

CHAPTER 17

Equations of motion methods for computing electron affinities and ionization potentials

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Abstract

The *ab initio* calculation of molecular electron affinities (EA) and ionization potentials (IP) is a difficult task because the energy of interest is a very small fraction of the total electronic energy of the parent neutral. For example, EAs typically lie in the 0.01–10 eV range, but the total electronic energy of even a small molecule is usually several orders of magnitude larger. Moreover, because the EA or IP is an intensive quantity but the total energy is an extensive quantity, the difficulty in evaluating EAs and IPs to within a fixed specified (e.g. ± 0.1 eV) accuracy becomes more and more difficult as the size and number of electrons in the molecule grows. The situation becomes especially problematic when studying extended systems such as solids, polymers, or surfaces for which the EA or IP is an infinitesimal fraction of the total energy. Equations of motion (EOM) methods and other related approaches offer a route for calculating the intensive EAs and IPs directly as eigenvalues of a set of working equations. A history of the development of EOM theories as applied to EAs and IPs, their numerous practical implementations, and their relations to Greens function or propagator theories are given in this contribution. EOM methods based upon Møller–Plesset, multiconfiguration self-consistent field, and coupled-cluster reference wave functions are included in the discussion as is the application of EOM methods to metastable resonance states of anions.

17.1 INTRODUCTION

The vertical (i.e. at any given fixed molecular geometry) electron affinity (EA) of a molecule can be computed by (approximately) solving the Schrödinger equation for the energy $E(0, N)$ of the N -electron neutral molecule and the Schrödinger equation

for the energy $E(K, N + 1)$ of the K th state of the $N + 1$ -electron anion and subtracting the two energies:

$$EA = E(0, N) - E(K, N + 1) \quad (1a)$$

The corresponding vertical ionization potential (IP) is given as

$$IP = E(K, N - 1) - E(0, N) \quad (1b)$$

Here, we use K to label the electronic state of the anion or cation that one wishes to study, and 0 to label the state of the neutral (usually but not necessarily the ground state) to which the electron is being attached or from which it is removed.

In using such an approach for obtaining the EA or IP, one is faced with a very difficult numerical challenge because $E(0, N)$, $E(K, N - 1)$, and $E(K, N + 1)$ tend to be extremely large (negative) numbers, whereas EA and IP nearly always lie in the range 0–20 eV. For example, the EA of the $^4S_{3/2}$ state of the carbon atom is [1] 1.262119 ± 0.000020 eV, whereas the total electronic energy of this state of C is -1030.080 eV (relative to a C^{6+} nucleus and six electrons infinitely distant and not moving that defines the zero of energy). Since the EA is *ca.* 0.1% of the total energy of C, one needs to compute the C and C^- electronic energies to accuracies of 0.01% or better to calculate the EA to within 10%.

This observation shows only the ‘tip of the iceberg’, however, as the major problem relates to the fact that $E(0, N)$, $E(K, N - 1)$, and $E(K, N + 1)$ are extensive properties whereas EA and IP are intensive quantities. For example, the EA of C_2 in its $X^2\Sigma_g^+$ ground electronic state is [1] 3.269 ± 0.006 eV near the equilibrium bond length R_e but only 1.2621 eV at $R \rightarrow \infty$ (i.e. the same as the EA of a carbon atom). However, the total electronic energy of C_2 is -2060.160 eV at $R \rightarrow \infty$ and lower by *ca.* 3.6 eV (the dissociation energy [2] of C_2) at R_e , so again EA is a very small fraction of the total energies. For buckyball C_{60} , the EA is [1] 2.666 ± 0.001 eV, but the total electronic energy is 60 times -1030.080 eV minus the atomization energy (i.e. the energy change for $C_{60} \rightarrow 60$ C) of this compound. Clearly, the challenge of evaluating EA (or IP) to within even 50% becomes more and more difficult as the size (i.e. number of electrons) in the molecule grows, and it becomes impossible when the system of interest is an infinite solid, surface, or polymer. This same kind of difficulty (i.e. calculating an intensive quantity as the difference between to extensive energies) plagues the computation of EAs and IPs, bond energies, and electronic excitation energies.

The problems discussed in the preceding paragraph do not disappear if one uses a computer with higher numerical precision in its arithmetic (i.e. a longer word length) or algorithms that compute the one- and two-electron integrals needed for any quantum chemistry calculation to more significant figures. No matter how precise the integrals and how long the floating point word length (as long as they are finite), the evaluation of intensive properties such as IPs, EAs, and excitation energies as differences between pairs of extensive total electronic energies is doomed to fail.

Of course, much progress can be made in computing EAs and IPs as differences between anion and neutral or cation and neutral total energies [3] because of large systematic cancellation in energy errors [4,5]. For example, the pair correlation energies of the two 1s electron pairs in C_2 is quite large, but is very nearly the same as in C_2^- , so even a large percent error made in computing these contributions to the total energy may

not greatly affect the EA computed by subtracting $E(K, N + 1)$ from $E(0, N)$. Some of the earliest high-quality *ab initio* calculations of EAs were in fact carried out by Professor Schaefer [6] during his PhD work with Frank Harris using wave function techniques and calculating separate neutral and anion energies. Nevertheless, in the late 1960s and early 1970s, workers were motivated to develop methods that would allow intensive energy differences such as EAs, IPs, and excitation energies (ΔE s) to be computed ‘directly’ rather than as differences in two very large numbers. This point of view is what led to the development of so-called equations of motion (EOM) methods as well as Greens function methods [7] and, more recently, response function approaches [8], within electronic structure theory. In all these theories, one performs a derivation in which the two total energies (i.e. ion and neutral or ground and excited states) are subtracted analytically (rather than numerically) thereby achieving an analytical expression for the desired intensive energy difference. It is by thus dealing with equations that involve only intensive energies that one can overcome the problems detailed earlier.

Among the earliest practitioners of EOM methods in the chemistry community were Prof. V. McKoy [9] and his group (including Thom Dunning) at Cal Tech. They imported many ideas and mathematical tools from the nuclear physics literature [10], where EOM theories had been used to study excited states of nuclei, and they focused their efforts on electronic excitation energies ΔE , not IPs or EAs. Because the present chapter is dedicated to how such methods are used to compute EAs and IPs, not much more will be said about the McKoy group’s pioneering work on EOM theory for excitation energies, although its ultimate relationship to other excitation energy methods will be discussed briefly later.

In 1973, the author used the framework of EOM theory [11] as expressed by the McKoy group to develop a systematic (i.e. order-by-order in the Møller–Plesset perturbation theory sense) approach for directly computing molecular EAs and IPs as eigenvalues of the EOM working equations. It is this development and its subsequent improvement and extensions [12] by our group and others that we now wish to describe.

