

Ab Initio Study of the Si₂O and Si₃O Molecules

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The structures and vibrational frequencies of various structures and electronic states of Si₂O and Si₃O have been studied at the MP2(full)/6-311+G* level, with geometries also calculated at the MP4SDTQ/6-311+G-(2df) and QCISD(T)/6-311+G(2df) levels. Triangular Si₂O (*C*_{2v}, ¹A₁) and planar-rhombus Si₃O (*C*_{2v}, ¹A₁) structures are found to be the most stable, but several other low-lying local minima are also found. The calculated dissociation energies for the lowest energy decompositions of Si₂O into SiO + Si and of Si₃O into Si₂ + SiO are 50.1 and 59.8 kcal/mol, respectively. The energies for Si_nO → Si_n + O are much larger. Even the lowest decomposition energies are larger than those found for Li₂O, Li₃O; Mg₂O, Mg₃O; and Al₂O, Al₃O. In both Si₂O and Si₃O, the O atom does not insert into coordination sites of highest symmetry; rather, the most stable structures involve coordination of the O atom to two Si atoms without destroying the Si–Si bonding. Again, this is different than in Li₂O, Li₃O, Mg₂O, Mg₃O, Al₂O, and Al₃O, where the O atom inserts into the site of highest coordination and highest symmetry.

Introduction

Hypermetallic molecules such as Li_nX, Na_nX, K_nX,^{1,2} Mg_nX,³ and Al_nX,^{4,5} with electronegative atoms X and with stoichiometries exceeding normal valence expectations have been practiced theoretically and studied experimentally. Metal–metal bonding is found to contribute significantly to the stability of these species. However, similar hyperstoichiometric molecules with nonmetallic ligands are still unknown. One of the important results found in refs 1–3 is that for X = O, the oxygen atom occupies a high-coordination site in which it is bonded to as many other atoms as possible.

In the present work, we investigate the hypersilicon molecules, Si₂O and Si₃O. Experimental and theoretical studies of the SiO monomer and of clusters of Si atoms are extensive,^{6–10} and preliminary experimental¹¹ and theoretical¹² results are available on Si₂O. According to experimental ESR spectra, the Si₂O molecule has a linear SiSiO structure with a triplet ³Σ state.^{11b} However, in CISD and CASSCF calculations by DeKock and others,¹² the linear symmetric SiOSi triplet (³Σ[−]) structure is more stable by 10 kcal/mol than the linear asymmetric SiSiO triplet (³Σ[−]) structure. These authors considered only triplets and found that bent triplet states of SiOSi are higher in energy. We have not been able to find any information on isolated Si₃O. Our interest in these two molecules was stimulated by the fact that they are prototypes of small silicon clusters interacting with an impurity—oxygen atom. Results of our study of such interactions may be of importance to scientists interested in how O atoms and molecules react with various crystal surfaces (or terraces, kinks, or steps) of solid Si.

Computational Details

The geometries of SiO, Si₂, Si₂O, and Si₃O in the singlet and triplet states were first optimized by employing analytical self-consistent-field (SCF) gradients¹³ with a polarized split-valence basis set (results at this level are denoted SCF/6-31G*^{14,15}) and subsequently at the correlated second-order Møller–Plesset (MP2-(full)) level. Further optimized geometries were obtained using MP2(full) calculations with triple-ζ plus polarization and diffuse bases (denoted 6-311+G*^{16,17}).

The fundamental vibrational frequencies, normal coordinates, and zero-point energies (ZPE) were calculated by standard FG matrix methods. Finally, higher quality correlated total energies were evaluated in the frozen-core approximation both by fourth-order Møller–Plesset perturbation theory¹⁸ (MP4) and by the

quadratic configuration interaction including singles and doubles with approximate triples QCISD(T)¹⁹ method using 6-311+G-(2df,2p) basis sets. The Gaussian 90 program suite²⁰ was used to perform all of the calculations, whose results are discussed here.

Results and Discussion

Our calculated molecular properties of the various electronic states of SiO, Si₂, Si₂O, and Si₃O are presented in Tables I–V, and dissociation energies for various decompositions of Si₂, SiO, Si₂O, and Si₃O are shown in Table VI. The corresponding molecular structures are depicted in Figure 1, and the simulated IR spectra of the lowest energy minima of Si₂O and Si₃O are shown in Figure 2.

SiO and Si₂. It is well-known that the SiO molecule has a singlet (¹Σ⁺, 1σ²2σ²1π⁴3σ²) ground state and that the Si₂ dimer is a triplet (³Σ_g[−], 1σ_g²1σ_u²2σ_g²1π_u²) in its ground electronic state.⁶ Our calculated equilibrium bond lengths, vibrational frequencies, and dissociation energies for these two molecules agree with the experimental data to within 0.026 Å (SiO) and 0.009 Å (Si₂), 58 cm^{−1} (SiO) and 4 cm^{−1} (Si₂), and 8 kcal/mol (SiO) and 4 kcal/mol (Si₂), respectively, using MP2(full)/6-311+G* geometries and frequencies and QCISD(T)/6-311+G(2df) total energies. The excitation energy to the first triplet excited state is not known exactly for SiO, but Huber and Herzberg report⁶ an estimate of 96.2 kcal/mol. Our best excitation energy of the ³Π (1σ²2σ²1π⁴3σ¹2π¹) state of this molecule is 97.8 kcal/mol (at the QCISD(T)/6-311+G(2df) level), in good agreement with the recommended value. The first singlet Σ state (¹Σ_g⁺, 1σ_g²1σ_u²1π_u⁴) of Si₂ is known²¹ to be higher in energy than the ground state by 16.1 kcal/mol. Again, our best estimate of this excitation energy, 15.8 kcal/mol (QCISD(T)/6-311+G(2df)), is in good agreement.

Si₂O. The valence isoelectronic C₂O molecule is known to have a linear CCO singlet structure.²² However, for Si₂O we expect another structure to be lower in energy; therefore, triplet and singlet states of linear SiSiO (*C*_{∞v}) and SiOSi (*D*_{∞h}) as well as bent SiOSi (*C*_{2v}) have been studied (see Table II and Figure 1). Because both linear structures have π² outer orbital electron configurations, ¹Δ states have been calculated using a small complete active space SCF (from CASSCF(2,2)/6-31G* to CASSCF(6,8)/6-31G*) wave function. This CASSCF wave function does not take into account much correlation energy, but it does give the proper ¹Δ symmetry of the wave function.

