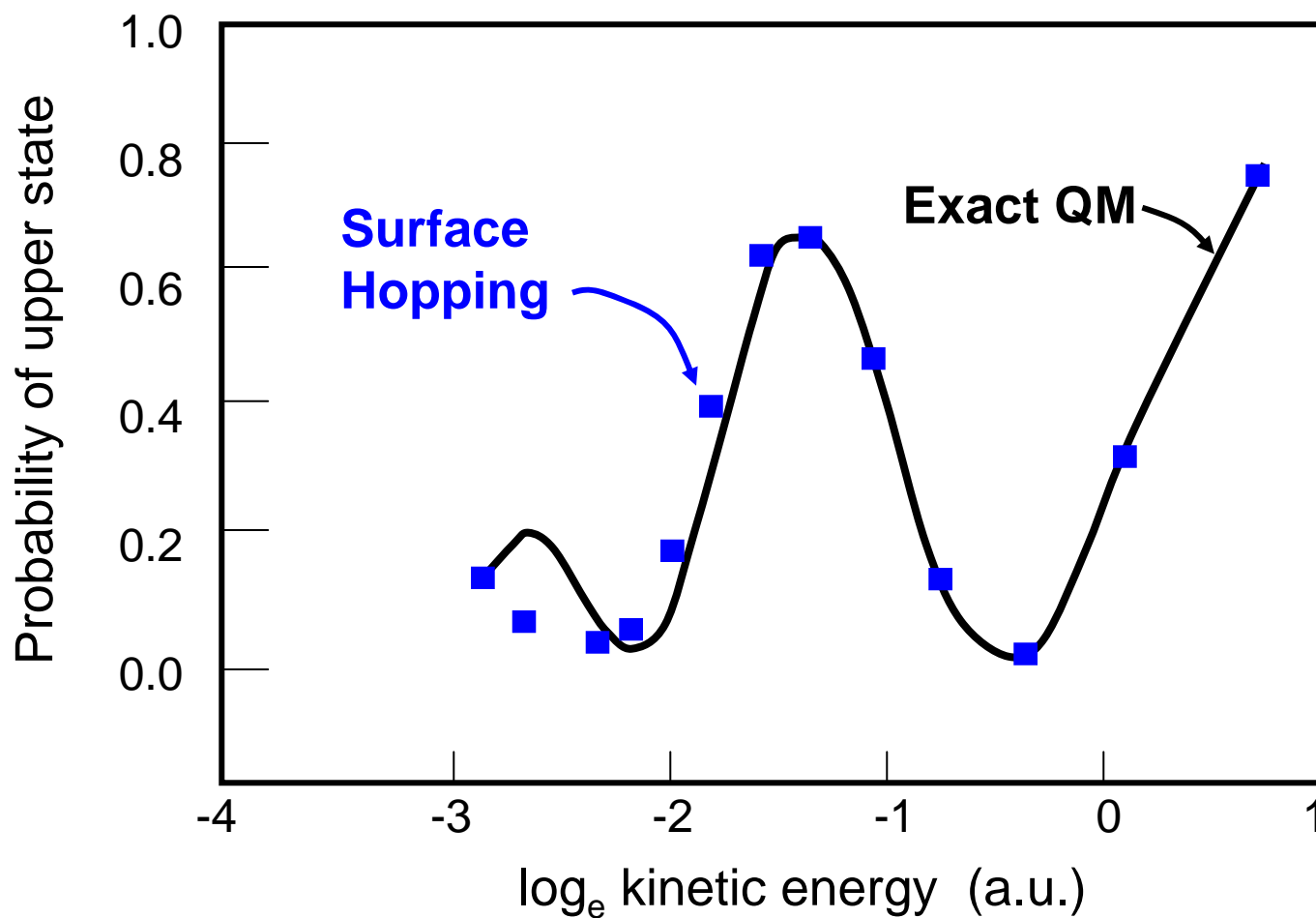
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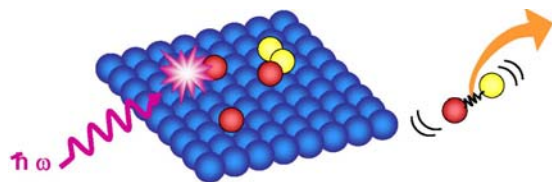