17.2 BASICS OF EOM THEORY AS APPLIED TO EAs AND IPs

17.2.1 The EA equations of motion

The fundamental working equations of any EOM theory are derived by writing the Schrödinger equations for the neutral and anion (or neutral or cation or ground and excited) states of interest and subtracting the two equations as a first step toward obtaining a single equation that will yield the EA or IP or ΔE . That is, the EOM theory produces the intensive energy difference directly as an eigenvalue of the working equation. As above, we use $|0, N\rangle$ to denote the 0th electronic state of the N -electron neutral and $|K, N + 1\rangle$ to denote the K th state of the $N + 1$ -electron anion and write the two Schrödinger equations as

$$H|0, N\rangle = E(0, N)|0, N\rangle \quad (2a)$$

$$H|K, N + 1\rangle = E(K, N + 1)|K, N + 1\rangle \quad (2b)$$

Because $|0, N\rangle$ and $|0, N + 1\rangle$ contain different numbers of electrons, it is convenient and most common in developing EOM theories of EAs to express the electronic Hamiltonian H in second-quantized form [13]:

$$H = \sum_{i,j} h(i,j)i^+j + 1/2 \sum_{i,j,k,l} \langle i,j|k,l\rangle i^+j^+lk \quad (3)$$

where $h(i,j)$ represents a matrix element of the one-electron operators (i.e. kinetic energy, electron-nuclear Coulomb attraction, etc.) within the orthonormal molecular spin-orbital basis $\{\phi_j\}$, $\langle i,j|k,l\rangle$ is a matrix element of the two-electron operators (i.e. electron-electron repulsion), and the set of Fermion creation operators $\{i^+\}$ create an electron in the $\{\phi_i\}$ spin-orbitals, whereas the $\{i\}$ operators destroy such an electron. Writing H in such a form allows us to use the same H in Eqs. (2a) and (2b) even though these two Schrödinger equations relate to N and $N + 1$ electrons, respectively.

The next step in developing an EOM equation is to assume that the anion state $|K, N + 1\rangle$ can be related to the neutral state $|0, N\rangle$ through an operator $Q^+(K)$

$$|K, N + 1\rangle = Q^+(K)|0, N\rangle \quad (4)$$

that maps the neutral molecule wave function into the desired anion wave function. For the EA case at hand, the operator $Q^+(K)$ is usually written in terms of scalar coefficients $t(K, l)$ multiplied by operators $T^+(l)$, also expressed in second-quantization language, each of which involves adding an electron

$$Q^+(K) = \sum_l t(K, l)T^+(l) \quad (5)$$

It has been shown [14] that a complete set of such $T^+(l)$ operators consists of the union of sets of operators $\{p^+\}$ that add an electron to a spin-orbital ϕ_p , operators $\{p^+q^+a\}$ that add an electron to ϕ_p and excite another electron from ϕ_a to ϕ_q , operators $\{p^+q^+r^+ab\}$ that add an electron to ϕ_p , excite an electron from ϕ_a to ϕ_r and excite another electron from ϕ_b to ϕ_q as well as higher-level electron addition and excitation operators up to the highest-level operators that add an electron and induce N excitations. In labeling these operators, the indices a, b, c, d , etc. are used to denote spin-orbitals occupied in a so-called reference Slater determinant within $|0, N\rangle$ and p, q, r, s , etc. are used to denote unoccupied (i.e. virtual) spin-orbitals. The reference determinant, which is what defines the concept of occupied and unoccupied spin-orbitals, is usually chosen to be the determinant $|0\rangle$ within the neutral molecule wave function

$$|0, N\rangle = \sum_{J=0,M} C(0, J)|J\rangle \quad (6)$$

with the largest amplitude $C(0, 0)$, but it has been shown [14] that $|0\rangle$ can actually be taken to be any determinant within $|0, N\rangle$ that possesses non-zero amplitude. Later we will deal with how one determines the $C(0, J)$ amplitudes in the wave function $|0, N\rangle$; for now, suffice it to say these amplitudes can, for example, be taken from Møller–Plesset (MP) perturbation theory, from multiconfiguration self-consistent field (MCSCF) theory, from configuration interaction (CI) theory or from coupled-cluster (CC) theory.

Using Eq. (4) in Eqs. (2a) and (2b) and subtracting Eq. (2a) from Eq. (2b) gives a single equation whose eigenvalue gives the desired EA:

$$(HQ^+(K) - Q^+(K)H)|0, N\rangle = (E(K, N + 1) - E(0, N))Q^+(K)|0, N\rangle \quad (7a)$$

or, in terms of the commutator $[H, Q^+(K)]$

$$[H, Q^+(K)]|0, N\rangle = EQ^+(K)|0, N\rangle \quad (7b)$$

where the eigenvalue E is the negative of the EA. The key point is that one now has a single equation to be solved that produces the intensive EA as its eigenvalue. This equation appears to be of the conventional eigenvalue–eigenfunction form, but it is somewhat different because the operator that acts on the eigenfunction $Q^+(K)|0, N\rangle$ is not the Hamiltonian but a commutator involving the Hamiltonian. The fact that the commutator appears is what causes the eigenvalue to be an energy difference.

To progress further toward practical implementation, specific choices must be made for how one is going to approximate the neutral molecule wave function $|0, N\rangle$ and at what level one is going to truncate the expansion of the operator $Q^+(K)$ given in Eq. (5). It is also conventional to reduce Eq. (7) to a matrix eigenvalue equation by projecting this equation onto an appropriately chosen space of $N + 1$ -electron functions. Let us first deal with the latter issue.

Once the number of $T^+(l)$ operators used to construct $Q^+(K)$ has been chosen (we discuss this choice later), the total number l^{\max} of $t(K, l)$ amplitudes has been determined. Multiplying Eq. (7) on the left by the adjoint $T(j)$ of any one of the T^+ operators, and then projecting the resultant equation against $\langle 0, N|$ gives one form of the working EOM-EA equations:

$$\sum_l \langle 0, N|T(j)[H, T^+(l)]|0, N\rangle t(K, l) = E \sum_l \langle 0, N|T(j)T^+(l)|0, N\rangle t(K, l) \quad (8)$$

To make use of this equation, the $\langle 0, N|T(j)[H, T^+(l)]|0, N\rangle$ and $\langle 0, N|T(j)T^+(l)|0, N\rangle$ matrices of dimension $l^{\max} \times l^{\max}$ must first be evaluated in terms of one- and two-electron integrals (appearing in H) and one-, two- and higher-body density matrices (depending upon the level at which the $\{T^+(l)\}$ operator expansion is truncated). Subsequently, the EA values (i.e. EAs for the various anion states, K , relative to the $|0, N\rangle$ state of the neutral) are computed as minus the eigenvalues E of Eq. (8).