TABLE I: Calculated Molecular Properties of the Singlet and Triplet States of SiO and Si₂

SiO (¹ Σ ⁺) 1σ ² 2σ ² 1π ⁴ 3σ ²	SiO (³ Π) 1σ ² 2σ ² 1π ⁴ 3σ ¹ 2π ¹	Si ₂ (¹ Σ _g ⁺) 1σ _g ² 1σ _u ² 1π _u ⁴	Si ₂ (³ Σ _g ⁻) 1σ _g ² 1σ _u ² 1π _u ² 2σ _g ²
MP2(full)/6-311+G*			
R(Si-O) = 1.536 Å E _{MP2} = -364.25333 ω _c = 1183 cm ⁻¹ ZPE = 1.69 kcal/mol	R(Si-O) = 1.506 Å E _{MP2} = -364.09022 ω _c = 2571 cm ⁻¹ ZPE = 3.68 kcal/mol <S ² > = 2.029	R(Si-Si) = 2.064 Å E _{MP2} = -578.11043 ω _c = 611 cm ⁻¹ ZPE = 0.87 kcal/mol	R(Si-Si) = 2.255 Å E _{MP2} = -578.12997 ω _c = 506 cm ⁻¹ ZPE = 0.72 kcal/mol <S ² > = 2.015
QCISD(T)/6-311+G(2df)			
E _{HF} = -363.83286 E _{MP2} = -364.16950 E _{MP3} = -364.16001 E _{MP4} = -364.19685 E _{QCISD} = -364.17365 E _{QCISD(T)} = -364.18964 D ₀ (PMP4) = 187.3 kcal/mol D ₀ (QCISD(T)) = 182.0 kcal/mol	E _{PUHF} = -363.70964 E _{PMP2} = -364.01463 E _{PMP3} = -364.00623 E _{PMP4} = -364.03764 E _{QCISD} = -364.01895 E _{QCISD(T)} = -364.03377 T _c (PMP4) = 99.9 kcal/mol T _c (QCISD(T)) = 97.8 kcal/mol	E _{HF} = -577.68053 E _{MP2} = -577.90293 E _{MP3} = -577.91299 E _{MP4} = -577.94145 E _{QCISD} = -577.92081 E _{QCISD(T)} = -577.94191 T _c (PMP4) = 14.6 kcal/mol T _c (QCISD(T)) = 15.8 kcal/mol	E _{PUHF} = -577.77116 E _{PMP2} = -577.92573 E _{PMP3} = -577.95309 E _{PMP4} = -577.96470 E _{QCISD} = -577.95618 E _{QCISD(T)} = -577.96713 D ₀ (PMP4) = 69.8 kcal/mol D ₀ (QCISD(T)) = 69.7 kcal/mol

TABLE II: Calculated Molecular Properties of the Lowest Energy Si₂O Structures

Si ₂ O (C _{2v} , ¹ A ₁) 1a ₁ ² 2a ₁ ² 1b ₂ ² 1b ₁ ² - 3a ₁ ² 2b ₂ ² 4a ₁ ²	Si ₂ O (C _{2v} , ³ B ₁) 1a ₁ ² 2a ₁ ² 1b ₂ ² 1b ₁ ² - 3a ₁ ² 2b ₂ ² 4a ₁ ¹ 2b ₁ ¹	SiOSi (D _{∞h} , ³ Σ _g ⁻) 1σ _g ² 1σ _u ² 1π _u ⁴ - 2σ _g ² 2σ _u ² 1π _g ²	Si ₂ O (C _{∞v} , ³ Σ ⁻) 1σ ² 2σ ² 3σ ² - 1π ⁴ 3σ ² 2π ²
MP2(full)/6-311+G*			
E _{MP2} = -653.33286 ν ₁ (a ₁) = 810 cm ⁻¹ ν ₂ (a ₁) = 437 cm ⁻¹ ν ₃ (b ₂) = 613 cm ⁻¹ ZPE = 2.66 kcal/mol	E _{MP2} = -653.30302 ν ₁ (a ₁) = 799 cm ⁻¹ ν ₂ (a ₁) = 353 cm ⁻¹ ν ₃ (b ₂) = 1389 cm ⁻¹ ZPE = 3.63 kcal/mol <S ² > = 2.069	E _{MP2} = -653.30718 ν ₁ (σ _g) = 561 cm ⁻¹ ν ₂ (σ _u) = 967 cm ⁻¹ ν ₃ (π _u) = 220 cm ⁻¹ ZPE = 2.81 kcal/mol <S ² > = 2.109	E _{MP2} = -653.29342 ν ₁ (σ) = 1207 cm ⁻¹ ν ₂ (σ) = 341 cm ⁻¹ ν ₃ (π) = 53 cm ⁻¹ ZPE = 2.36 kcal/mol <S ² > = 2.035
QCISD(T)/6-311+G(2df)			
E _{HF} = -652.73177 E _{MP2} = -653.15450 E _{MP3} = -653.16393 E _{MP4} = -653.20087 E _{QCISD} = -653.17575 E _{QCISD(T)} = -653.19852 T _c (PMP4) = 0.0 kcal/mol T _c (QCISD(T)) = 0.0 kcal/mol	E _{PUHF} = -652.72574 E _{MP2} = -653.12835 E _{PMP3} = -653.13846 E _{PMP4} = -653.17244 E _{QCISD} = -653.14788 E _{QCISD(T)} = -653.17057 T _c (PMP4) = 17.8 kcal/mol T _c (QCISD(T)) = 17.5 kcal/mol	E _{PUHF} = -652.71230 E _{MP2} = -653.12329 E _{PMP3} = -653.13413 E _{PMP4} = -653.16866 E _{QCISD} = -653.13890 E _{QCISD(T)} = -653.16560 T _c (PMP4) = 20.2 kcal/mol T _c (QCISD(T)) = 20.7 kcal/mol	E _{PUHF} = -652.70703 E _{MP2} = -653.11700 E _{PMP3} = -653.11951 E _{PMP4} = -653.16193 E _{QCISD} = -653.13278 E _{QCISD(T)} = -653.15557 T _c (PMP4) = 24.4 kcal/mol T _c (QCISD(T)) = 27.0 kcal/mol