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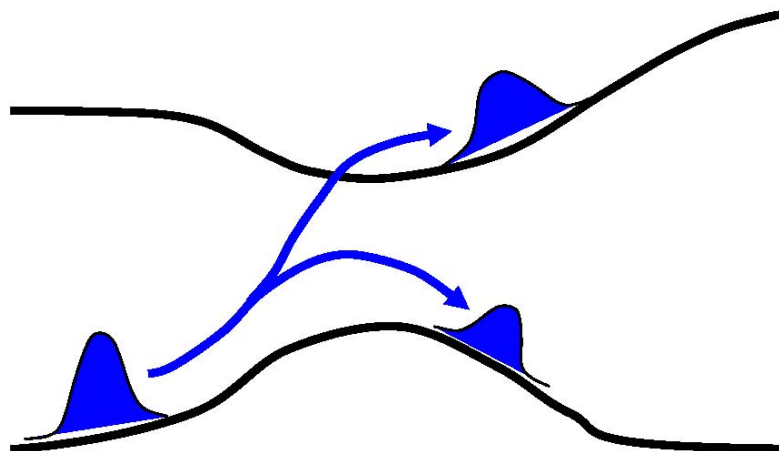
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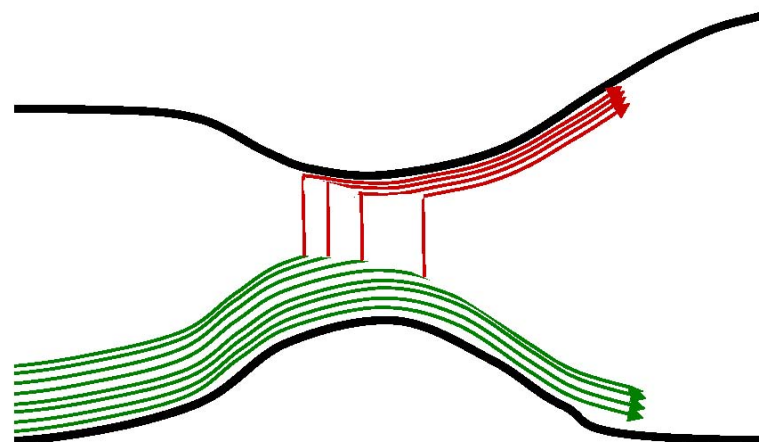
SHORTCOMINGS OF SURFACE HOPPING