17.2.2 The analogous equations of motion for ionization potentials

Before proceeding further, it is useful to explore how this same framework has been used to compute molecular ionization potentials (IPs). It is fairly straightforward to show that an equation analogous to Eq. (7) but reading

$$\langle 0, N|(HQ^+(K) - Q^+(K)H) = (E(0, N) - E(K, N - 1))\langle 0, N|Q^+(K) \quad (9)$$

is valid if the operators $\{Q^+(K)\}$ are as given in Eq. (5) but with the $\{T^+(l)\}$ defined to include operators of the form $\{a^+, a^+b^+p, a^+b^+c^+qr, \text{etc.}\}$. Of course, in Eq. (9), the operators within $Q^+(K)$ act to the left on $\langle 0, N|$ to generate cationic states. As a result, neutral-cation energy differences appear in Eq. (9) and thus this offers a route for computing IPs. Multiplying this equation on the right by any one of the $T(j)$ operators and then projecting against $|0, N\rangle$ gives

$$\sum_l \langle 0, N|[H, T^+(l)]T(j)|0, N\rangle t(K, l) = E \sum_l \langle 0, N|T^+(l)T(j)|0, N\rangle t(K, l) \quad (10)$$

but now the eigenvalues E denote values of $(E(0, N) - E(K, N - 1))$, which are the negatives of the IPs.

Thus far, we see that EOMs can be written that allow EAs or IPs to be computed. The fundamental constructs within these equations are as follows:

- (i) for the EA case, matrix elements $\langle 0, N|T(j)[H, T^+(l)]|0, N\rangle$ involving the commutator of H with the $T^+(l)$ operators then multiplied on the left by a $T(j)$ operator, as well as an analogous overlap matrix element $\langle 0, N|T(j)T^+(l)|0, N\rangle$;
- (ii) for the IP case, matrix elements $\langle 0, N|[H, T^+(l)]T(j)|0, N\rangle$ of the same commutator but with the $T(j)$ operator on the right, as well as the corresponding overlap matrix element $\langle 0, N|T^+(l)T(j)|0, N\rangle$;
- (iii) the neutral molecule wave function $|0, N\rangle$ with respect to which the EA or IP is to be evaluated.

17.2.3 The rank of the operators

It is now useful to analyze the density matrix elements [15] that enter into these equations. Each of the $T^+(j)$ operators contains an odd number of creation or annihilation operators, and the Hamiltonian H contains two (i.e. i^+j) or four (i.e. i^+j^+lk) such operators. It can be seen that the commutator $[H, T^+(l)]$ does not contain four plus the number of creation or annihilation operators in $T^+(l)$, but two fewer operators. For example, the commutator $[i^+j^+lk, p^+q^+a]$ does not yield any terms with four creation and three annihilation operators but only terms with three creation and two annihilation operators. We say that the act of forming the commutator (which is what causes the higher order operators to cancel) gives rise to a reduction in the rank of the operators. As a result, both the operator products $T(j)[H, T^+(l)]$ and $[H, T^+(l)]T(j)$, which appear in the EA and IP-EOM, respectively, contain terms only involving both creation and annihilation operators equal to the number of creation operators in $T^+(l)$ plus one plus the number of creation operators in $T(j)$. For example, if $T^+(l) = p^+q^+a$ and $T(j) = b^+rs$, then $T(j)[H, T^+(l)]$ and $[H, T^+(l)]T(j)$ will contain terms with no more than four creation and four annihilation operators. This means that the density matrices needed from $\langle 0, N|T(j)[H, T^+(l)]|0, N\rangle$ and $\langle 0, N|[H, T^+(l)]T(j)|0, N\rangle$ will be, at most, fourth-order density matrices of the $\langle 0, N|\cdots|0, N\rangle$ density.

17.2.4 Equations of lower rank for both EAs and IPs

Indeed, in the early years of using EOM methods [16] to compute EAs and IPs, operator manifolds of the form $\{T^+(l)\} = \{p^+; p^+q^+a, p^+q^+r^+ba, \text{etc.}\}$ or $\{T^+(l)\} = \{a^+, a^+b^+p, a^+b^+c^+qr, \text{etc.}\}$ were employed with Møller–Plesset approximations to $|0, N\rangle$ (usually taken through first order) to form the kind of matrix elements appearing in Eqs. (8) and (10) and to then evaluate EAs and IPs from their eigenvalues E . However, it became more common to use a combination of the EA and IP-EOMs formed by adding Eqs. (8) and (10), while expanding the $\{T^+(l)\}$ operator manifold to include those needed to evaluate EAs $\{p^+; p^+q^+a, p^+q^+r^+ba, \text{etc.}\}$ and those needed for the IPs $\{a^+, a^+b^+p, a^+b^+c^+qr, \text{etc.}\}$, to simultaneously compute both such energy differences.

To understand why such a combination has proven beneficial, it suffices to examine the form and rank of the operators whose $\langle 0, N | \cdot \cdot | 0, N \rangle$ matrix elements must be evaluated

$$\begin{aligned} & \sum_l \langle 0, N | [H, T^+(l)]T(j) + T(j)[H, T^+(l)] | 0, N \rangle t(K, l) \\ & = E \sum_l \langle 0, N | T^+(l)T(j) + T(j)T^+(l) | 0, N \rangle t(K, l) \end{aligned} \quad (11)$$

Recall that the $T^+(j)$ operators contain an odd number of creation or annihilation operators. Each of the products $[H, T^+(l)]T(j)$, $T(j)[H, T^+(l)]$, $T^+(l)T(j)$ and $T(j)T^+(l)$ thus contain an even number of such operators. However, because of the fundamental anti-commutation properties of these operators

$$i^+j + jt^+ = \delta_{ij} \quad (12a)$$

$$ij + ji = 0 \quad (12b)$$

$$i^+j^+ + j^+i^+ = 0 \quad (12c)$$

it can easily be shown that the *operator combinations* $T^+(l)T(j) + T(j)T^+(l)$ and $[H, T^+(l)]T(j) + T(j)[H, T^+(l)]$ contain one fewer creation and one fewer annihilation operator than does either of the two terms in the sums. So, by combining the EA and IP-EOMs, one effects a rank reduction in the operators appearing in the equations although the dimensions of the matrices one needs to construct are doubled (because the $\{T^+(l)\}$ operator manifold was doubled when both EA and IP operators were included. The rank reduction is important because it means that the density matrices that need to be evaluated to compute the $\langle 0, N | \cdot \cdot | 0, N \rangle$ matrix elements are of lower rank in Eq. (11) than in either Eq. (8) or Eq. (10). As we said, it has become more common to use the combined EA and IP equation (11) because lower-order density matrices are required.

17.2.5 Summary

Thus far, we have shown how one can obtain eigenvalue equations, in which the energy eigenvalues correspond to the intensive EAs (or IPs), by postulating that the anion (or cation) wave function can be related to the neutral molecule wave function through an operator. We have also shown how the EA and IP-EOM can be combined to generate

a combined EOM from which both EAs and IPs can be obtained. The advantage to the latter approach is that the operators appearing in the resultant equations are of lower rank and thus lower-order density matrices must be evaluated to carry out the calculations. Let us now move on to address more specific embodiments of such EOM theories that result from different choices of the neutral molecule wave function and of the operator connecting the neutral and anion wave functions.