TABLE III: Calculated Molecular Properties of the Lowest Energy Si₃O Structures

Si ₃ O (D _{3h} , ¹ A ₁ [']) 1a ₁ ² 1e ⁴ 1a ₂ ² - 2a ₁ ² 2e ⁴ 3e ⁴	Si ₃ O (C _{3v} , ¹ A ₁) 1a ₁ ² 1e ⁴ 2a ₁ ² 3a ₁ ² - 2e ⁴ 3e ⁴	Si ₃ O (C _{2v} , I ¹ A ₁) 1a ₁ ² 2a ₁ ² 1b ₂ ² 1b ₁ ² 3a ₁ ² 4a ₁ ² 2b ₂ ² 5a ₁ ² 2b ₁ ²	Si ₃ O (C _{2v} , II ¹ A ₁) 1a ₁ ² 1b ₂ ² 2a ₁ ² 1b ₁ ² 3a ₁ ² 2b ₂ ² 4a ₁ ² 3b ₂ ² 1a ₂ ²	Si ₃ O (C _{2v} , I ³ A ₂) 1a ₁ ² 2a ₁ ² 1b ₂ ² 1b ₁ ² - 3a ₁ ² 4a ₁ ² 2b ₂ ² 5a ₁ ² - 3b ₂ ² 2b ₁ ¹	Si ₃ O (C _{2v} , II ³ B ₂) 1a ₁ ² 1b ₂ ² 2a ₁ ² 1b ₁ ² - 3a ₁ ² 2b ₂ ² 4a ₁ ² - 3b ₂ ² 2b ₁ ¹ 1a ₂ ¹
MP2(full)/6-311+G*					
E _{MP2} = -942.33370 ν ₁ (a ₁ [']) = 470 cm ⁻¹ ν ₂ (a ₂ [']) 260i cm ⁻¹ ν ₃ (e [']) = 755 cm ⁻¹ ν ₄ (e [']) 105 cm ⁻¹ ZPE = 3.13 kcal/mol	E _{MP2} = -942.36607 ν ₁ (a ₁) = 643 cm ⁻¹ ν ₂ (a ₁) = 250 cm ⁻¹ ν ₃ (e) = 576 cm ⁻¹ ν ₄ (e) = 326 cm ⁻¹ ZPE = 3.85 kcal/mol	E _{MP2} = -942.40014 ν ₁ (a ₁) = 685 cm ⁻¹ ν ₂ (a ₁) = 523 cm ⁻¹ ν ₃ (a ₁) = 268 cm ⁻¹ ν ₄ (b ₁) = 278 cm ⁻¹ ν ₅ (b ₂) = 194 cm ⁻¹ ν ₆ (b ₂) = 160i cm ⁻¹ ZPE = 2.78 kcal/mol	E _{MP2} = -942.39250 ^a ν ₁ (a ₁) = 707 cm ⁻¹ ^b ν ₂ (a ₁) = 371 cm ⁻¹ ^b ν ₃ (a ₁) = 239 cm ⁻¹ ^b ν ₄ (b ₁) = 261 cm ⁻¹ ^b ν ₅ (b ₂) = 2245 cm ⁻¹ ^{b,c} ν ₆ (b ₂) = 295 cm ⁻¹ ^b ZPE = 5.89 kcal/mol	E _{MP2} = -942.40049 ν ₁ (a ₁) = 837 cm ⁻¹ ν ₂ (a ₁) = 514 cm ⁻¹ ν ₃ (a ₁) = 355 cm ⁻¹ ν ₄ (b ₁) = 156 cm ⁻¹ ν ₅ (b ₂) = 793 cm ⁻¹ ν ₆ (b ₂) = 152 cm ⁻¹ ZPE = 4.01 kcal/mol	E _{MP2} = -942.42345 ^a ν ₁ (a ₁) = 584 cm ⁻¹ ^b ν ₂ (a ₁) = 458 cm ⁻¹ ^b ν ₃ (a ₁) = 286 cm ⁻¹ ^b ν ₄ (b ₁) = 174 cm ⁻¹ ^b ν ₅ (b ₂) = 1961 cm ⁻¹ ^{b,c} ν ₆ (b ₂) = 618 cm ⁻¹ ^b ZPE = 5.83 kcal/mol
MP4SDTQ/6-311+G(2df)					
E _{SCF} = -941.51940 E _{MP2} = -942.04130 E _{MP3} = -942.06559 E _{MP4} = -942.10827 ΔE _{MP4} = 94.9 kcal/mol	E _{SCF} = -941.54331 E _{MP2} = -942.09307 E _{MP3} = -942.10598 E _{MP4} = -942.15806 ΔE _{MP4} = 63.6 kcal/mol	E _{SCF} = -941.56667 E _{MP2} = -942.11095 E _{MP3} = -942.12189 E _{MP4} = -942.17730 ΔE _{MP4} = 51.4 kcal/mol	E _{SCF} = -941.52245 E _{MP2} = -942.11680 E _{MP3} = -942.11279 E _{MP4} = -942.18174 ΔE _{MP4} = 48.8 kcal/mol	E _{PUSCF} = -941.62316 E _{PMP2} = -942.11120 E _{PMP3} = -942.13262 E _{PMP4} = -942.17344 ΔE _{PMP4} = 54.0 kcal/mol	E _{PUSCF} = -941.62216 E _{MP2} = -942.14406 E _{MP3} = -942.15647 E _{MP4} = -942.20502 ΔE _{PMP4} = 34.1 kcal/mol

^a Frequencies were not calculated at the MP2(full)/6-311+G* level due to difficulties with convergence. ^b Frequencies calculated at the MP2(full)/6-311+G* level. ^c This frequency is probably overestimated due to multiconfigurational contribution to this mode.

The bent singlet C_{2v} (¹A₁, 1a₁²2a₁²1b₂²1b₁²3a₁²2b₂²4a₁²) SiOSi structure has been found to be the global minima (see Table II), which is unlike the case for CCO. Five other local minimum structures were also identified, and some of them are characterized in Table II.