1] Trajectories are independent

Trajectories should talk to each other

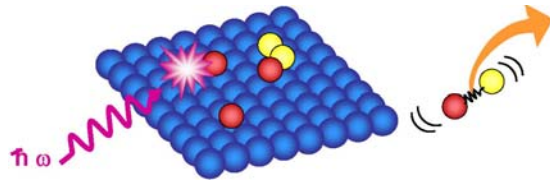


quantum wave packet



surface hopping

Fundamental approximation, but required to make practical



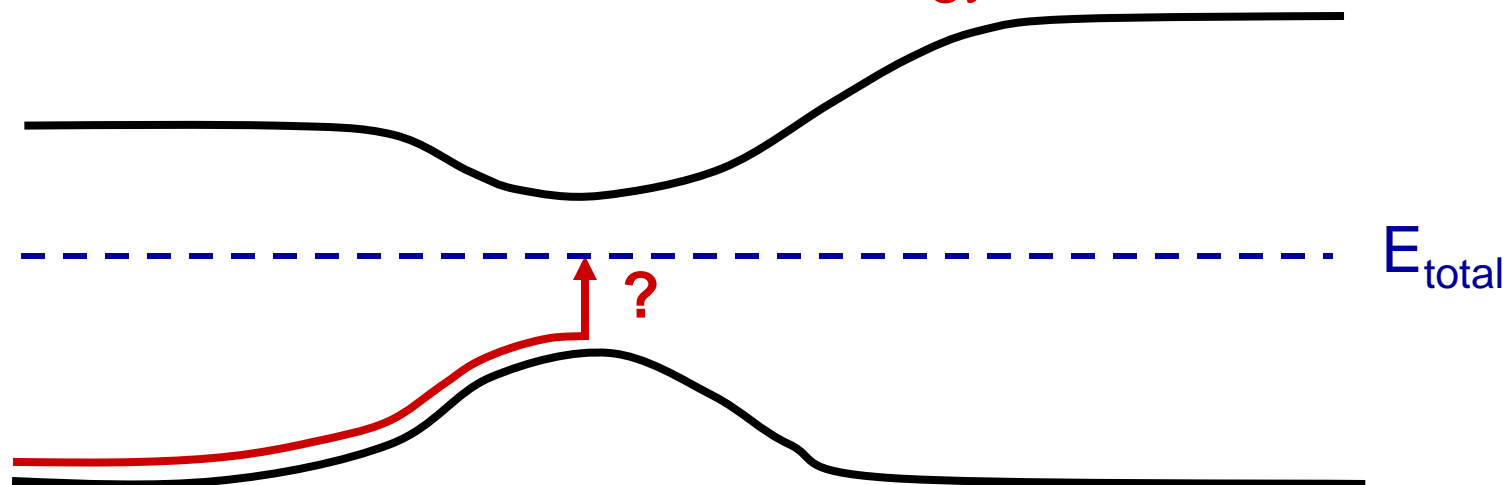
X. Surface Hopping

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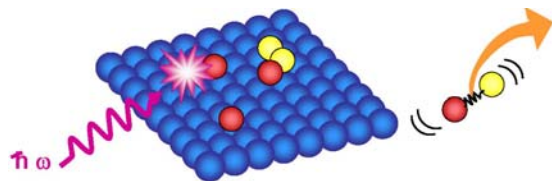
SHORTCOMINGS OF SURFACE HOPPING

2] Forbidden Hops (or *frustrated hops*)

Hopping algorithm calls for a hop but there is insufficient kinetic energy



→ probability on state $k \neq |c_k|^2$



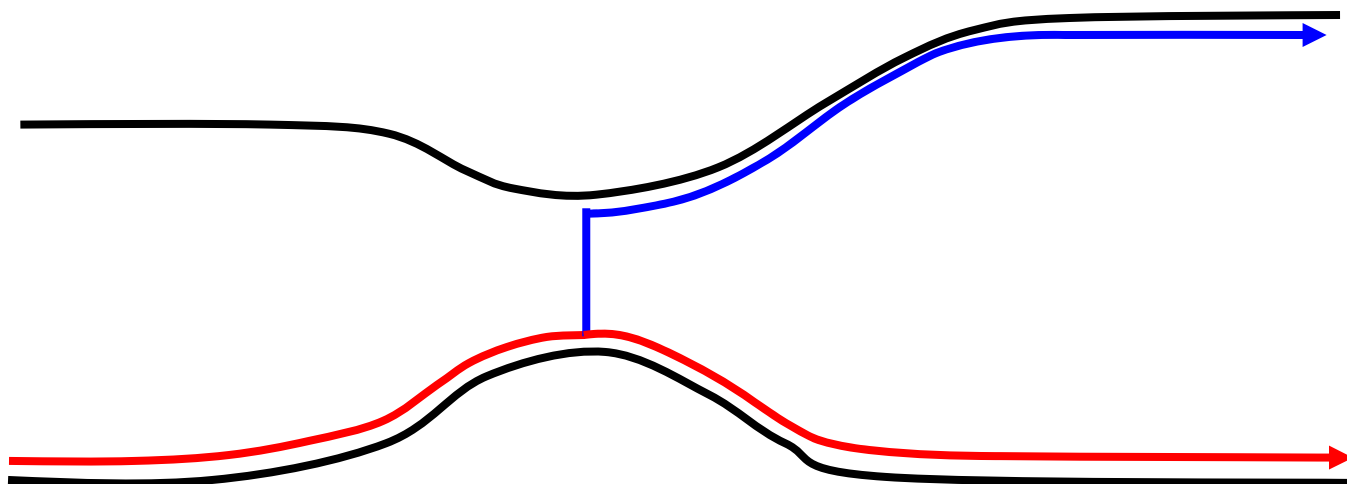
X. Surface Hopping

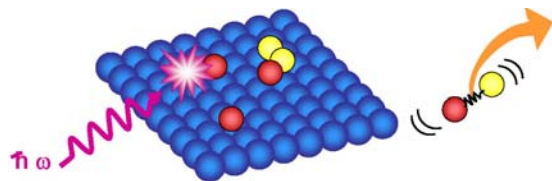
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SHORTCOMINGS OF SURFACE HOPPING

3] Detailed Balance?