17.3 PRACTICAL IMPLEMENTATIONS OF EOM THEORIES FOR EAs AND IPs

The basic ideas underlying any EOM method for computing EAs or IPs appear above. However, as discussed earlier, in any specific embodiment of such a method, one must commit to

- (i) a specific approximation to the neutral molecule wave function $|0, N\rangle$;
- (ii) a specific choice of how large an operator manifold $\{T^+(l)\}$ to employ; and
- (iii) how to solve the resultant EOM equations for the eigenvalues E that then produce the EAs or IPs.

In the following subsections, we describe the most commonly used choices for these three issues.

17.3.1 The Møller–Plesset based approximations

In the earliest implementation of EOM approaches to EAs, the author's group [11,16] chose to represent the $|0, N\rangle$ wave function in a Møller–Plesset expansion

$$|0, N\rangle = \psi^0 + \psi^1 + \psi^2 + \dots \quad (13)$$

with the single-determinant unrestricted Hartree–Fock (HF) function being ψ^0 and the corresponding neutral molecule HF Hamiltonian being H^0 . This choice was made because there existed substantial evidence that EAs and IPs computed at the Koopmans' theorem level would not meet the desired 0.1 eV accuracy. The evidence on atoms and small molecules also showed that EAs and IPs computed using standard second-order MP theory were much more accurate but not sufficient to approach the 0.1 eV standard. For this reason, the author's group set their sites on the next reasonable level, that of third-order MP theory.

The operator manifold $\{T^+(l)\}$ was taken to consist of $\{p^+; p^+q^+a\}$ and $\{a^+, a^+b^+p\}$. This choice of operator manifold was shown to be capable of producing EAs and IPs that were precise through third order [17] in the MP perturbation, which is why this choice was made.

The resultant variant of Eq. (11) was not solved by finding the eigenvalues of this matrix eigenvalue equation whose dimension is the sum of the dimensions of the $\{p^+; p^+q^+a\}$ and $\{a^+, a^+b^+p\}$ operator manifolds. Rather, that large matrix eigenvalue

361 problem was partitioned [11] using a primary subspace defined by the $\{p^+, a^+\}$ operators
 362 and a secondary subspace defined by the $\{p^+q^+a, a^+b^+p\}$ operators. The partitioned
 363 eigenvalue problem

$$364 \sum_{j=a,p} H_{i,j}(E)X_j = EX_i \quad (14)$$

365 whose dimension was that of the $\{p^+, a^+\}$ operator space was used to find the
 366 eigenvalues E . Of course, the act of partitioning the higher-dimension matrix eigenvalue
 367 problem does not change the values of E that represent solutions to the equations. That is,
 368 the same E values that fulfill the original equations are also solutions to the partitioned
 369 equations. However, once one introduces approximations designed to evaluate elements
 370 of the partitioned $H_{i,j}(E)$ matrix to a chosen order in perturbation theory, this equivalence
 371 is lost. It is precisely by making such an order analysis (e.g. computing $H_{i,j}(E)$ through
 372 second or third order) that EOM theories capable of evaluating EAs or IPs to a given
 373 order were obtained.

374 When the elements of the partitioned matrices were evaluated through second order in
 375 the MP series, the following expression was obtained for the matrix elements $H_{i,j}$

$$376 H_{i,j}(E) = \varepsilon_i \delta_{i,j} - \sum_{p,q,a} \langle i, a || p, q \rangle \langle p, q || j, a \rangle / (\varepsilon_p + \varepsilon_q - \varepsilon_a - E)$$

$$377 + \sum_{a,b,p} \langle i, p || a, b \rangle \langle a, b || j, p \rangle / (\varepsilon_a + \varepsilon_b - \varepsilon_p - E) \quad (15)$$

378 Here, the ε_j denote the UHF spin-orbital energies of the neutral molecule and the $\langle i, j || k, l \rangle$
 379 denote differences in two-electron integrals ($\langle i, j || k, l \rangle = \langle i, j | k, l \rangle - \langle i, j | l, k \rangle$).

380 The expression for $H_{i,j}(E)$ valid through third order in the MP series is more
 381 complicated and is derived in Refs. [11,17]. The partitioned matrix eigenvalue equation
 382 was written in those works as

$$383 \mathbf{H}(E)\mathbf{X} = E\mathbf{X}$$

384 where the elements of the \mathbf{H} matrix were defined as follows:

$$385 H_{i,j}(E) = A_{i,j} + \sum_{\alpha < \beta, m} [B_{i,\alpha m \beta} B_{j,\alpha m \beta}^*] / [E_{\alpha \beta}^m + E] - \sum_{N+1 < n, \alpha} [B_{i, n \alpha N+1} B_{j, n \alpha N+1}^*] / [E_{\alpha}^{N+1n} - E]$$

$$386 - \sum_{N+1 < m < n, \alpha} [B_{i, n \alpha m} B_{j, n \alpha m}^*] / [E_{\alpha}^{mn} - E]$$

387 In turn, the elements of the A and B matrices are shown below:

$$388 B_{i,\alpha m \beta} = -\langle i m | \alpha \beta \rangle - 1/2 \sum_{p,q} \langle i m | p q \rangle K_{\alpha \beta}^{(pq)} + \sum_{\gamma,p} [\langle i \gamma | p \alpha \rangle K_{(\beta \gamma)}^{(mp)} - \langle i \gamma | p \beta \rangle K_{(\alpha \gamma)}^{(mp)}]$$

$$389 B_{i, n \alpha m} = \langle i \alpha | m n \rangle + 1/2 \sum_{\gamma, \delta} \langle i \alpha | \gamma \delta \rangle K_{(\delta \gamma)}^{mn} + \sum_{\gamma, p} [\langle i p | \gamma m \rangle K_{(\alpha \gamma)}^{(mp)} - \langle i p | \gamma m \rangle K_{(\alpha \gamma)}^{(np)}]$$

$$390 A_{i,j} = \delta_{i,j} \varepsilon_i + \sum_{k,l} \langle i k | j l \rangle F_{kl}$$

to which one adds the following E -independent terms

$$\begin{aligned} \delta A_{i,j} = & \sum_{\delta,\beta,p,m,n} \langle jp||i\delta\rangle\langle\delta\beta||mn\rangle\langle mn||p\beta\rangle/[(\varepsilon_\delta - \varepsilon_p)(\varepsilon_\delta + \varepsilon_\beta - \varepsilon_m - \varepsilon_n)] \\ & + \sum_{\delta,\beta,p,m,n} \langle j\delta||ip\rangle\langle p\beta||mn\rangle\langle mn||\delta\beta\rangle/[(\varepsilon_\delta - \varepsilon_p)(\varepsilon_\delta + \varepsilon_\beta - \varepsilon_m - \varepsilon_n)] \\ & + \sum_{\delta,\alpha,\beta,p,n} \langle jp||i\delta\rangle\langle\delta n||\beta\alpha\rangle\langle\alpha\beta||pn\rangle/[(\varepsilon_\delta - \varepsilon_p)(\varepsilon_\alpha + \varepsilon_\beta - \varepsilon_p - \varepsilon_n)] \\ & + \sum_{\delta,\alpha,\beta,p,n} \langle j\delta||ip\rangle\langle\delta n||\beta\alpha\rangle\langle\alpha\beta||pn\rangle/[(\varepsilon_\delta - \varepsilon_p)(\varepsilon_\alpha + \varepsilon_\beta - \varepsilon_p - \varepsilon_n)] \end{aligned}$$