The triplet C_{2v} (³B₁, 1a₁²2a₁²1b₂²1b₁²3a₁²2b₂²4a₁¹2b₁¹) Si₂O, triplet linear D_{∞h} (³Σ_g⁻, 1σ_g²1σ_u²1π_u⁴2σ_g²2σ_u²1π_g²) SiOSi, and linear C_{∞v} (³Σ⁻, 1σ²2σ²3σ²1π⁴4σ²2π²) SiSiO structures lie higher

in energy than the global minimum C_{2v} (¹A₁) structure by 17.5, 20.7, and 27.0 kcal/mol, respectively (at the QCISD(T)/6-311+G*(2df) level). The geometrical structure of the C_{2v} (³B₁) triplet state does not differ much from that of the singlet C_{2v} state.

Our results do not agree with the previous conclusion by DeKock and others¹² that the bent triplet SiOSi is higher in energy than the linear molecules. At our largest 6-311+G(2df) basis set, the

TABLE IV: Calculated Molecular Properties of the Lowest Energy Si₃O Structures

Si ₃ O (<i>C</i> _{∞v} , ¹ Σ ⁺) 1σ ² 2σ ² 3σ ² 4σ ² 1π ⁴ 5σ ² 2π ⁴	Si ₃ O (<i>C</i> _v , ¹ A') 1a' ² 2a' ² 3a' ² 4a' ² 1a'' ² 5a'' ² 6a'' ² 7a'' ² 2a'' ²	Si ₃ O (<i>C</i> _{2v} , Y, ¹ A ₁) 1a' ² 2a' ² 3a' ² 1b ₂ ' ² 1b ₁ ' ² 2b ₂ ' ² 4a ₁ ' ² 2b ₁ ' ² 5a ₁ ' ²	Si ₃ O (<i>C</i> _{2v} , X, ¹ A ₁) 1a' ² 2a' ² 1b ₂ ' ² 3a ₁ ' ² 1b ₁ ' ² 2b ₂ ' ² 4a ₁ ' ² 5a ₁ ' ² 2b ₁ ' ²	Si ₃ O (<i>C</i> _{2v} , Y, ³ B ₂) 1a' ² 2a' ² 1b ₂ ' ² 3a ₁ ' ² 2b ₂ ' ² 4a ₁ ' ² 1b ₁ ' ² 2b ₁ ' ² 5a ₁ ' ² 3b ₂ ' ²	Si ₃ O (<i>C</i> _{2v} , X, ³ B ₂) 1a' ² 2a' ² 1b ₂ ' ² 3a ₁ ' ² 1b ₁ ' ² 2b ₂ ' ² 4a ₁ ' ² 2b ₁ ' ² 5a ₁ ' ² 3b ₂ ' ²
MP2(full)/6-311+G*					
<i>E</i> _{MP2} = -942.39793	<i>E</i> _{MP2} = -942.44698	<i>E</i> _{MP2} = -942.44450 ^a	<i>E</i> _{MP2} = -942.47242	<i>E</i> _{MP2} = -942.42277	<i>E</i> _{MP2} = -942.42809 ^a
ν ₁ (σ) = 1210 cm ⁻¹	ν ₁ (a') = 1204 cm ⁻¹	ν ₁ (a ₁) = 1189 cm ⁻¹ ^b	ν ₁ (a ₁) = 795 cm ⁻¹	ν ₁ (a ₁) = 1187 cm ⁻¹	ν ₁ (a ₁) = 786 cm ⁻¹ ^b
ν ₂ (σ) = 631 cm ⁻¹	ν ₂ (a') = 525 cm ⁻¹	ν ₂ (a ₁) = 544 cm ⁻¹ ^b	ν ₂ (a ₁) = 527 cm ⁻¹	ν ₂ (a ₁) = 499 cm ⁻¹	ν ₂ (a ₁) = 511 cm ⁻¹ ^b
ν ₃ (σ) = 315 cm ⁻¹	ν ₃ (a') = 454 cm ⁻¹	ν ₃ (a ₁) = 380 cm ⁻¹ ^b	ν ₃ (a ₁) = 461 cm ⁻¹ ^b	ν ₃ (a ₁) = 378 cm ⁻¹	ν ₃ (a ₁) = 366 cm ⁻¹ ^b
ν ₄ (π) = 62 cm ⁻¹	ν ₄ (a') = 239 cm ⁻¹	ν ₄ (b ₁) = 172 cm ⁻¹ ^b	ν ₄ (b ₁) = 235 cm ⁻¹	ν ₄ (b ₁) = 199 cm ⁻¹	ν ₄ (b ₁) = 1794 cm ⁻¹ ^b
ν ₅ (π) = 130i cm ⁻¹	ν ₅ (a'') = 144 cm ⁻¹	ν ₅ (b ₂) = 207 cm ⁻¹ ^b	ν ₅ (b ₂) = 471 cm ⁻¹	ν ₅ (b ₂) = 563 cm ⁻¹	ν ₅ (b ₂) = 759 cm ⁻¹ ^b
ZPE = 3.26 kcal/mol	ZPE = 3.84 kcal/mol	ZPE = 3.56 kcal/mol	ZPE = 3.99 kcal/mol	ZPE = 3.97 kcal/mol	ZPE = 6.52 kcal/mol
MP4SDTQ/6-311+G(2df)					
<i>E</i> _{SCF} = -941.55009	<i>E</i> _{SCF} = -941.61171	<i>E</i> _{SCF} = -941.60632	<i>E</i> _{SCF} = -941.64447	<i>E</i> _{PUSCF} = -941.61735	<i>E</i> _{PUSCF} = -941.64712
<i>E</i> _{MP2} = -942.12373	<i>E</i> _{MP2} = -942.17300	<i>E</i> _{MP2} = -942.17002	<i>E</i> _{MP2} = -942.19960	<i>E</i> _{PMP2} = -942.15097	<i>E</i> _{PMP2} = -942.16077
<i>E</i> _{MP3} = -942.11520	<i>E</i> _{MP3} = -942.17363	<i>E</i> _{MP3} = -942.17025	<i>E</i> _{MP3} = -942.20671	<i>E</i> _{PMP3} = -942.15519	<i>E</i> _{PMP3} = -942.17457
<i>E</i> _{MP4} = -942.18928	<i>E</i> _{MP4} = -942.23197	<i>E</i> _{MP4} = -942.22954	<i>E</i> _{MP4} = -942.25944	<i>E</i> _{PMP4} = -942.20767	<i>E</i> _{PMP4} = -942.21895
Δ <i>E</i> _{MP4} = 44.0 kcal/mol	Δ <i>E</i> _{MP4} = 17.2 kcal/mol	Δ <i>E</i> _{MP4} = 18.8 kcal/mol	Δ <i>E</i> _{MP4} = 0.0 kcal/mol	Δ <i>E</i> _{MP4} = 32.5 kcal/mol	Δ <i>E</i> _{MP4} = 25.4 kcal/mol

^a Frequencies were not calculated at the MP2(full)/6-311+G* level due to problems with convergence. ^b Frequencies calculated at the MP2(full)/6-31G* level.