What are the populations of the quantum states at equilibrium?



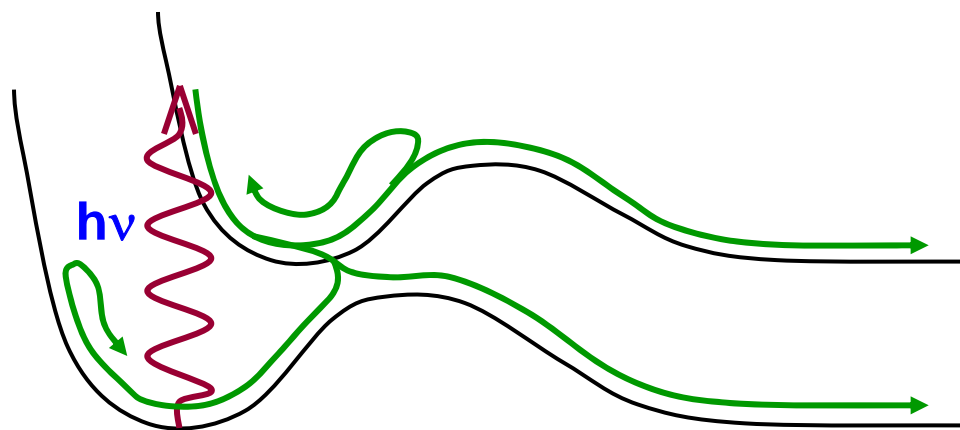


X. Surface Hopping

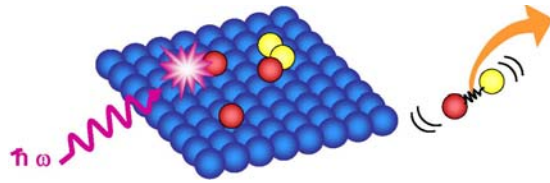
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Detailed Balance: $\mathcal{N}_1 P_{1 \rightarrow 2} = \mathcal{N}_2 P_{2 \rightarrow 1} \rightarrow \text{Equilibrium}$

- Long Timescales
- Multiple Transitions
- Relaxation Processes
- Infrequent events



e.g., nonradiative transition
vs. reaction on excited state



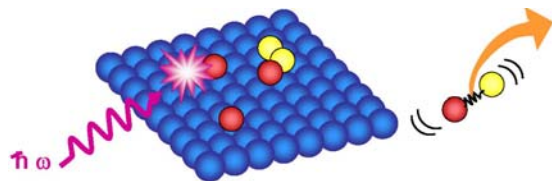
X. Surface Hopping

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“In theories in which the reservoir is treated classically and its effects on the system described in terms of random functions instead of noncommuting operators, it follows that $W_{mn} = W_{nm}$. This is a serious shortcoming of all semiclassical theories of relaxation.” K. Blum, *Density Matrix Theory and Applications*, 2nd Ed., (Plenum, NY, 1996).