The energy denominators appearing in the H_{ij} matrix elements are

$$\begin{aligned} E_\alpha^{mn} &= \varepsilon_m + \varepsilon_n - \varepsilon_\alpha - \langle\alpha m|\alpha m\rangle - \langle\alpha n|\alpha n\rangle + \langle mn|mn\rangle \\ E_{\delta\gamma}^p &= \varepsilon_p - \varepsilon_\delta - \varepsilon_\gamma - \langle\delta p|\delta p\rangle - \langle\gamma p|\gamma p\rangle + \langle\delta\gamma|\delta\gamma\rangle \end{aligned}$$

Finally, the F quantities appearing above are given as

$$F_{kl} = \sum_{\alpha<\beta,p} [K_{\alpha\beta}^{pk}K_{\alpha\beta}^{pl} + K_{\alpha\beta}^{kp}K_{\alpha\beta}^{lp}] - \sum_{p<q,\alpha} [K_{\alpha l}^{pq}K_{\alpha k}^{pq} + K_{l\alpha}^{pq}K_{k\alpha}^{pq}]$$

where

$$\begin{aligned} K_{\alpha\beta}^{(pq)} &= K_{\alpha\beta}^{pq} - K_{\alpha\beta}^{qp} \\ K_{(\alpha\beta)}^{pq} &= K_{\alpha\beta}^{pq} - K_{\beta\alpha}^{pq} \\ K_{(\alpha\beta)}^{(pq)} &= K_{\alpha\beta}^{pq} - K_{\alpha\beta}^{qp} - K_{\beta\alpha}^{pq} + K_{\beta\alpha}^{qp} \end{aligned}$$

and the latter quantities are the MP expansion coefficients of the first-order wave function:

$$K_{\alpha\beta}^{mn} = \langle mn|\alpha\beta\rangle/(\varepsilon_\alpha + \varepsilon_\beta - \varepsilon_m - \varepsilon_n)$$

Although more complicated than their second-order counterparts, the basic structure of the above expressions for $H_{i,j}(E)$ are the same as those shown earlier.

These third-order equations have been used in many applications in which molecular EAs have been computed for a wide variety of species as illustrated in Ref. [16]. Clearly, all the quantities needed to form the second- or third-order EOM matrix elements $H_{j,k}$ are ultimately expressed in terms of the orbital energies $\{\varepsilon_k\}$ and two-electron integrals $\langle j,kl|h\rangle$ evaluated in the basis of the neutral molecule's Hartree–Fock orbitals that form the starting point of the Møller–Plesset theory. However, as with most electronic structure theories, much effort has been devoted to recasting the working EOM equations in a manner that involves the atomic orbital (AO) two-electron integrals rather than the molecular orbital based integrals. Because such technical matters of direct AO-driven calculations are outside the scope of this work, we will not delve into them further.

17.3.2 Relationship to Greens functions/propagators

It turns out that in the early 1970s when the author was developing and implementing the EOM method for treating EAs and IPs, several groups had taken a different approach to the evaluation of atomic and molecular electronic energy differences using what were called Greens functions (GF) or propagators. Linderberg and Öhrn [18] pioneered the use of such methods in quantum chemistry, while Cederbaum and co-workers [19], Doll and Reinhardt [20], Taylor, Yaris, and co-workers [21] and Pickup and Goscinski [22] were among the first to apply the methods to EAs and IPs using an *ab initio* approach. Purvis and Öhrn [23] soon thereafter expanded the range of the theory to include open-shell systems. These workers as well as Jorgensen and Oddershede [24] and others [25] developed Møller–Plesset based GFs for evaluating electronic excitation energies but we will not discuss these developments further here because our emphasis is on IPs and EAs.

The GF EA and IP theories were derived from consideration of the following time-dependent matrix elements:

$$G_{j,k}(t) = (1/i\hbar)\Theta(t)\langle 0, N | \exp(iHt/\hbar)j^+ \exp(-iHt/\hbar)k | 0, N \rangle \\ + (1/i\hbar)\Theta(-t)\langle 0, N | k \exp(iHt/\hbar)j^+ \exp(-iHt/\hbar) | 0, N \rangle \quad (16)$$

Here, $\Theta(t)$ is the Heaviside step function, which equals unity when t is positive and zero when t is negative, j^+ and k are the same creation and annihilation operators discussed earlier and $|0, N\rangle$ is the neutral molecule reference wave function. Introducing complete sets of $N - 1$ and $N + 1$ electron Hamiltonian eigenfunctions into the first and second terms in Eq. (16), it is straightforward to see that one observes time dependences varying as $\exp(i[E(0, N) - E(K, N - 1)]t/\hbar)$ and $\exp(i[E(K, N + 1) - E(0, N)]t/\hbar)$, respectively.

Taking the time derivative of Eq. (16), one obtains expressions involving commutators of the form $[H, j^+]k$ and $k[H, j^+]$ just as one finds in Eq. (11). By analyzing the resulting time-derivative equations, workers in this field were able to obtain equations that such $G_{j,k}(t)$ matrix elements obey (n.b.: these were called the EOM for these quantities). The workers named above were able to express the resulting equations in terms of one- and two-electron integrals and corresponding density matrices much as the author had done within the EOM framework. In fact, it turned out that the final working equations of the so-called one-electron Greens function (GF) or electron propagator defined in Eq. (16), when Fourier transformed from the time to the energy domain, were exactly the same as the EOM equations given above, i.e. Eq. (15) and those reproduced from Refs. [11,17]. However, only the Cederbaum group achieved the full third-order expressions within the GF framework analogous to what we reproduced above.

It should be noted that although the GF equations are identical to the combined EA and IP equations (Eq. (15)), they are not equivalent to Eq. (8) or Eq. (10). In fact, only by making additional assumptions about the action of $Q^+(K)$ on $|0, N\rangle$ (i.e. that $Q(K)|0, N\rangle$ vanishes) is one able to fully justify adding Eqs. (8) and (10) to obtain Eq. (15) and thus to obtain an equivalence between the EOM and GF results.

Especially in recent years, much of the work aimed at calculating EAs and IPs using these direct-calculation EOM and GF methods has been performed within the notation of Greens functions and has been carried out by Vince Ortiz's group [26] as well as by the Cederbaum group. To further illustrate the impact that such advances have had within the quantum chemistry community, we note that the Ortiz group has implemented various (i.e. Møller–Plesset and other) variants of these theories within the highly successful Gaussian [27] suite of computer codes as a result of which many workers worldwide now employ EOM of GF-type methods to evaluate EAs and IPs.