TABLE V: Calculated Molecular Properties of the Lowest Energy Si₃O Structures

Si ₃ O (<i>C</i> _{3v} , ³ A ₁) 1a ₁ ' ² 2a ₁ ' ² 1e' ⁴ 3a ₁ ' ² 2e' ⁴ 4a ₁ ' ² 3e' ²	Si ₃ O (<i>C</i> _{2v} , III ¹ A ₁) 1a ₁ ' ² 1b ₂ ' ² 2a ₁ ' ² 1b ₁ ' ² 3a ₁ ' ² 2b ₂ ' ² 4a ₁ ' ² 3b ₂ ' ² 2b ₁ ' ²
MP2(full)/6-311+G*	
<i>E</i> _{MP2} = -942.41130 ^a	<i>E</i> _{MP2} = -942.42368
ν ₁ (a ₁) = 756 cm ⁻¹ ^b	ν ₁ (a ₁) = 589 cm ⁻¹
ν ₂ (a ₁) = 432 cm ⁻¹ ^b	ν ₂ (a ₁) = 409 cm ⁻¹
ν ₃ (e) = 401 cm ⁻¹ ^b	ν ₃ (a ₁) = 314 cm ⁻¹
ν ₄ (e) = 236 cm ⁻¹ ^b	ν ₄ (b ₁) = 33 cm ⁻¹
ZPE = 3.52 kcal/mol	ν ₅ (b ₂) = 591 cm ⁻¹
(<i>S</i> ²) = 2.048	ν ₆ (b ₂) = 262i cm ⁻¹
	ZPE = 2.62 kcal/mol
MP4SDTQ/6-311+G(2df)	
<i>E</i> _{PUSCF} = -941.61980	<i>E</i> _{SCF} = -941.60273
<i>E</i> _{PMP2} = -942.14451	<i>E</i> _{MP2} = -942.14494
<i>E</i> _{PMP3} = -942.15613	<i>E</i> _{MP3} = -942.15653
<i>E</i> _{PMP4} = -942.20460	<i>E</i> _{MP4} = -942.20898
Δ <i>E</i> _{PMP4} = 34.4 kcal/mol	Δ <i>E</i> _{PMP4} = 31.7 kcal/mol

^a Frequencies were not calculated at the MP2(full)/6-311+G* level due to problems with convergence. ^b Frequencies calculated at the MP2(full)/6-31G*.

bent *C*_{2v} (³B₁) structure of Si₂O is more stable than the linear *D*_{∞h} (³Σ_g⁻) at all levels of theory, from PUSCF to QCISD(T). In our calculation, we also found that the linear SiOSi (*D*_{∞h}, ³Σ_g⁻) structure is more stable than SiSiO (*C*_{∞v}, ³Σ⁻), as in the previous calculations by DeKock and others.¹² However, we emphasize that neither the *C*_{2v} (³B₁) structure nor the *D*_{∞h} (³Σ_g⁻) structure of Si₂O is the global minimum.

The CASSCF(6,8)/6-31G* (1176 CSFs (configuration state functions) for the singlet state and 1512 CSFs for the triplet state) calculations at the SCF/6-31G* geometry have shown that the Hartree-Fock function dominates in the singlet *C*_{2v}, ¹A₁ state (*C*_{HF} = 0.948) and in the triplet *C*_{2v}, ³B₁ (*C*_{HF} = 0.950) state. Therefore, our results based on the MP4 and QCISD(T) methods should be reliable. At the CASSCF(6,8)/6-31G* level, the singlet *C*_{2v} (¹A₁) structure is more stable than the triplet *C*_{2v} (³B₁) one by 23.4 kcal/mol.

The ¹Δ states of SiOSi (¹Δ_g, 1σ_g²1σ_u²1π_u⁴2σ_g²2σ_u²1π_g²) at the CASSCF(2,4)/6-31G*, CASSCF(6,6)/6-31G*, and CASSCF(6,8)/6-31G* levels (in the former case the singlet state has 1176 CSFs and the triplet state has 1512 CSFs) lie 3.0, 2.9, and 3.6 kcal/mol, respectively, above the ³Σ_g⁻ state of SiOSi (at the CASSCF(2,2)/6-31G* geometry). However, both states are not well represented by the Hartree-Fock wave function: *C*_{HF} = 0.866 in the CASSCF(6,8)/6-31G* expansion for the ³Σ_g⁻ state,

while two configurations with 1π_{ux}² and 1π_{uy}² occupations (coefficients are 0.587) dominate in the ¹Δ_g state.

The ¹Δ state of SiSiO (¹Δ⁻, 1σ²2σ²3σ²1π⁴4σ²2π²) at the CASSCF(2,6)/6-31G*, CASSCF(4,6)/6-31G*, and CASSCF(4,8)/6-31G* levels lies 16.0, 16.7, and 16.5 kcal/mol, respectively, above the ³Σ⁻ state of SiSiO (again at CASSCF(2,2)/6-31G* geometry). The triplet state is well represented by Hartree-Fock function (*C*_{HF} = 0.976 in CASSCF(4,8)/6-31G* expansion), but the singlet requires a two-determinant wave function with 1π_x² and 1π_y² occupations. Therefore, in our high-level calculations, only triplet states of linear SiOSi and SiSiO have been studied.

The bent singlet-state global minimum of the Si₂O molecule is thermodynamically stable with respect to dissociation into SiO- (¹Σ⁺) + Si(³P) by 50.1 kcal/mol (this value is somewhat less than *D*_e(Si₂) = 74.7 kcal/mol), as well as into Si₂(³Σ_g⁻) + O(³P) by 162.4 kcal/mol.