However

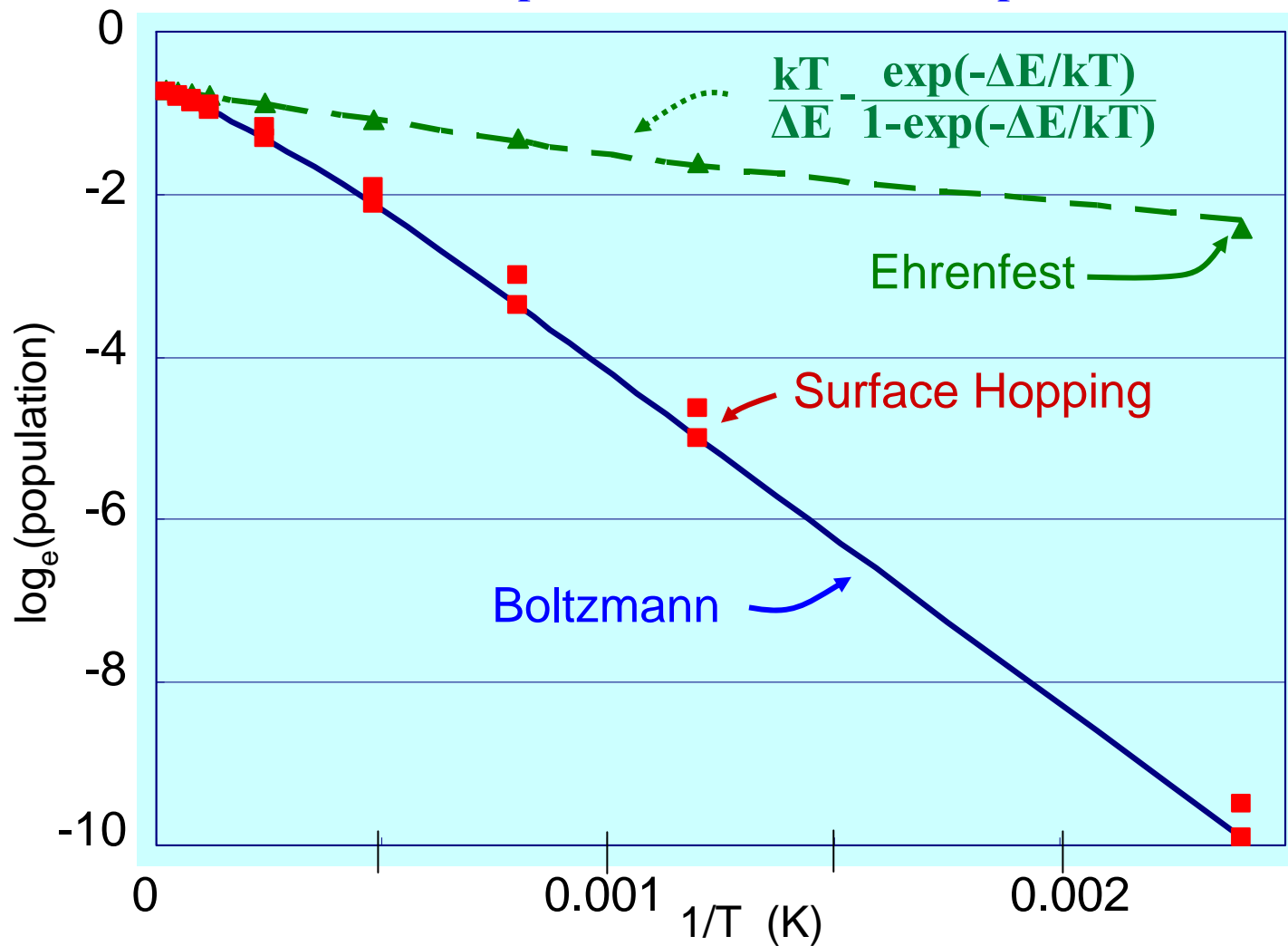
This is **not** true for either Ehrenfest or Surface Hopping



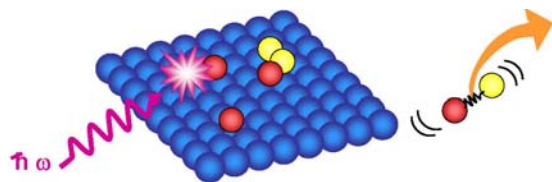
X. Surface Hopping

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Excited State Population vs. Inverse Temperature