17.3.3 The natural orbital or extended Koopmans' theorem approach

In the mid 1970s, Parr and co-workers [28] and, independently, Smith and co-workers [29] proposed to use an equation such as Eq. (10) for computing IPs and they referred to these methods as natural orbital or extended Koopmans' theorem theories. Subsequently, Andersen and the author [30] analyzed the working equations of this approach through second and third order in the MP series and noted the differences between them and the Greens function and equivalent EOM theories computed through these same orders. Of course, based on the discussion of Section 17.2.4, these differences relate to the ranks of the operators appearing in the working equations and are not surprising. More recently, Cioslowski et al. [31] have shown that these extended Koopmans' theorem approaches indeed offer a very efficient and reasonably accurate route to computing IPs or EAs, so it is likely that these methods will continue to develop. One of the more attractive aspects of the extended Koopmans approaches is that they have been shown [32] to be capable, at least in principle, of yielding the correct lowest ionization potential of a neutral molecule because they are capable of generating the proper asymptotic form for the density.

17.3.4 Multiconfiguration-based approximations

Following on the proof by Manne [14] that the operator spaces $\{T^+(I)\} = \{p^+; p^+q^+a, p^+q^+r^+ba, \text{etc.}\}$ and $\{T^+(I)\} = \{a^+, a^+b^+p, a^+b^+c^+qr, \text{etc.}\}$ can be used (i.e. is capable of forming complete sets of ion states) even when no single determinant forms a dominant component of the neutral molecule wave function $|0, N\rangle$, the author's group extended the combined EA and IP-EOM theory to the case in which $|0, N\rangle$ is of an arbitrary MCSCF form [33] and the ionization operator manifold $\{T^+(I)\}$ included operators of the form $\{p^+; p^+q^+a\}$ and $\{a^+, a^+b^+p\}$. The resultant working equations were written as in Eq. (14), with the $H_{j,k}$ matrix elements given in Eq. (18) of Ref. [33], which we do not reproduce here because of their complexity. The primary additional difficulty involved in implementing these multiconfiguration-based equations is the fact that three-electron density matrices $\langle 0, N | i^+ j^+ k^+ l h n | 0, N \rangle$ taken with respect to the MCSCF wave function $|0, N\rangle$ are involved. These density matrices arise when the commutators $[H, p^+q^+a]$ and $[H, a^+b^+p]$ are evaluated.

541 To date, not much use has been made of the MCSCF based EOM theories as developed
 542 in the author's group. Instead, the framework of time-dependent response theory, which
 543 can treat essentially any kind of reference wave function $|0, N\rangle$ including the MCSCF
 544 variety, has superceded the EOM-based developments for such cases. It is important to
 545 keep in mind, however, that both the EOM and response function theories involve
 546 formulating and solving sets of equations whose solution (i.e. the unknown energy) is an
 547 intensive energy.

548

549

550 17.3.5 Coupled-cluster based EOM

551

552 The use of coupled-cluster (CC) wave functions within EOM theory for excitation
 553 energies, IPs and EAs has been developed [34,35] upon slightly different lines than
 554 outlined in Section 17.2. The CC wave function ansatz for $|0, N\rangle$ is written as usual in
 555 terms of an exponential operator acting on a single-determinant (e.g. unrestricted HF)
 556 'reference function' $|0\rangle$

557

$$558 |0, N\rangle = \exp(T)|0\rangle \quad (17)$$

559

560

561 The so-called cluster operator T is expressed in terms of spin-orbital excitation operators
 562 of the form $\{T_1\} = \{p^+a\}$, $\{T_2\} = \{p^+q^+ba\}$, $\{T_4\} = \{p^+q^+r^+cba\}$, etc. with T_k
 563 relating to the excitation of k electrons from occupied spin-orbitals (a, b, c , etc.) to virtual
 564 spin-orbitals (p, q, r , etc.). Prior to forming any EA EOM, the neutral molecule CC
 565 equations need to be solved for the amplitudes $\{t_n\}$ that multiply the $\{T_n\}$ operators to
 566 form the CC T operator. For completeness, let us briefly review how the conventional CC
 567 wave function evaluation is carried out.

568

569 We recall the CC equations are formed by manipulating the Schrödinger equation

$$570 H \exp(T)|0\rangle = E \exp(T)|0\rangle \quad (18)$$

571

572

573 to read

574

$$575 \exp(-T) H \exp(T)|0\rangle = E|0\rangle \quad (19)$$

576

577

578 and subsequently projecting this equation against the set of functions $\{\langle 0|T_n^+\rangle$. Because
 579 the T operator contains only creation operators for unoccupied spin-orbitals and
 580 annihilation operators for occupied spin-orbitals, it turns out that the commutator
 581 expansion

582

$$583 \exp(-T) H \exp(T) = H - [T, H] + 1/2[T, [T, H]] - 1/3![T, [T, [T, H]]] \\ 584 + 1/4![T, [T, [T, [T, H]]]] + \dots \quad (20)$$

585

586 exactly truncates at the fourth-order term. So, the final working equations of CC theory
587 can be written as

$$588 \langle 0|T_n^+ \{H - [T, H] + 1/2[T, [T, H]] - 1/3![T, [T, [T, H]]] \\ 589 + 1/4![T, [T, [T, [T, H]]]]\}|0\rangle = 0 \quad (21)$$

592 Once the CC amplitudes $\{t_n\}$ are determined by solving these quartic equations, the CC
593 energy is computed as

$$594 \langle 0|H - [T, H] + 1/2[T, [T, H]] - 1/3![T, [T, [T, H]]] \\ 595 + 1/4![T, [T, [T, [T, H]]]]|0\rangle = E \quad (22)$$

596 The operator $Q^+(K)$ that maps the CC wave function $|0, N\rangle$ into an anion or cation state
597 is expressed as in Eq. (5) with the $\{T^+(l)\}$ operators including, e.g. $\{T^+(l)\} =$
598 $\{p^+; p^+q^+a, p^+q^+r^+ba, \text{etc.}\}$ when EAs are to be computed and the adjoints of
599 $\{a^+, a^+b^+p, a^+b^+c^+qr, \text{etc.}\}$ when IPs are computed. The basic EOM analogous to
600 Eq. (7b) is then written as

$$601 [H, Q^+(K)] \exp(T)|0\rangle = EQ^+(K) \exp(T)|0\rangle \quad (23)$$

602 Multiplying on the left by $\exp(-T)$ and realizing that T and $Q^+(K)$ commute reduces this
603 equation to

$$604 [H', Q^+(K)]|0\rangle = EQ^+(K)|0\rangle \quad (24)$$

605 where

$$606 H' = \exp(-T) H \exp(T) \quad (25)$$

607 which can be expanded as in Eq. (20) to involve at most quartic terms in the $\{t_n\}$
608 amplitudes. Then, multiplying on the left by $\langle 0|T(j)$ reduces the EOM equations to their
609 final working form

$$610 \sum_l \langle 0|T(j) \{ [H - [T, H] + 1/2[T, [T, H]] - 1/3![T, [T, [T, H]]] \\ 611 + 1/4![T, [T, [T, [T, H]]]] \} T^+(l)|0\rangle t(K, l) \\ 612 = E \sum_l \langle 0|T(j) T^+(l)|0\rangle t(K, l) \quad (26)$$

613 This set of matrix eigenvalue equations are then solved to obtain E which gives the EA or
614 the IP (depending on what operator set was used). Such so-called electron-attached and
615 electron-removed EOM (EA-EOM and IP-EOM) approaches have proven highly
616 successful [34,35] in computing EAs and IPs of a wide range of atoms and molecules
617 primarily because the coupled-cluster treatment of electron correlation provides such a
618 highly accurate treatment of the dynamical electron correlation. At present there is a great
619 deal of activity within this framework of utilizing EOM theories for computing EAs, IPs,
620 and ΔE s.