The equilibrium Si-Si bond length (2.340 Å) in Si₂O (*C*_{2v}, ¹A₁) is close to the "normal" Si-Si single bond in H₃Si-SiH₃ (2.337 Å), and the Si-O distances (1.723 Å) are also close to the normal single Si-O bonds in H₃Si-OH (1.661 Å all at MP2(full)/6-311++G** level). Therefore, the bonding in this molecule may be represented as a single Si-Si bond and two single Si-O bonds with one lone electron pair on each silicon atom. The calculated infrared (IR) spectrum is presented in Figure 2 to help identify this molecule in the gas phase or in matrix isolation.

The lowest energy Si₂O structure is different from those of Li₂O, Mg₂O, and Al₂O, in which the oxygen atom breaks the metal-metal bond to form stable linear X-O-X structures. In Si₂O, the oxygen is coordinated to both Si atoms, but a single Si-Si bond remains intact. This result provides us the first hint that when oxygen atoms interact with larger silicon clusters, they will coordinate outside of rather than insert into such clusters.

Si₃O. Isolated Si₃ clusters have previously been studied by ab initio methods,^{10b} and the ground state was found to be cyclic (*C*_{2v}, ¹A₁) with an apex bond angle of 77.2° and an Si-Si bond length of 2.179 Å. The linear structure of Si₃ is found to be a saddle point which rearranges without activation to the ¹A₁ cyclic structure. There is a low-lying triangular triplet state (*D*_{3h}, ³A₂⁻) which lies only a few kcal/mol above the ¹A₁ ground state.

Our calculated geometrical parameters for Si₃ (*C*_{2v}, ¹A₁) give an apex bond angle of 78.4°, an Si-Si bond length of 2.173 Å, and calculated vibrational frequencies of ν₁(a₁) = 579 cm⁻¹, ν₂(a₁) = 195 cm⁻¹, and ν₃(b₂) = 562 cm⁻¹ (all at the MP2(full)/6-311+G* level).

was found to have one imaginary vibrational frequency $\nu_2(a_2'')$; allowing this mode to distort leads to a pyramidal C_{3v} ($1A_1$, $1a_1^2-1e^22a_1^23a_1^22e^43e^44a_1^0$) local minimum which is 31.3 kcal/mol lower in energy. However, the Si-Si distances (2.617 Å) in the C_{3v} ($1A_1$) structure are larger than single Si-Si bonds in molecules such as H₃Si-SiH₃ ($R(\text{Si-Si}) = 2.337$ Å). Therefore, silicon-silicon interactions do not contribute significantly to the stability of this structure. Probably as a result, this C_{3v} ($1A_1$) structure is not thermodynamically stable with respect to dissociation into SiO + Si₂ (i.e., its lowest energy decomposition path).

Deforming the D_{3h} singlet structure while retaining planar symmetry leads to two lower energy structures: C_{2v} I ($1A_1$, $1a_1^2-2a_1^21b_2^21b_1^23a_1^24a_1^22b_2^25a_1^22b_1^2$) and C_{2v} II ($1A_1$, $1a_1^21b_2^22a_1^2-1b_1^23a_1^22b_2^24a_1^23b_2^21a_2^21b_1^0$). In the C_{2v} I ($1A_1$) structure, two Si-Si bonds elongate (by 0.429 Å) and one Si-Si bond shortens (by 0.773 Å) relative to the D_{3h} starting point. As a result, two silicon atoms approach close enough ($R(\text{Si-Si}) = 2.253$ Å) to form a bond. In the C_{2v} II ($1A_1$) structure, one silicon-silicon distance increases, relative to the D_{3h} starting point, but the two other decrease to form two Si-Si single bonds ($R(\text{Si-Si}) = 2.446$ Å). The C_{2v} I ($1A_1$) structure is a saddle point, and the C_{2v} II ($1A_1$) structure is a local minimum (see Tables III-V).

In contrast, the triplet states C_{2v} I ($3A_2$, $1a_1^22a_1^21b_2^23a_1^24a_1^2-2b_2^25a_1^23b_2^21b_1^21a_2^0$) and C_{2v} II ($3B_2$, $1a_1^21b_2^22a_1^21b_1^23a_1^22b_2^2-4a_1^23b_2^21a_2^2$) corresponding to these two C_{2v} structures are both local minima. However, the most stable of these structures, C_{2v} -II ($3B_2$), lies only 27.3 kcal/mol below the energy of the dissociation products SiO + Si₂. From these data, we speculated that lower energies might arise from structures that do not place the oxygen atom inside the silicon cluster; again, this is unlike Na₃O, Mg₃O, and Al₃O, where the most stable structures have the oxygen atom inside the cluster.

Another C_{3v} pyramidal structure involves binding of the oxygen atom in a threefold site of Si₃ without breaking the silicon-silicon bonds (see Tables III-V). The corresponding C_{3v} ($3A_1$, $1a_1^2-2a_1^21e^43a_1^22e^44a_1^23e^2$) structure is a local minimum but lies ~34.4 kcal/mol (at the (MP4SDTQ/6-311+G(2df) level) higher in energy than the global minimum C_{2v} , \diamond ($1A_1$) structure, to be discussed shortly.

Twofold Coordinated Oxygen. Structures in the next group examined begin by coordinating the oxygen atom to the exterior (edges and vertices) of the most stable C_{2v} ($1A_1$) structure of Si₃. Both singlet and triplet structures have been optimized, and our findings are summarized in Tables III-V.

A singlet rhombus C_{2v} , \diamond ($1A_1$, $1a_1^22a_1^21b_2^23a_1^21b_1^22b_2^24a_1^2-5a_1^22b_1^2$) structure (Figure 1) with the oxygen atom coordinated to an edge of the Si₃ triangle was found to be the most stable structure. The optimized Si-O (1.731 Å) and Si-Si bonds (2.311 Å) as well as the angle $\angle\text{SiOSi}$ (82.9°) are very close to the corresponding Si-O (1.723 Å) and Si-Si (2.340 Å) bond lengths and angles $\angle\text{SiOSi}$ (85.5°) in the most stable structure of Si₂O (see Tables II and III-V). All silicon-silicon bond lengths are comparable to "normal" single Si-Si bond lengths.