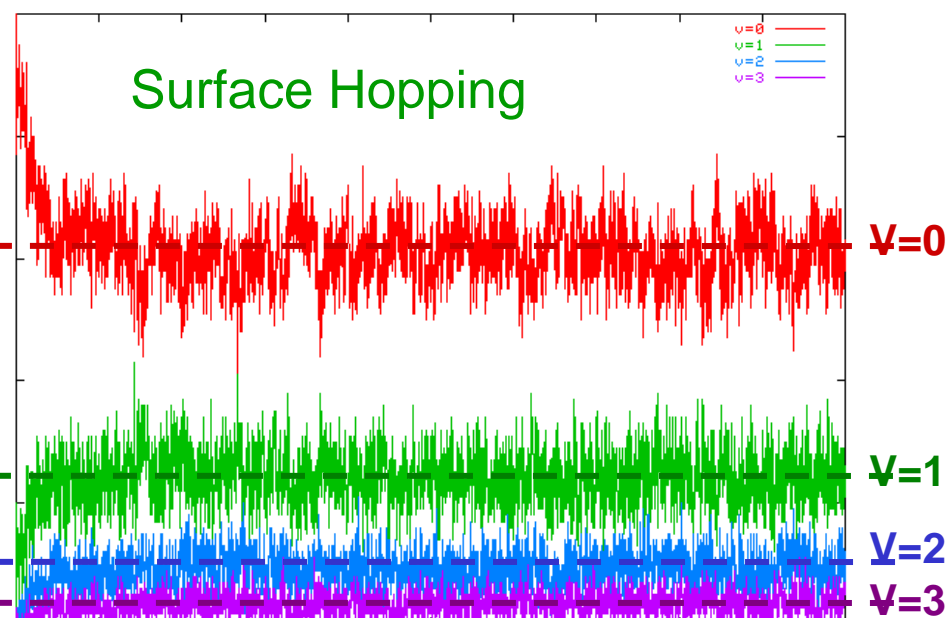
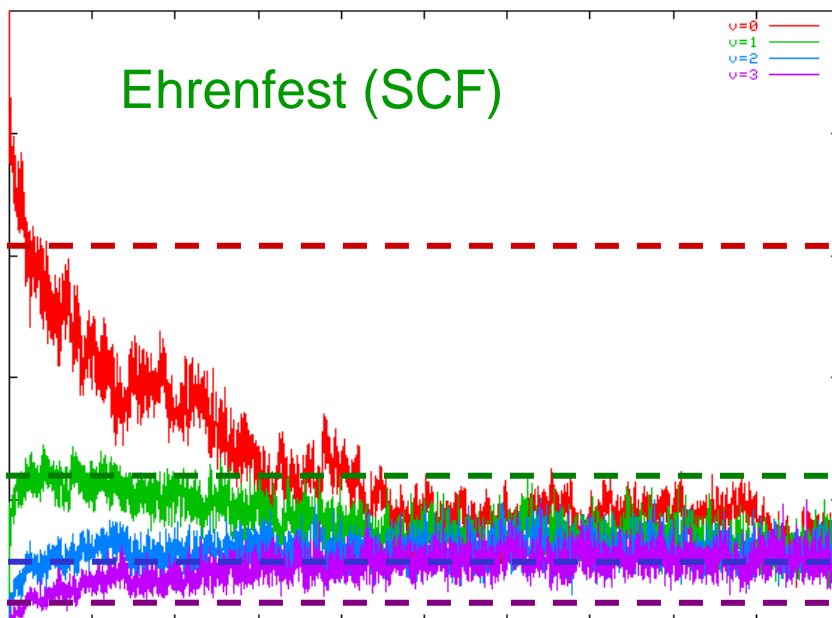
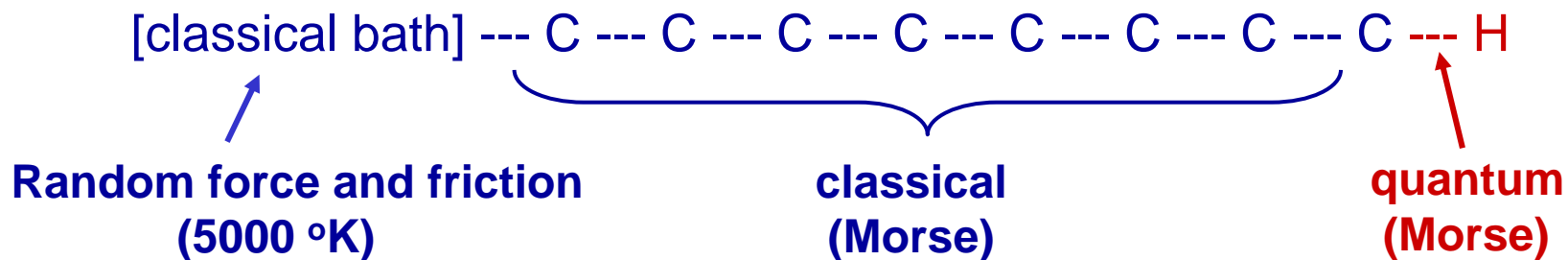
2-State System
 $\Delta E = 34.6 \text{ kJ/mole}$



X. Surface Hopping

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Many Quantum States



Priya Parandekar