631 It should be noted that Eq. (26) does not contain an E -dependent matrix element on
632 its left-hand side as do the MPn EOM equations (Eq. (14)) or the equivalent GF
633 equations. This is because the EOM-CC equations are usually not subjected to the
634 operator-space partitioning that the GF and MPn-based EOM theories commonly
635 employ. It should also be noted that the operators appearing on the left-hand side of Eq.
636 (26) produce non-Hermitian matrices. As a result, there arise non-orthogonal left- and
637 right-eigenvectors in solving Eq. (26). As discussed in Refs. [34,35], it is important to
638 compute both sets of eigenvectors if one wishes to compute, e.g. photo-electron
639 intensities.

642 17.4 SOME SPECIAL CASES

644 17.4.1 Calculating EAs as IPs

645
646 In this discussion, we have focused on computing EAs and IPs by forming a neutral
647 molecule wave function $|0, N\rangle$ and computing the EA or IP as an eigenvalue of an EOM
648 matrix problem. Consider applying such an approach to evaluate the EA of the $X^2\Pi$ state
649 of the NO molecule. Because the X-state wave function of NO is spatially degenerate (i.e.
650 the π_x and π_y orbitals should be degenerate), one may encounter artificial symmetry
651 breaking when forming this neutral molecule wave function. That is, the π_x and π_y
652 orbitals may not turn out to be degenerate; in fact, most commonly employed electronic
653 structure codes are not able to guarantee this degeneracy as they should. It would then be
654 unwise to use this symmetry-broken wave function to compute any property of this state
655 of NO, including the EA. To overcome such difficulties, one could use the $X^3\Sigma^+$ state of
656 NO^- as $|0, N\rangle$ and employ an EOM method to evaluate the IP of NO^- (actually the
657 electron detachment energy of NO). The advantage to this approach is that the open-shell
658 $^3\Sigma^+$ state of NO^- would not be susceptible to symmetry breaking because it is not
659 spatially degenerate and has its π_x and π_y orbitals equivalently occupied. This example
660 shows that it may sometimes be better to compute an EA of a molecule as the IP of the
661 corresponding anion. Likewise, it may be better to compute an IP of a molecule as the EA
662 of the molecule's cation in some cases.

663 Another example is provided by the EA of the 2S state of the Na atom to generate the
664 $^1S \text{Na}^-$ anion. Because the 2S state is open shell, one would have to employ the
665 unrestricted Hartree–Fock method to evaluate its orbitals and orbital energies to use in
666 an EOM or GF EA calculation. However, one could, alternatively, compute the EA of
667 Na by evaluating the IP of Na^- . The advantage would be that the Na^- is closed shell, so
668 one could employ restricted Hartree–Fock methods to compute the requisite orbitals
669 and orbital energies.

672 17.4.2 Metastable anion states

673
674 A different kind of problem arises when one attempts to compute the EA of a molecule
675 whose anion is not electronically bound relative to the corresponding neutral. For

example, the $X^1\Sigma_g^+$ state of the N_2 molecule does not bind an electron to form an electronically stable anion. Instead the $X^2\Pi_g$ state of N_2^- , formed by adding an electron to the π_g anti-bonding orbital of N_2 is a so-called resonance state that lies higher in energy than N_2 and can spontaneously eject its excess electron. One cannot simply employ conventional basis sets and *ab initio* electronic structure methods (including EOM or GF or response-function methods) to correctly determine the energies of such states.

The most common and powerful tool for studying such metastable states theoretically is the so-called stabilization method (SM). This method, pioneered by Professor Howard Taylor's group [36], involves embedding the system of interest (e.g. the N_2^{-1} anion) within a finite 'box' in order to convert the continuum of states corresponding, e.g. to $N_2 + e^-$, into discrete states that can be handled by conventional square-integrable basis functions using, e.g. the EOM method. By varying the size of the box, one can vary the energies of the discrete states that correspond to $N_2 + e^-$ (i.e. one varies the box size to vary the kinetic energy KE of the orbitals containing the excess electron). As the box size is varied, one eventually notices (e.g. by plotting the orbitals) that one of the $N_2 + e^-$ states obtained in the EOM process possesses a significant amount of valence character. That is, one such state has significant amplitude not only at large r but also in the region of the two nitrogen centers. It is this state that corresponds to the metastable resonance state, and it is the EOM eigenvalue E of this state that provides the stabilization estimate of the resonance state energy relative to that of the neutral N_2 .

Let us continue using N_2^{-1} as an example for how one usually varies the box within which the anion is constrained. One uses a conventional atomic orbital basis set that likely includes s and p functions on each N atom, perhaps some polarization d functions and some conventional diffuse s and p orbitals on each N atom. These basis orbitals serve primarily to describe the motions of the electrons within the usual valence regions of space. To this basis, one appends an extra set of diffuse π -symmetry orbitals. These orbitals could be p_π (and maybe d_π) functions centered on each nitrogen atom, or they could be d_π orbitals centered at the midpoint of the N–N bond. Either choice can be used because one only needs a basis capable of describing the large r $L = 2$ character of the metastable $^2\Pi_g$ state's wave function. One usually would not add just one such function; rather several such functions, each with an orbital exponent α_j that characterizes its radial extent, would be used. Let us assume, for example, that K such additional diffuse π functions have been used.

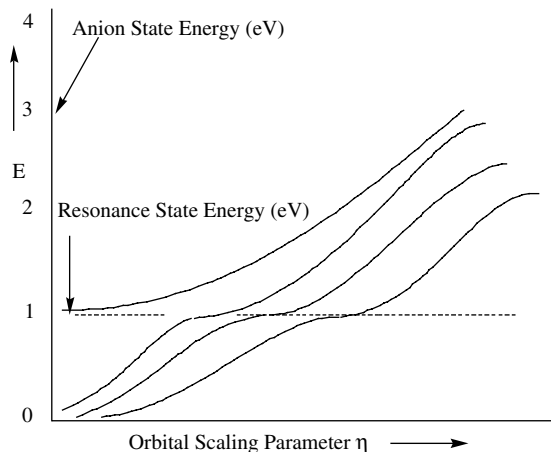
Next, using the conventional atomic orbital basis as well as the K extra π basis functions, one carries out an EOM calculation for the EA of the N_2 molecule. In this calculation, one tabulates the energies of many (say M) of the EOM-EA eigenvalues. One then scales the orbital exponents $\{\alpha_j\}$ of the K extra π basis orbitals by a factor η : $\alpha_j \rightarrow \eta\alpha_j$ and repeats the calculation of the energies of the M lowest EOM eigenvalues. This scaling causes the extra π basis orbitals to contract radially (if $\eta > 1$) or to expand radially (if $\eta < 1$). It is this basis orbital expansion and contraction that produces expansion and contraction of the 'box' discussed above. That is, one does not employ a box directly; instead, one varies the radial extent of the more diffuse basis orbitals to simulate the box variation.