Singly Coordinated Oxygen. In the vertex-bonded structure C_{2v} , Y ($1A_1$, $1a_1^22a_1^23a_1^21b_2^21b_1^22b_2^24a_1^22b_1^25a_1^2$) shown in Figure 1, the oxygen atom is coordinated to only one of the Si atoms. The oxygen-silicon bond (1.536 Å) is 0.20 Å shorter than that in the C_{2v} , \diamond ($1A_1$) rhombus structure and is the same as that in diatomic SiO (1.536 Å at the MP2(full)/6-311+G* level). The Si₁-Si₂ bond length (2.284 Å) is close to the length of normal single Si-Si bonds, and the Si₂-Si₃ bond length is 0.07 Å shorter. However, this C_{2v} , Y ($1A_1$) structure is a saddle point whose Hessian eigenvector of imaginary frequency leads to the twofold coordinated C_{2v} , \diamond ($1A_1$) structure discussed earlier. The barrier for the intramolecular movement of the oxygen atom between the C_{2v} , \diamond and Y structures is high (18.8 kcal/mol at QCISD(T)/6-311+G(2df) level) and probably too high to display rovibrational spectral manifestations.

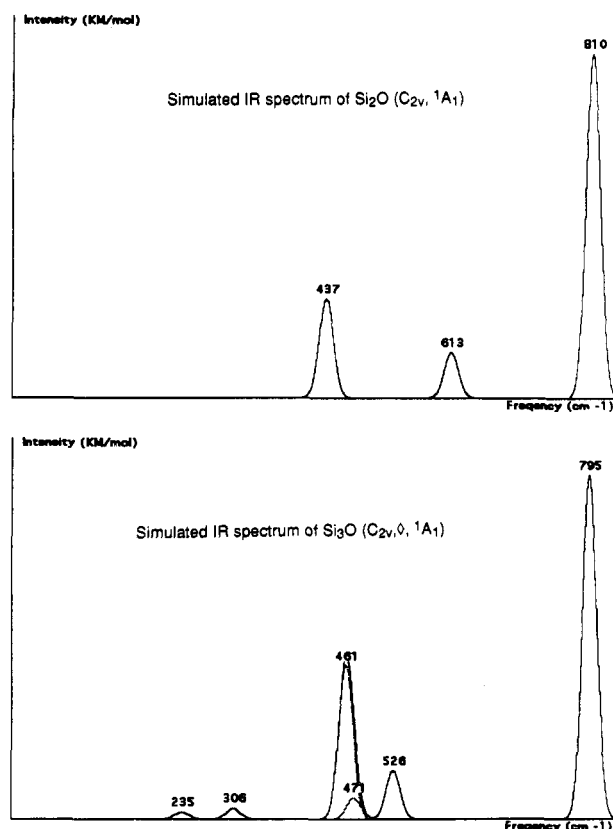


Figure 2. Calculated infrared absorption spectrum for the lowest energy structures of Si₂O and Si₃O.

The triplet C_{2v} , \diamond ($3B_2$, $1a_1^22a_1^21b_2^23a_1^21b_1^22b_2^24a_1^22b_1^25a_1^1-3b_2^1$) and C_{2v} , Y ($3B_2$, $1a_1^22a_1^21b_2^23a_1^22b_2^24a_1^21b_1^25a_1^22b_1^23b_2^2$) structures are higher in energy by 25.4 and 13.7 kcal/mol (at MP4SDTQ/6-311+G(2df)) than the corresponding singlet structures. The triplet C_{2v} , \diamond ($3B_2$) and C_{2v} , Y ($3B_2$) structures are local minima.

Linear Structures. Next, we examined linear SiSiSiO structures, since the carbon analog CCCO is known to have a linear structure from both experimental²⁴ data (involving λ -doubling of vibrationally excited bending states) and ab initio results²³ (at the MP3/6-31G* level), although strong bending anharmonicity has also been noted.²⁴

The singlet linear SiSiSiO structure ($1\Sigma^+$, $1\sigma^22\sigma^23\sigma^2-4\sigma^21\pi^45\sigma^22\pi^43\pi^0$) was found to have two imaginary (bending) frequencies $\nu_5(\pi)$ which lead, via a planar trans deformation, to a C_s ($1A'$, $1a^22a^2/23a^24a^21a^2/25a^26a^2/27a^2/28a^0$) minimum-energy structure (see Figure 1). This C_s ($1A'$) structure is a local minimum which is only 17.2 kcal/mol above the rhombus global minimum detailed earlier. The barrier connecting this structure and the linear structure is high (26.8 kcal/mol).

Thermodynamic Stability of the Lowest Energy Si₃O Structure. The lowest energy C_{2v} , \diamond ($1A_1$) structure identified above is stable with respect to all dissociation paths. Its calculated dissociation energy is 81.7 kcal/mol into Si₂O + Si, 59.8 kcal/mol into SiO + Si₂, and 153.2 kcal/mol into Si₃ + O at the MP4SDTQ/6-311+G(2df)+ZPE level. Therefore, this structure of Si₃O is a viable species that should be amenable to gas-phase or inert matrix experiments. The simulated IR spectrum shown in Figure 2 may help in such identification.

For the lowest C_{2v} ($1A_1$) structure, we carried out CASSCF-(6,8)/6-31G* calculations at the SCF/6-31G* geometry. The Hartree-Fock function was found to dominate ($C_{\text{HF}} = 0.932$) in the CASSCF expansion, so our results at the MP4 and QCISD-(T) levels should be reliable.

Recently, the Si₃C molecule has been studied experimentally²⁵ and theoretically.²⁶ Its calculated lowest energy structure and

vibrational spectrum agree well with the experimental data. A rhombus C_{2v} (1A_1) minimum-energy structure has been found for Si_3C ; this structure can be viewed as the rhombus D_{2h} (1A_g) structure of Si_4 in which one carbon atom is replaced by a silicon atom. The Si_3O molecule examined here has a similar rhombus structure; however, the chemical bonding is different in Si_3O and Si_3C . In Si_3C , the carbon atom is coordinated to all three silicon atoms and silicon-silicon bonding between the two bridge atoms is absent; in Si_3O , the oxygen atom is coordinated to only two silicon atoms and silicon-silicon bonding between the two bridge atoms is retained (as evidenced by the Si-Si bond lengths).