721 If the conventional orbital basis is adequate, one finds that the extra π orbitals, whose
 722 exponents are being scaled, do not affect appreciably the energy of the neutral N_2 system.
 723 This can be probed by plotting the N_2 energy (computed as $\langle 0, N | H | 0, N \rangle$) as a function of
 724 the scaling parameter η ; if the energy varies little with η , the conventional basis is
 725 adequate.

726 In contrast to plots of the neutral N_2 energy vs. η , plots of the energies of the $M N_2^{-1}$
 727 anion states relative to the energy of N_2 , obtained as EOM eigenvalues, show significant
 728 η -dependence as Fig. 17.1 illustrates.

729 What does such a stabilization plot tell us and what do the various branches of the plot
 730 mean? First, we notice that each of the plots of the energy of an anion state (relative to the
 731 neutral molecule's energy, which is independent of η) grows with increasing η . This η
 732 dependence arises from the η -scaling of the extra diffuse π basis orbitals. Because most
 733 of the amplitude of such basis orbitals lies outside the valence region, the kinetic energy
 734 is the dominant contributor to such states' relative energies. Because η enters into each
 735 orbital as $\exp(-\eta ar^2)$, and because the kinetic energy operator involves the second
 736 derivative with respect to r , the kinetic energies of orbitals dominated by the diffuse π
 737 basis functions vary as η^2 . It is this quadratic growth with η that appears as the basic
 738 trends in the energies vs. η plots in Fig. 17.1.

739 For small η , all the π diffuse basis functions have their amplitudes concentrated at
 740 large- r and have low kinetic energy. As η grows, these functions become more radially
 741 compact and their kinetic energies grow just as the particle-in-a-box energies grow as
 742 the box length decreases. For example, note the three lowest energies shown above in
 743 Fig. 17.1 increasing from near zero as η grows. As η further increases, one reaches a
 744 point at which the third and fourth anion-state energies in Fig. 17.1 undergo an avoided
 745 crossing. At higher η values, it is the second and third states and then the first and
 746



764 Fig. 17.1. Plots of the EOM-EA eigenvalues for several anion states vs. the orbital scaling parameter η . Note the
 765 avoided crossing of state energies near 1 eV.

second states whose energies undergo such avoided crossings. At such η values, if one examines the nature of the two anion wave functions (obtained as in Eq. (4)) whose energies avoid one another, one finds they contain substantial amounts of both valence and extra diffuse π function character. Just to the left of the avoided crossing, the lower-energy state (the third state in Fig. 17.1 for the smallest η at which an avoided crossing occurs) contains predominantly extra diffuse π orbital character, while the higher-energy state (the fourth state) contains largely valence π^* orbital character. To the right of the avoided crossing, the situation is reversed—the lower-energy state (the third state in Fig. 17.1 for small η) contains predominantly valence orbital character, while the higher-energy state (the fourth state) contains largely diffuse orbital character.

However, at the special values of η where the two states nearly cross, the kinetic energy of the diffuse state (as well as its radial size and de Broglie wavelength) are appropriate to connect properly with the valence state to form a single resonance state. By connect properly we mean that the two states have wave function amplitudes, phases and slopes that match. It is such boundary condition matching of valence-range and long-range character in the wave function that the stabilization method achieves. So, at such special η values, one can achieve a description of the resonance state that correctly describes this state both in the valence region and in the large- r region. Only by tuning the energy of the large- r states using the η scaling can one obtain this proper boundary condition matching.

Another observation helps to understand the content of such stabilization plots. One considers the density of states (i.e. how many states are there between energy E and $E + dE$ for a fixed small value of dE ?) in a plot such as Fig. 17.1. Clearly, in the range of energies near the avoided crossings, there is an enhanced density of states, while the state density is lower at ‘off resonance’ energies. When viewed either from the point of view of state densities or avoided crossings, there is something special about the region of energies near such resonances. As noted above, it is the fact that the valence-range and continuum components of the wave function can be properly matched at such energies that is ‘special’.

If one attempts to study metastable anion states without carrying out such a stabilization study, one is doomed to failure, even if one employs an extremely large and flexible set of diffuse basis functions. In such a calculation, one will certainly obtain a large number of anion ‘states’ with energies lying above that of the neutral, but one will not be able to select from these states the one that is the true resonance state because the true state will be buried in the myriad of ‘states’ representing the $N_2 + e^-$ continuum.

In summary, by carrying out a series of anion-state energy calculations for several states and plotting them vs. η , one obtains a stabilization graph. By examining this graph and looking for avoided crossings, one can identify the energies at which metastable resonances occur. It is absolutely critical to identify these resonance energies if one wishes to probe metastable anions. It is also possible [37] to use the shapes (i.e. the magnitude of the energy splitting between the two states and the slopes of the two avoiding curves) of the avoided crossings in a stabilization graph to compute the lifetimes of the metastable states. Basically, the larger the avoided-crossing energy splitting between the two states, the shorter is the lifetime of the resonance state.

17.5 SUMMARY

We have tried to illustrate how, by focusing on the intensive energies that one wishes to compute when studying EAs, IPs or electronic excitation energies, one can replace the solution of the Schrödinger equation by the solution of so-called EOM. It is the eigenvalues of these EOMs that produce the EAs and IPs directly. We have reviewed some of the history of the development of EOM theory, especially as it applied to EAs and IPs, and we have attempted to show its relationships to Greens functions and extended Koopmans' theorem approaches to these same intensive energies. We have shown that a wide variety of EOM theories can be developed depending on how one chooses to describe the neutral molecule's wave function (i.e. in MP, MCSCF or CC fashion). Finally, we discussed some of the pitfalls that one encounters when applying EOM theory to EAs of molecules whose anion states are not bound but are metastable resonance states. It is our hope and belief that EOM methods have proven useful computationally and for gaining insight and will continue to have a bright future.

Readers who wish to learn more about how molecular EAs (and to a lesser extent, IPs) have been studied theoretically are directed to this author's web site <http://simons.hec.utah.edu> as well as to a series [38] of his reviews and chapters. The species that this group have examined include dipole-bound anions, zwitterion ions, conventional valence anions, multiply charged anions as well as a wide variety of metastable anions.

17.6 ACKNOWLEDGEMENTS

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Author Queries

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993 *JOB NUMBER:* 9588

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998 **Q1** Kindly check the edit of the sentence - Nevertheless, in the late 1960s...

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