Overview

Si_2O and Si_3O may provide prototypes for the interaction between an O atom and the simplest silicon clusters or sites that are accessible on various solid silicon surfaces, kinks, steps, and terraces. Therefore, it is important to now compare results of our calculations with available experimental data on oxygen chemisorption to silicon surfaces. We begin by summarizing our results.

Our Findings. First, we found that the most energetically stable structures involve an oxygen atom coordinated to two silicon atoms on the periphery of the cluster. This twofold coordination is favored over monodentate coordination, which, in turn, is favored over threefold coordination.

We found that the energy required to desorb atomic oxygen or atomic silicon is much higher than the energy required for desorption of an intact SiO molecule. Thus, SiO should be the most abundant species observed in desorption from oxidized silicon surface.

We calculated vibrational frequencies of the Si-O-Si symmetric stretch (810 cm^{-1}) and antisymmetric stretch (613 cm^{-1}) vibrations for the lowest energy bent structure of Si_2O ; our calculations produced 705 and 471 cm^{-1} , respectively, for these vibrations in the lowest energy rhombus structure of Si_3O . In contrast, the calculated Si-O frequency of the monodentate-bonded structure of Si_2O is 1207 cm^{-1} ; for monodentate-bonded Si_3O , it is 1189 cm^{-1} .

Experimental Information. In a real-time study of oxygen reacting with Si(100) by Yu and Eldridge,²⁷ only SiO was detected as a desorbed reaction product. This result has been supported by other experimental observations.²⁸ The energy required to activate the SiO desorption process from Si(100) was found to be 69 kcal/mol ,²⁷ which is similar to our $Si_3O \rightarrow Si_2 + SiO$ dissociation energy (60 kcal/mol).

On silicon surfaces, two types of oxides have been observed by high-resolution electron energy loss spectroscopy, ultraviolet photoelectron spectroscopy, and low-energy electron diffraction.²⁹ A feature corresponding to an energy loss of 1226 cm^{-1} was attributed to one oxide, and features at 444, 686, and 1032 cm^{-1} were attributed to a second oxide.^{29a}

The former oxide is consistent with diatomic SiO adsorbed on the surface. Its 1226-cm^{-1} band is close to the vibrational frequencies we obtain for monodentate-bonded species (e.g., 1183 cm^{-1} in SiO, 1207 cm^{-1} in Si_2O ($C_{\infty v}$, $^3\Sigma^-$), 1190 cm^{-1} in Si_3O (C_{2v} , Y, 1A_1), and 1187 cm^{-1} in Si_3O (C_{2v} , Y, 3B_2)).

The second oxide was proposed to closely resemble SiO in a local environment similar to that in bulk silicon monoxide. While the nature of the bulk SiO is still controversial, Yasaitis and Kaplow³⁰ and Bianconi and Bauer³¹ concluded that their X-ray diffraction data are consistent with ring structures involving $(SiO)_n$ in which each oxygen atom is coordinated to two silicon atoms and in which there is substantially reduced Si-Si bonding. Our calculated frequencies for the twofold coordinated lowest energy structures of Si_2O ($\nu_1(a_1) = 810\text{ cm}^{-1}$ (symmetric stretch O-Si₂ vibration), $\nu_3(b_2) = 613\text{ cm}^{-1}$ (asymmetric stretch O-Si₂ vibration), and $\nu_2(a_1) = 437\text{ cm}^{-1}$ (symmetric stretch Si-Si vibration)) and Si_3O (C_{2v} , ϕ , 1A_1) ($\nu_1(a_1) = 795\text{ cm}^{-1}$ (symmetric stretch

O-Si₂ vibration), $\nu_5(b_2) = 471\text{ cm}^{-1}$ (asymmetric stretch O-Si₂ vibration), and $\nu_3(a_1) = 461\text{ cm}^{-1}$ (symmetric stretch Si-Si vibration)) are similar to the observed vibrational bands ascribed to the second oxide (1065 , 686 , and 428 cm^{-1} in ref 29a and 992 , 871 , 774 , and 403 cm^{-1} in ref 29b). In particular, the Si-Si vibrational frequencies seem to match well. Therefore, it seems most likely that, in this oxide, each O atom resides in a twofold coordination site in which significant Si-Si bonding is intact.

Conclusions

The results of our calculations on Si_2O and Si_3O have shown that an oxygen atom favors coordination to the periphery of silicon clusters rather than insertion into Si-Si bonds. Structures with the oxygen atom inside the cluster that result in rupture of Si-Si bonding are much higher in energy. This result is in sharp contrast with the structures of other oxygen-metal clusters, such as Li_3O , Li_4O , Mg_2O , Mg_3O , Mg_4O , Al_2O , Al_3O , and Al_4O , where the most stable structures have the oxygen atom in the interior of the cluster in a high-coordination location.

Particularly important findings include the following:

(1) Si_2O and Si_3O are thermodynamically stable species with singlet triangular C_{2v} (1A_1) and planar-rhombus C_{2v} , ϕ (1A_1) structures, respectively. Therefore, they are viable molecules that might be found in gas-phase and matrix isolation experiments.

(2) The lowest energy decomposition path for both species involves loss of an SiO molecule. For $Si_3O \rightarrow SiO + Si_2$, the energy difference is 60 kcal/mol , and for $Si_2O \rightarrow SiO + Si$ it is 50 kcal/mol . For Si_3O , loss of atomic Si or of O requires 82 or 153 kcal/mol , respectively.

(3) All structures of Si_3O with the oxygen atom inside the Si_3 cluster are less stable than the planar-rhombus C_{2v} , ϕ (1A_1) structure in which the oxygen atom is coordinated to an edge of Si_3 cluster.

(4) Structures with the O atom coordinated to a single Si site are less stable than the twofold coordinated species but more stable than the threefold coordinated species.

(5) Our computed vibrational frequencies seem to shed light on the geometrical and bonding nature of two oxide structures that have been studied experimentally.

It is our hope that the results presented here stimulate further experimental study of small Si_nO clusters, where results of such calculations may be useful guides to interpreting the experimental data.

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